

Cabinet Alerts Subscription

The Cabinet offers users the ability to subscribe to rules, statutes, documents, books, and sections of books (collectively called “rules”) and receive email alerts whenever they are covered in the Cabinet’s daily news. Subscribers will receive a single, weekly email with links to both the news item in question and their subscribed rules.

This capability provides subscribers with a powerful means of receiving targeted notifications about specific rules they are interested in. Because much of the Code of Federal Regulations is organized into a book-like table of contents, an additional powerful capability is the ability to subscribe to specific sections of the Code or even an entire body of regulations, in addition to individual rules.

Subscribe to a specific item

To subscribe to any specific item, simply navigate to the desired page and click the “Alert” link in the toolbar. For example, to subscribe to MSRB Rule D-12:

The screenshot shows the CadwaladerCabinet website interface. The top navigation bar includes links for TOPIC PAGES, NEWS, EVENTS, GLOSSARIES, MYCROFT, ABOUT CABINET, and JEREMY. The main content area is titled "Municipal Securities Rulemaking Board Rules". On the left, a sidebar lists various MSRB rules, with "MSRB Rule D-12 - 'Municipal Fund Security'" highlighted in an orange box. A red arrow points from this box to the "ALERT" button in the toolbar below the rule title. The toolbar also includes buttons for PRINT, EMAIL, and BOOKMARK PAGE. The main content area displays the title "MSRB Rule D-12 - 'Municipal Fund Security'" and a definition of the term "municipal fund security". On the right, a "Related content" section lists "News", "Regulatory Guidance", and "Topic Pages".

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TOPIC PAGES NEWS EVENTS GLOSSARIES MYCROFT ABOUT CABINET JEREMY

Hide Within

Municipal Securities Rulemaking Board Rules

MSRB Rule D-3 - "Commission"

MSRB Rule D-4 - "Board"

MSRB Rule D-5 - "Member"

MSRB Rule D-6 - "Whole Board"

MSRB Rule D-7 - "Proposed Rules and Rules of the Board"

MSRB Rule D-8 - "Bank Dealer"

MSRB Rule D-9 - "Customer"

MSRB Rule D-10 - "Discretionary Account"

MSRB Rule D-11 - "Associated Persons"

MSRB Rule D-12 - "Municipal Fund Security"

MSRB Rule D-13 - "Municipal Advisory Activities"

MSRB Rule D-14 - "Appropriate Regulatory Agency"

MSRB Rule D-15 - Sophisticated Municipal Market Professional

MSRB General Rules

Current as of: February 28, 2016

MSRB Rule D-12 - "Municipal Fund Security"

PRINT EMAIL **ALERT** BOOKMARK PAGE

The term "municipal fund security" shall mean a municipal security issued by an issuer that, but for the application of Section 2(b) of the Investment Company Act of 1940, would constitute an investment company within the meaning of Section 3 of the Investment Company Act of 1940.

Related content

- News
- Regulatory Guidance
- Topic Pages

Subscribe to an entire section

Alternatively, you might be interested in receiving updates if there is news about any of the dozens of rules covered, e.g. by CFTC Part 1 (General Regulations under the Commodity Exchange Act). Simply navigate to CFTC Rules Part 1 and click the Alerts link:

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TOPIC PAGES NEWS EVENTS GLOSSARIES MYCROFT ABOUT CABINET JEREMY

CFTC Regulations

Current as of: February 28, 2016

CFTC Rules Part 1-General Regulations under the Commodity Exchange Act

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Related content

- News
- No-Action Letters
- Rules
- Topic Pages
- Statutes

Subscribe to an entire body of law

If you want to be notified anytime the Cabinet publishes news about *any* FINRA rule, navigate to this body of law's top level page and click the Alerts link:

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TOPIC PAGES NEWS EVENTS GLOSSARIES MYCROFT ABOUT CABINET JEREMY

FINRA/NASD By-Laws and Rules and Incorporated NYSE Rules

FINRA/NASD By-Laws and Rules and Incorporated NYSE Rules



PRINT EMAIL ALERT BOOKMARK PAGE



Related content


- Topic Pages
- Statutes

Weekly Email

Every Sunday, you will receive a report with news about any of your subscribed items:

Cabinet Alerts for Period Apr 06, 2016 to Apr 13, 2016Inbox x 

Find Know Do4:29 PM (2 minutes ago)☆

to me 

Report for: jbarth

Below is your report of Cabinet Alerts for the period Apr 06, 2016 to Apr 13, 2016

Alert References

News items from the past week, along with the rules they covered

[CFTC Commissioner Giancarlo Calls for "Do No Harm" Approach to Blockchain Regulation](#)
April 12, 2016
[CFTC Rule 1.31: Books and records; keeping and inspection.](#)

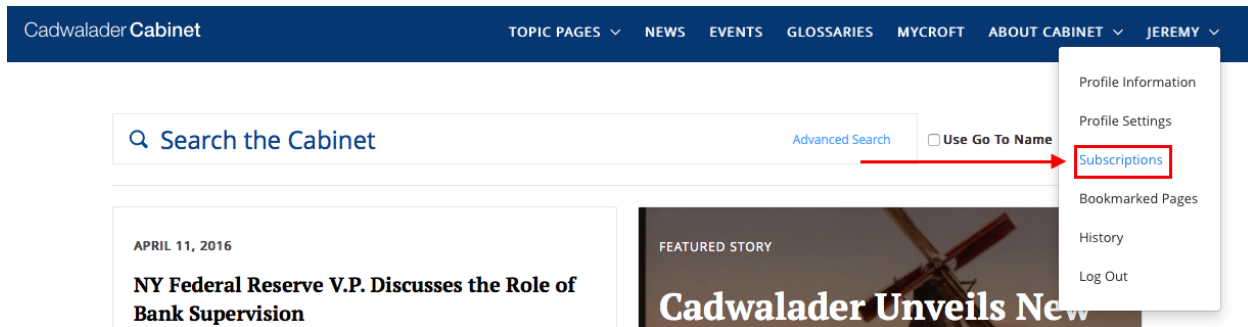
[MSRB Asserts that Sales of Interests in ABLE Programs Are Subject to MSRB Rules \(MSRB Reg. Notice 2016-14\)](#)
April 12, 2016
[MSRB Rule D-12 - "Municipal Fund Security"](#)
[SEA Rule 240.15c2-12: Municipal securities disclosure.](#)
[MSRB Rule G-45 - Reporting of Information on Municipal Fund Securities](#)

[SEC Approves Amendments to OATS Rules \(Fed. Reg.\)](#)
April 11, 2016
[FINRA Rule 7410: Definitions](#)
[FINRA Rule 7440: Recording of Order Information](#)
[Broker-Dealers, the Securities Act and the Securities Exchange Act](#)

[SEC Solicits Comment on FINRA Proposed Trade Reporting Rule for NextShares](#)
April 08, 2016
[FINRA Rule 6184: Transactions in Exchange-Traded Managed Fund Shares \("NextShares"\)](#)
[Broker-Dealers, the Securities Act and the Securities Exchange Act](#)

Subscriptions Page

To manage your subscriptions, click the “Subscriptions” menu item that appears when you click on your username at the far right:



Here you’ll see a list of your subscribed items, along with any book they reside in. If you wish, you can download this list to Excel (button at lower left).

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This page contains a list of the rules or sections for which you have subscribed to receive weekly update notifications

SUBSCRIBED PAGES	BOOK
FINRA/NASD By-Laws and Rules and Incorporated NYSE Rules	FINRA/NASD By-Laws and Rules and Incorporated NYSE Rules
CFTC Rules Part 1-General Regulations under the Commodity Exchange Act	CFTC Regulations
MSRB Rule D-12 - "Municipal Fund Security"	Municipal Securities Rulemaking Board Rules

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