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New York Supreme Court
New York County
Docket for Case # 654959/2021

CAMELOT EVENT DRIVEN FUND, A SERIES OF FRANK FUNDS TRUST vs. MORGAN STANLEY & CO. LLC ET AL

Date Filed: 2021-08-13

Parties and Attorneys

Plaintiff	CAMELOT EVENT DRIVEN FUND, A SERIES OF FRANK FUNDS TRUST
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Defendant	MORGAN STANLEY & CO. LLC ET AL
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Electronically Filed Documents

Numbers shown are court assigned numbers.

Entry #	Filing Date	Source	Description
1	Aug 13, 2021	NYS CEF	SUMMONS: Summons w Service List
2	Aug 13, 2021	NYS CEF	COMPLAINT: Complaint
3	Aug 13, 2021	NYS CEF	EXHIBIT(S): Credit Suisse Report
4	Aug 25, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Citigroup Global Markets)
5	Aug 25, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Morgan Stanley)
6	Aug 25, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (ViacomCBS, Inc.)
7	Sep 8, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Goldman Sachs)

Entry #	Filing Date	Source	Description
8	Sep 14, 2021	NYS CEF	STIPULATION - TIME TO ANSWER: STIPULATION PROVIDING A SCHEDULE FOR DEFENDANTS RESPONSE TO CLASS ACTION COMPLAINT
9	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Academy Securities)
10	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Castleoak Securities)
11	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (ICBC Standard)
12	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (RBC Capital)
13	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Siebert Williams)
14	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (SBMC Nikko)
15	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (TD Securities)
16	Sep 29, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Wells Fargo)
17	Oct 1, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (BNP Paribas)
18	Oct 1, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Intesa-SanPaolo)
19	Oct 1, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (SG Americas Securities)
20	Oct 4, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (BNYMellon)
21	Oct 4, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (MUFG)
22	Oct 4, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (R Seelaus)
23	Oct 4, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Samuel Ramirez)
24	Oct 4, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (US Bancorp)
25	Oct 6, 2021	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service (Mizuho Securities)
26	Nov 1, 2021	NYS CEF	NOTICE OF APPEARANCE (PRE RJI): Notice of Appearance - John Rizio-Hamilton
27	Nov 1, 2021	NYS CEF	NOTICE OF APPEARANCE (PRE RJI): Notice of Appearance - Adam

Entry #	Filing Date	Source	Description
			Hollander
28	Nov 1, 2021	NYS CEF	NOTICE OF APPEARANCE (PRE RJI): Notice of Appearance - Jai K. Chandrasekhar
29	Nov 5, 2021	NYS CEF	COMPLAINT (AMENDED): Amended Class Action Complaint
30	Nov 5, 2021	NYS CEF	EXHIBIT(S): Paul Weiss Report
31	Nov 11, 2021	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation and [Proposed] Order Appointing Co-Lead Counsel and Providing a Schedule for Defendants' Response to the Amended Complaint
32	Nov 11, 2021	NYS CEF	RJI -RE: OTHER: Scheduling Order
33	Nov 11, 2021	NYS CEF	ADDENDUM - COMMERCIAL DIVISION (840C)
34	Nov 12, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
35	Nov 12, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
36	Nov 12, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance for Scott D. Musoff.
37	Nov 12, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance for William J. O'Brien
38	Nov 12, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance for Michael M. Powell
39	Nov 15, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance of Jonathan K. Youngwood
40	Nov 15, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance of Rachel S. Sparks Bradley
41	Nov 16, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
42	Nov 16, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
43	Nov 18, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
44	Nov 18, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
45	Nov 18, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
46	Nov 19, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Matthew J. Dolan
47	Nov 19, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Francesca E. Brody
48	Nov 19, 2021	NYS CEF	STIPULATION - AMENDING CAPTION: STIPULATION AND [PROPOSED] ORDER TO AMEND CASE CAPTION
49	Nov 22, 2021	NYS CEF	STIPULATION - SO ORDERED
50	Nov 22, 2021	NYS CEF	ORDER - AMENDING CAPTION

Entry #	Filing Date	Source	Description
51	Nov 29, 2021	NYS CEF	NOTICE OF ENTRY: Stipulation and Order Appointing Co-Lead Counsel and Providing a Schedule for Defendants' Response to the Amended Complaint
52	Nov 29, 2021	NYS CEF	NOTICE OF ENTRY: STIPULATION AND ORDER TO AMEND CASE CAPTION
53	Nov 29, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance
54	Dec 1, 2021	NYS CEF	AFFIRMATION: Rule 35 Corporate Disclosure Statement
55	Dec 9, 2021	NYS CEF	DISCLOSURE STATEMENT: Defendant Mizuho Securities USA LLC's Corporate Disclosure Statement dated 12/9/2021
56	Dec 10, 2021	NYS CEF	DISCLOSURE STATEMENT
57	Dec 10, 2021	NYS CEF	DISCLOSURE STATEMENT
58	Dec 10, 2021	NYS CEF	DISCLOSURE STATEMENT: Rule 35 Corporate Disclosure Statement
59	Dec 13, 2021	NYS CEF	DISCLOSURE STATEMENT: Rule 35 Corporate Disclosure Statement
60	Dec 13, 2021	NYS CEF	DISCLOSURE STATEMENT
61	Dec 13, 2021	NYS CEF	NOTICE OF APPEARANCE (POST RJI)
62	Dec 14, 2021	NYS CEF	NOTICE OF MOTION: Motion For Admission Pro Hac Vice Of Robert V. Prongay, Kara M. Wolke, Pavithra Rajesh And Raymond D. Sulentic
63	Dec 14, 2021	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Affirmation In Support of Motion For Admission Pro Hac Vice of Robert V. Prongay, Kara M. Wolke, Pavithra Rajesh And Raymond D. Sulentic
64	Dec 14, 2021	NYS CEF	STIPULATION - OTHER: Joint Stipulation Regarding Pro Hac Vice Admissions
65	Dec 14, 2021	NYS CEF	AFFIDAVIT: Affidavit of Robert V. Prongay In Support Of Motion To Admit Counsel Pro Hac Vice
66	Dec 14, 2021	NYS CEF	AFFIDAVIT: Affidavit of Kara M. Wolke In Support Of Motion To Admit Counsel Pro Hac Vice
67	Dec 14, 2021	NYS CEF	AFFIDAVIT: Affidavit of Pavithra Rajesh In Support Of Motion To Admit Counsel Pro Hac Vice
68	Dec 14, 2021	NYS CEF	AFFIDAVIT: Affidavit of Raymond D. Sulentic In Support Of Motion To Admit Counsel Pro Hac Vice
69	Dec 14, 2021	NYS CEF	ORDER (PROPOSED): Proposed Order
70	Dec 15, 2021	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation and Proposed Order Concerning Schedule and Word Count Limits for Motion to Dismiss Briefing
71	Dec 17, 2021	NYS CEF	STIPULATION - SO ORDERED
72	Dec 17, 2021	NYS CEF	DECISION + ORDER ON MOTION
73	Dec 20, 2021	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter re: Pre-Motion

Entry #	Filing Date	Source	Description
			Conference
74	Dec 21, 2021	NYS CEF	COMPLAINT (AMENDED): [Corrected] Amended Complaint (Doc 29)
75	Dec 22, 2021	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): STIPULATION AND [PROPOSED] ORDER TO AMEND CASE CAPTION
76	Dec 22, 2021	NYS CEF	NOTICE OF MOTION: ViacomCBS Defendants' Notice of Motion to Dismiss Plaintiffs' Amended Class Action Complaint
77	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of the ViacomCBS Defendants' Motion to Dismiss Plaintiffs' Amended Class Action Complaint
78	Dec 22, 2021	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Affirmation of Lyle Roberts in Support of the ViacomCBS Defendants' Motion to Dismiss Plaintiffs' Amended Class Action Complaint
79	Dec 22, 2021	NYS CEF	EXHIBIT(S): Exhibit A - Prospectus Supplement
80	Dec 22, 2021	NYS CEF	EXHIBIT(S): Exhibit B - Prospectus
81	Dec 22, 2021	NYS CEF	EXHIBIT(S): Exhibit C - Prospectus Supplement
82	Dec 22, 2021	NYS CEF	NOTICE OF MOTION: Notice of Motion
83	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of the Motion to Dismiss the First Amended Complaint by Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC
84	Dec 22, 2021	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Scott D. Musoff in Support of Motion to Dismiss
85	Dec 22, 2021	NYS CEF	EXHIBIT(S): Corrected Amended Complaint
86	Dec 22, 2021	NYS CEF	EXHIBIT(S): Common Stock Prospectus Supplement
87	Dec 22, 2021	NYS CEF	EXHIBIT(S): Preferred Prospectus Supplement
88	Dec 22, 2021	NYS CEF	EXHIBIT(S): Wall Street Journal Article dated 3.30.21
89	Dec 22, 2021	NYS CEF	EXHIBIT(S): Financial Review Article dated 3.30.21
90	Dec 22, 2021	NYS CEF	EXHIBIT(S): CNBC Article dated 4.1.21
91	Dec 22, 2021	NYS CEF	NOTICE OF MOTION: Wells Fargo Securities LLC's Notice of Joinder in Motion to Dismiss the Amended Class Action Complaint
92	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Wells Fargo Securities LLC's Joinder and Memorandum of Law in Support of Motion to Dismiss the Amended Class Action Complaint
93	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Joinder and Memorandum of Law in Support of Motion to Dismiss the Amended Class Action Complaint
94	Dec 22, 2021	NYS CEF	DISCLOSURE STATEMENT: Rule 35 Corporate Disclosure Statement - Defendant Goldman Sachs & Co. LLC

Entry #	Filing Date	Source	Description
95	Dec 22, 2021	NYS CEF	DISCLOSURE STATEMENT: Rule 35 Corporate Disclosure Statement - Defendant Morgan Stanley & Co. LLC
96	Dec 22, 2021	NYS CEF	NOTICE OF MOTION: Notice of Joinder and Motion to Dismiss
97	Dec 22, 2021	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION
98	Dec 22, 2021	NYS CEF	EXHIBIT(S): Amended Class Action Complaint
99	Dec 22, 2021	NYS CEF	EXHIBIT(S): Paul Weiss Report
100	Dec 22, 2021	NYS CEF	EXHIBIT(S): Brown Press Release
101	Dec 22, 2021	NYS CEF	EXHIBIT(S): Mar. 29 Financial Times Article
102	Dec 22, 2021	NYS CEF	EXHIBIT(S): Apr. 1 Bloomberg Article
103	Dec 22, 2021	NYS CEF	EXHIBIT(S): Mar. 30 Financial Times Article
104	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Joinder and Memorandum of Law in Support of the Motion to Dismiss the Amended Class Action Complaint by Defendant MUFG Securities Americas Inc.
105	Dec 22, 2021	NYS CEF	NOTICE OF MOTION: Notice of Non-Trading Underwriters Motion to Dismiss the Amended Class Action Complaint
106	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Non-Trading Underwriters Joinder and Memorandum of Law in Support of Their Motion to Dismiss the Amended Class Action Complaint
107	Dec 22, 2021	NYS CEF	NOTICE OF MOTION: Defendant Mizuho Securities USA LLCs Notice Of Joinder To Motion To Dismiss The Amended Complaint, dated December 22, 2021
108	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Defendant Mizuho Securities USA LLC's Joinder to the Motion to Dismiss the Amended Class Action Complaint, dated December 22, 2021
109	Dec 22, 2021	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Affirmation of Jason M. Halper in Support of Defendant Mizuho Securities USA LLC's Joinder to the Motion to Dismiss the Amended Class Action Complaint, dated December 22, 2021
110	Dec 22, 2021	NYS CEF	EXHIBIT(S): Amended Complaint
111	Dec 22, 2021	NYS CEF	EXHIBIT(S): Exhibit A to Amended Complaint
112	Dec 22, 2021	NYS CEF	NOTICE OF MOTION
113	Dec 22, 2021	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Affirmation of Jason C. Hegt in Support of BNP Paribas Securities Corp.'s Motion to Dismiss the Amended Class Action Complaint
114	Dec 22, 2021	NYS CEF	EXHIBIT(S): Amended Complaint, Dkt. 74
115	Dec 22, 2021	NYS CEF	EXHIBIT(S): Ex. A to Amended Complaint, Dkt. 30

Entry #	Filing Date	Source	Description
116	Dec 22, 2021	NYS CEF	EXHIBIT(S): Mar. 25, 2021 Class B Prospectus Excerpt
117	Dec 22, 2021	NYS CEF	EXHIBIT(S): Mar. 25, 2021 Series A Prospectus Excerpt
118	Dec 22, 2021	NYS CEF	EXHIBIT(S): Mar. 31, 2021 Bloomberg Article
119	Dec 22, 2021	NYS CEF	EXHIBIT(S): Apr. 2, 2021 Reuters Article
120	Dec 22, 2021	NYS CEF	EXHIBIT(S): Apr. 28, 2021 DB Earnings Tr.
121	Dec 22, 2021	NYS CEF	EXHIBIT(S): BNP Paribas Webpage
122	Dec 22, 2021	NYS CEF	EXHIBIT(S): Fagen BrokerCheck
123	Dec 22, 2021	NYS CEF	EXHIBIT(S): DB Viacom Ownership
124	Dec 22, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Defendant BNP Paribas Securities Corp.'s Motion to Dismiss the Amended Class Action Complaint
125	Dec 22, 2021	NYS CEF	APPENDIX: Addendum of Legal Authorities in Support of Defendant BNP Paribas Securities Corp.'s Motion to Dismiss the First Amended Complaint
126	Dec 23, 2021	NYS CEF	NOTICE OF MOTION
127	Dec 23, 2021	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: JOINDER AND MEMORANDUM OF LAW IN SUPPORT OF MOTION TO DISMISS THE AMENDED CLASS ACTION COMPLAINT BY SMBC NIKKO SECURITIES AMERICA, INC.
128	Jan 4, 2022	NYS CEF	STIPULATION - SO ORDERED
129	Jan 4, 2022	NYS CEF	NOTICE OF MOTION: Notice of Plaintiffs' Motion for Class Certification
130	Jan 4, 2022	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Plaintiffs' Memorandum of Law in Support of Motion for Class Certification
131	Jan 4, 2022	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Affirmation of John Rizio-Hamilton in Support of Plaintiffs' Motion for Class Certification
132	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit A - Firm Resume w. Bios
133	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit B - GPM Firm Resume
134	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit C - Hartzmark Report
135	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit D - MPERS Affidavit
136	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit E - Camelot Affidavit
137	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit F - Symantec Alsup Order
138	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit G - Yoshikawa v. Exxon Order
139	Jan 4, 2022	NYS CEF	EXHIBIT(S): Exhibit H - James River Grp. Order
140	Jan 4, 2022	NYS CEF	ORDER (PROPOSED): [PROPOSED] Order Granting Plaintiffs' Motion

Entry #	Filing Date	Source	Description
			for Class Certification
141	Feb 11, 2022	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs' Memorandum of Law in Opposition to Defendants' Motions to Dismiss
142	Feb 25, 2022	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION -IN SUBMISSIONS PART -RM 130: Stipulation Adjourning Plaintiffs' Motion for Class Certification
143	Mar 2, 2022	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation and [Proposed] Order Providing Word Count Limits for Motion to Dismiss Reply Briefing
144	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Reply in Support of Joinder and Motion to Dismiss the Amended Class Action Complaint by Defendant MUFG Securities Americas Inc.
145	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Reply Memorandum of Law in Further Support of Defendant BNP Paribas Securities Corp.'s Motion to Dismiss the Amended Class Action Complaint
146	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Joinder and Reply Memorandum of Law in Further Support of Motion to Dismiss the Amended Class Action Complaint by SMBC Nikko Securities America, Inc.
147	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Wells Fargo Securities LLC's Joinder and Reply Memorandum of Law in Further Support of Motion to Dismiss the Amended Class Action Complaint
148	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Reply Memorandum of Law in Further Support of the ViacomCBS Defendants' Motion to Dismiss Plaintiffs' Amended Class Action Complaint
149	Mar 4, 2022	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Lyle Roberts in Support of the Reply Memorandum of Law in Further Support of the ViacomCBS Defendants' Motion to Dismiss the Plaintiffs' Amended Class Action Complaint
150	Mar 4, 2022	NYS CEF	EXHIBIT(S): Exhibit A - Plaintiffs Amended Class Action Complaint
151	Mar 4, 2022	NYS CEF	EXHIBIT(S): Exhibit B - ViacomCBS Form 8-K
152	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Defendant Mizuho Securities USA LLCs Joinder and Reply Memorandum in Support of Motion to Dismiss the Amended Class Action Complaint, dated March 4, 2022
153	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Reply Memorandum of Law in Support of the Motion to Dismiss The First Amended Complaint by Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC
154	Mar 4, 2022	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Scott D. Musoff in Further Support of Motion to Dismiss
155	Mar 4, 2022	NYS CEF	EXHIBIT(S): the transcript of Morgan Stanley's Q 1 2021 Earnings Call
156	Mar 4, 2022	NYS CEF	EXHIBIT(S): the transcript of Goldman Sachs's Q 1 2021 Earnings Call

Entry #	Filing Date	Source	Description
157	Mar 4, 2022	NYS CEF	MEMORANDUM OF LAW IN REPLY: Non-trading Underwriters' Reply Memorandum of Law in Support of Motion to Dismiss the First Amended Class Action Complaint
158	Mar 7, 2022	NYS CEF	STIPULATION - SO ORDERED
159	Mar 28, 2022	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION -IN SUBMISSIONS PART -RM 130: Stipulation Further Adjourning Plaintiffs' Motion for Class Certification
160	May 4, 2022	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE
161	May 4, 2022	NYS CEF	PRELIMINARY CONFERENCE ORDER (PROPOSED)
162	May 4, 2022	NYS CEF	EXHIBIT(S): List of Additional Counsel
163	May 4, 2022	NYS CEF	EXHIBIT(S): Proposed Case Management Deadlines
164	May 16, 2022	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION - BEFORE JUDGE
165	May 16, 2022	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Regarding Case Transfer
166	May 16, 2022	NYS CEF	EXHIBIT(S): Exhibit A - Administrative Order dated December 30, 2021
167	May 16, 2022	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE
168	May 17, 2022	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE
169	Jul 28, 2022	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION - BEFORE JUDGE: STIPULATION AND [PROPOSED] ORDER FURTHER ADJOURNING PLAINTIFFS MOTION FOR CLASS CERTIFICATION
170	Aug 2, 2022	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION - BEFORE JUDGE: SO ORDERED
171	Aug 19, 2022	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION - BEFORE JUDGE
172	Feb 6, 2023	NYS CEF	OTHER COURT FILED DOCUMENT
173	Feb 6, 2023	NYS CEF	OTHER COURT FILED DOCUMENT
174	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
175	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
176	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
177	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
178	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
179	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
180	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
181	Feb 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
182	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. Nos. 174 - 181)

Entry #	Filing Date	Source	Description
183	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 175)
184	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 176)
185	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 176)
186	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 177)
187	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. Nos. 178)
188	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 179)
189	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 180)
190	Feb 9, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 181)
191	Feb 9, 2023	NYS CEF	SUBSTITUTION OF ATTORNEY (POST RJI): Notice of Substitution of Counsel
192	Feb 15, 2023	NYS CEF	NOTICE OF APPEAL
193	Feb 15, 2023	NYS CEF	NOTICE OF APPEAL: Notice of Appeal from the Decision and Order, dated February 6, 2023, and entered on February 7, 2023, and Informational Statement
194	Feb 15, 2023	NYS CEF	NOTICE OF APPEAL
195	Feb 15, 2023	NYS CEF	NOTICE OF APPEAL: Defendant Mizuho Securities USA LLC's Notice of Appeal dated February 15, 2023
196	Feb 15, 2023	NYS CEF	NOTICE OF APPEAL
197	Feb 15, 2023	NYS CEF	EXHIBIT(S): Decisions and Orders dated February 6, 2023 (NYSCEF Docket Nos. 175, 177 and 178)
198	Feb 15, 2023	NYS CEF	EXHIBIT(S): Notices of Entry dated February 9, 2023 (NYSCEF Docket Nos. 183, 186, and 187)
199	Feb 15, 2023	NYS CEF	APPENDIX: Informational Statement
200	Feb 16, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance of Robert A. Fumerton
201	Feb 16, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Michael D. Blatchley
202	Feb 16, 2023	NYS CEF	NOTICE OF APPEAL
203	Feb 16, 2023	NYS CEF	NOTICE OF APPEAL
204	Feb 16, 2023	NYS CEF	EXHIBIT(S): Decisions on Motions
205	Feb 16, 2023	NYS CEF	EXHIBIT(S): Notices of Entry
206	Feb 16, 2023	NYS CEF	APPENDIX: Informational Statement
207	Feb 16, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance of Matthew Paul Valenti
208	Feb 16, 2023	NYS CEF	ORDER - PRELIMINARY CONFERENCE

Entry #	Filing Date	Source	Description
209	Feb 17, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Attorney Appearance - Robert V. Prongay
210	Feb 17, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Attorney Appearance - Pavithra Rajesh
211	Feb 21, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Attorney Appearance - Kara Wolke
212	Feb 24, 2023	NYS CEF	TRANSCRIPT OF PROCEEDINGS - CERTIFIED: Transcript of Proceeding held before the Honorable Andrew Borrok, J.S.C. on January 9, 2023.
213	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from Scott D. Musoff., Re: Stay
214	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from Jonathan K. Youngwood, Re: Stay
215	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from Todd G. Cosenza Re: Joinder in Stay Motions
216	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter to the Honorable Andrew Borrok re: joinder in requests to stay
217	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter from J. Halper to Justice Borrok dated February 24, 2023 re: Joinder in Requests of Stay
218	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from J. Hegt re: Stay
219	Feb 24, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from Francesca E. Brody dated February 24, 2023 re: Joinder to Stay Letters
220	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing Conflicted UWs Request for Stay (Doc. No. 213)
221	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing Non Trading Defs Request for Stay (Doc. No. 214)
222	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing SMBS Nikko Request for Stay (Doc. No. 215)
223	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing MUFGG Request for Stay (Doc. No. 216)
224	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing Mizuho Request for Stay (Doc. No. 217)
225	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing BNP Paribas Request for Stay (Doc. No. 218)
226	Mar 2, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Opposing Wells Fargo Request for Stay (Doc. No. 219)

Entry #	Filing Date	Source	Description
227	Mar 7, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Proposed Order to Show Cause for Pro Hac Vice Admission
228	Mar 7, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affirmation from Member of the Bar - John Rizio-Hamilton
229	Mar 7, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affidavit of Applicant - Lauren M. Cruz
230	Mar 7, 2023	NYS CEF	EXHIBIT(S): Certificate of Good Standing
231	Mar 7, 2023	NYS CEF	ORDER (PROPOSED): Proposed Order of Admission
232	Mar 7, 2023	NYS CEF	DECISION + ORDER ON MOTION
233	Mar 10, 2023	NYS CEF	NOTICE OF APPEAL: Plaintiffs' Notice of Appeal from Decision and Order (Motion #002), dated February 6, 2023
234	Mar 16, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from Scott D. Musoff., Re: Stay Request
235	Mar 16, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter to The Honorable Andrew Borrok from Jonathan K. Youngwood, dated March 16, 2023
236	Mar 17, 2023	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter Response to Doc. No. 235
237	Mar 29, 2023	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation and [Proposed] Order Regarding Case Schedule
238	Apr 10, 2023	NYS CEF	STIPULATION - SO ORDERED
239	Apr 12, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Proposed Order to Show Cause for Pro Hac Vice Application
240	Apr 12, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affirmation of Daniella Quitt
241	Apr 12, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affidavit of Christopher Fallon iso OSC for Pro Hac Vice Admission
242	Apr 12, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affidavit of Chase Stern iso OSC for Pro Hac Vice Admission
243	Apr 12, 2023	NYS CEF	EXHIBIT(S): Certificate of Good Standing for Christopher Fallon
244	Apr 12, 2023	NYS CEF	EXHIBIT(S): Certificate of Good Standing for Chase Stern
245	Apr 12, 2023	NYS CEF	ORDER (PROPOSED): Proposed Order of Admission for Christopher Fallon
246	Apr 12, 2023	NYS CEF	ORDER (PROPOSED): Proposed Order of Admission for Chase Stern
247	Apr 13, 2023	NYS CEF	DECISION + ORDER ON MOTION

Entry #	Filing Date	Source	Description
248	Apr 17, 2023	NYS CEF	ANSWER: ANSWER AND AFFIRMATIVE DEFENSES OF THE NON-TRADING UNDERWRITER DEFENDANTS TO PLAINTIFFS' AMENDED CLASS ACTION COMPLAINT
249	Apr 17, 2023	NYS CEF	ANSWER: Answer and Affirmative Defenses of Defendant SMBC Nikko Securities America, Inc. to Plaintiffs' Amended Class Action Complaint
250	Apr 17, 2023	NYS CEF	ANSWER: Defendant BNP Paribas Securities Corp.'s Answer and Affirmative Defenses to Plaintiffs' Amended Class Action Complaint
251	Apr 17, 2023	NYS CEF	ANSWER: Defendant MUFG Securities Americas Inc.'s Answer and Affirmative Defenses
252	Apr 17, 2023	NYS CEF	STIPULATION - DISCOVERY (REQUEST TO SO ORDER): STIPULATION AND [PROPOSED] ORDER ESTABLISHING A PROTOCOL FOR THE PRODUCTION OF DOCUMENTS AND ELECTRONICALLY STORED INFORMATION (ESI)
253	Apr 17, 2023	NYS CEF	ANSWER: Answer to Amended Class Action Complaint by Goldman Sachs & Co. LLC
254	Apr 17, 2023	NYS CEF	ANSWER: Answer to Amended Class Action Complaint by Morgan Stanley & Co. LLC
255	Apr 17, 2023	NYS CEF	ANSWER: Wells Fargo Securities, LLC's Answer to Plaintiffs' Amended Class Action Complaint
256	Apr 17, 2023	NYS CEF	ANSWER: Defendant Mizuho Securities USA LLC's Answer to Amended Class Action Complaint, dated 4/17/2023
257	Apr 18, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJJ): Notice of Appearance - Rebecca E. Boon
258	Apr 18, 2023	NYS CEF	NOTICE OF MOTION: Notice of Plaintiffs' Motion for Class Certification
259	Apr 18, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law In Support of Motion for Class Certification
260	Apr 18, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Daniella Quitt and John Rizio-Hamilton In Support of Plaintiffs' Motion for Class Certification
261	Apr 18, 2023	NYS CEF	EXHIBIT(S): Firm Resume of Bernstein Litowitz Berger & Grossmann LLP
262	Apr 18, 2023	NYS CEF	EXHIBIT(S): Firm Resume of Glancy Prongay & Murray LLP
263	Apr 18, 2023	NYS CEF	EXHIBIT(S): Expert Report of Michael L. Hartzmark, Ph.D.
264	Apr 18, 2023	NYS CEF	EXHIBIT(S): Affidavit of Benjamin A. Huxen, II
265	Apr 18, 2023	NYS CEF	EXHIBIT(S): Affidavit of Brian J. Frank
266	Apr 18, 2023	NYS CEF	EXHIBIT(S): SEB Invest. Mgmt. v. Symantec Corporation
267	Apr 18, 2023	NYS CEF	EXHIBIT(S): Cases Appointing Bernstein Litowitz as Lead or Class Counsel

Entry #	Filing Date	Source	Description
268	Apr 18, 2023	NYS CEF	ORDER (PROPOSED): Order (Proposed) Granting Plaintiffs' Motion for Class Certification
269	Apr 19, 2023	NYS CEF	NOTICE OF MOTION (AMENDED): Amended Notice of Plaintiffs' Motion for Class Certification
270	Apr 24, 2023	NYS CEF	STIPULATION - SO ORDERED
271	Apr 24, 2023	NYS CEF	NOTICE OF CHANGE OF ATTORNEY (POST RJI): Notice of Withdrawal of Adam Hollander as Counsel
272	May 1, 2023	NYS CEF	STIPULATION - CONFIDENTIALITY (REQUEST TO SO ORDER): Stipulation And Order For The Production And Exchange Of Confidential Information
273	May 1, 2023	NYS CEF	AFFIRMATION: Affirmation of John Rizio-Hamilton and Daniella Quitt In Support of Modifications to the Model Form of Confidentiality
274	May 1, 2023	NYS CEF	EXHIBIT(S): (REDLINED) Changes to Stipulation and Order For The Production And Exchange Of Confidential Information
275	May 9, 2023	NYS CEF	STIPULATION - SO ORDERED
276	May 25, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Alec T. Coquin
277	May 25, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Nicole Santoro
278	May 25, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Branon A. Slotkin
279	May 31, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Attorney Appearance - Chase Stern
280	May 31, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Attorney Appearance - Christopher Fallon
281	Jun 1, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause
282	Jun 1, 2023	NYS CEF	AFFIRMATION: Affirmation of Robert A. Fumerton in Support of the Underwriter Defendants Order to Show Cause to Stay Discovery in this Action
283	Jun 1, 2023	NYS CEF	EXHIBIT(S): Exhibit A Decision and Order on Motion to Dismiss
284	Jun 1, 2023	NYS CEF	EXHIBIT(S): Exhibit B Notice of Appeal
285	Jun 1, 2023	NYS CEF	EXHIBIT(S): Exhibit C Order on Preliminary Conference
286	Jun 1, 2023	NYS CEF	EXHIBIT(S): Exhibit D Letter Addressed to the Honorable Andrew Borrok from Scott D. Musoff., Re- Stay
287	Jun 1, 2023	NYS CEF	EXHIBIT(S): Exhibit E Letter Opposing Conflicted UWs Request for Stay
288	Jun 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: The Underwriters Memorandum of Law in Support of Order to Show Cause Seeking a Stay

Entry #	Filing Date	Source	Description
			of Discovery
289	Jun 1, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Proposed Order to Show Cause for a Stay Pending Appeal
290	Jun 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Joinder and Memorandum of Law in Support of The Non-Trading Underwriter Defendants' Motion for Order to Show Cause for a Stay Pending Appeal
291	Jun 1, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affirmation of Rachel S. Sparks Bradley in Support of the Non-Trading Underwriter Defendants' Motion for an Order to Show Cause for a Stay Pending Appeal
292	Jun 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Joinder in Orders to Show Cause for a Stay Pending Appeal
293	Jun 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Joinder in Orders to Show Cause For a Stay
294	Jun 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Defendant Mizuho Securities USA LLCs Joinder in Support of the Defendants Orders To Show Cause Seeking a Stay of Discovery
295	Jun 2, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Joinder in Orders to Show Cause for a Stay Pending Appeal
296	Jun 2, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Defendant Wells Fargo Securities, LLC's Joinder in Orders to Show Cause for a Stay
297	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs Memorandum Of Law In Opposition To The Underwriters Order To Show Cause Seeking A Stay Of Discovery
298	Jun 9, 2023	NYS CEF	EXHIBIT(S): Towers Stay Order
299	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs Memorandum Of Law In Opposition To Non- Trading Underwriter Defendants Motion For Order To Show Cause For A Stay Pending Appeal
300	Jun 9, 2023	NYS CEF	EXHIBIT(S): Towers Stay Order
301	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs Memorandum Of Law In Opposition To MUFG Securities Americas Inc.s Joinder In Orders To Show Cause Pending Appeal
302	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Memorandum of Law In Opposition To BNP Paribas Securities Corp.s Joinder In Order To Show Cause For Stay
303	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs Memorandum of Law In Opposition To Mizuho Securities USA LLCs Joinder In Support of The Defendants Orders To Show Cause Seeking A Stay of Discovery
304	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs Memorandum of Law In Opposition To SMBC Nikko Securities America, Inc.s Joinder In Orders To Show Cause For A Stay Pending Appeal

Entry #	Filing Date	Source	Description
305	Jun 9, 2023	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Plaintiffs Memorandum of Law In Opposition To Wells Fargo Securities, LLCs Joinder In Orders to Show Cause For Stay
306	Jun 12, 2023	NYS CEF	DECISION + ORDER ON MOTION
307	Jun 12, 2023	NYS CEF	DECISION + ORDER ON MOTION
308	Jun 12, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 306)
309	Jun 12, 2023	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 307)
310	Jun 13, 2023	NYS CEF	TRANSCRIPT OF PROCEEDINGS - CERTIFIED: Transcript of Proceeding Held Before the Honorable Andrew Borrok on May 25, 2023
311	Jun 27, 2023	NYS CEF	NOTICE OF ENTRY
312	Jun 27, 2023	NYS CEF	NOTICE OF APPEAL: Notice of Appeal
313	Jun 27, 2023	NYS CEF	NOTICE OF APPEAL
314	Jun 29, 2023	NYS CEF	NOTICE OF APPEAL: Defendant Mizuho Securities USA LLC's Notice of Appeal dated 6/29/2023
315	Jun 30, 2023	NYS CEF	NOTICE OF APPEAL
316	Jun 30, 2023	NYS CEF	NOTICE OF APPEAL: Notice of Appeal
317	Jun 30, 2023	NYS CEF	NOTICE OF APPEAL
318	Jun 30, 2023	NYS CEF	NOTICE OF APPEAL: Defendant BNP Paribas Securities Corp.'s Notice of Appeal
319	Jul 11, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Deborah Sands
320	Jul 12, 2023	NYS CEF	OTHER COURT FILED DOCUMENT: SUBPOENA RECEIPT FROM AD1, 7/10/2023
321	Jul 18, 2023	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation Adjourning Motion
322	Jul 20, 2023	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Shane D. Avidan
323	Jul 21, 2023	NYS CEF	STIPULATION - ADJOURNMENT OF MOTION -IN SUBMISSIONS PART -RM 130
324	Aug 1, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause To Seal Certain Documents
325	Aug 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law In Support of Plaintiff Camelots Motion To Seal Deposition Transcript of Thomas Kirchner
326	Aug 1, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affirmation in Support of Order to Show Cause
327	Aug 1, 2023	NYS CEF	ORDER (PROPOSED): [Proposed] Order Permitting Filing Under Seal

Entry #	Filing Date	Source	Description
328	Aug 3, 2023	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
329	Sep 29, 2023	NYS CEF	STIPULATION - DISCOVERY (REQUEST TO SO ORDER): Amended Stipulation and [Proposed] Order Regarding Case Schedule
330	Oct 4, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED) (AMENDED): [Proposed] Order To Show Cause To Seal Document
331	Oct 5, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION: Affirmation of Scott D. Musoff in Support of Underwriter Defendants' Opposition to Plaintiffs' Motion for Class Certification
332	Oct 5, 2023	NYS CEF	EXHIBIT(S): March 26, 2021 article titled ViacomCBS Falls as Block Trade Is Said Offered via Goldman Sachs
336	Oct 5, 2023	NYS CEF	EXHIBIT(S): Camelot Portfolio Trade Authorization / Trade Ticket (Production No. CMLTVIAC00000234)
337	Oct 5, 2023	NYS CEF	EXHIBIT(S): Electronic Message dated March 24, 2021 (Production No. CMLTVIAC00003282)
338	Oct 5, 2023	NYS CEF	EXHIBIT(S): Electronic Messages dated March 24, 2021 (Production No. CMLTVIAC00003285)
339	Oct 5, 2023	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Transcript of deposition testimony of T. Kirchner
340	Oct 5, 2023	NYS CEF	EXHIBIT(S): Electronic Message dated March 24, 2021 (Production No. CMLTVIAC00003288)
342	Oct 5, 2023	NYS CEF	EXHIBIT(S): March 30, 2021 article titled How Bill Hwang got back into banks good books then blew them up
343	Oct 5, 2023	NYS CEF	EXHIBIT(S): April 1, 2021 article titled Morgan Stanley and Goldman Sachs roles in volatility of ViacomCBS raise questions
344	Oct 5, 2023	NYS CEF	EXHIBIT(S): Viacom Common Stock Prospectus Supplement, dated March 23, 2021
345	Oct 5, 2023	NYS CEF	EXHIBIT(S): Viacom Preferred Stock Prospectus Supplement, dated March 23, 2021
346	Oct 5, 2023	NYS CEF	EXHIBIT(S): March 24, 2021 ViacomCBS press release
347	Oct 5, 2023	NYS CEF	EXHIBIT(S): Affidavit of Brian J. Frank executed December 18, 2021
348	Oct 5, 2023	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Underwriter Defendants' Memorandum of Law in Opposition to Plaintiffs' Motion for Class Certification
349	Oct 6, 2023	NYS CEF	MEMORANDUM OF LAW IN REPLY: Reply Memorandum of Law In Further Support of Plaintiffs Motion For Class Certification
350	Oct 6, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN REPLY: Reply Affirmation of John Rizio-Hamilton and Daniella Quitt In Further Support of Plaintiffs Motion For Class Certification
351	Oct 6, 2023	NYS CEF	EXHIBIT(S): March 27, 2020 Form S-3 Registration Statement

Entry #	Filing Date	Source	Description
352	Oct 6, 2023	NYS CEF	EXHIBIT(S): Preliminary Prospectus (Common)
353	Oct 6, 2023	NYS CEF	EXHIBIT(S): Preliminary Prospectus (Preferred)
354	Oct 6, 2023	NYS CEF	EXHIBIT(S): FWP (Prospectus)
355	Oct 6, 2023	NYS CEF	EXHIBIT(S): Prospectus Suppl. (Common)
356	Oct 6, 2023	NYS CEF	EXHIBIT(S): Prospectus Suppl. (Preferred)
357	Oct 18, 2023	NYS CEF	ORDER TO SHOW CAUSE
358	Oct 18, 2023	NYS CEF	STIPULATION - SO ORDERED
359	Oct 31, 2023	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED)
360	Oct 31, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT
361	Oct 31, 2023	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: [CORRECTED] Joint Affirmation of John Rizio-Hamilton and Daniella Quitt
362	Oct 31, 2023	NYS CEF	EXHIBIT(S): Archegos-CEDF 0002070
363	Oct 31, 2023	NYS CEF	EXHIBIT(S): von zur Muehlen LinkedIn
364	Oct 31, 2023	NYS CEF	EXHIBIT(S): Heght September 19, 2023 email
365	Oct 31, 2023	NYS CEF	EXHIBIT(S): Deutsche Bank Response to Plaintiffs Subpoena
366	Oct 31, 2023	NYS CEF	EXHIBIT(S): Plaintiffs' First Requests for Production to Underwriter Defendants
367	Oct 31, 2023	NYS CEF	EXHIBIT(S): Heght August 7, 2023 email
368	Oct 31, 2023	NYS CEF	EXHIBIT(S): Plaintiffs Subpoena to Deutsche Bank
369	Oct 31, 2023	NYS CEF	EXHIBIT(S): Peng-Rue and Boon August 3, 2023 emails
370	Nov 1, 2023	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: [CORRECTED] Memorandum of law in Support of Plaintiffs' Motion for an Order to Show Cause.
371	Nov 2, 2023	NYS CEF	NOTICE OF ENTRY: Decision & Order (App. Div. 2023-03270 - Doc. No. 36)
372	Nov 6, 2023	NYS CEF	ORDER TO SHOW CAUSE
373	Nov 21, 2023	NYS CEF	REMITTITUR
374	Nov 30, 2023	NYS CEF	STIPULATION - DISCOVERY (REQUEST TO SO ORDER): Amended Stipulation and [Proposed] Order Regarding Case Schedule
375	Dec 4, 2023	NYS CEF	NOTICE OF WITHDRAWAL OF MOTION / ORDER TO SHOW CAUSE: Notice of Withdrawal of Motion To Compel Third-Party Deutsche Bank to Produce Documents
376	Dec 5, 2023	NYS CEF	DECISION + ORDER ON MOTION
377	Dec 8, 2023	NYS CEF	STIPULATION - DISCOVERY: DECLINED TO SIGN
378	Jan 4, 2024	NYS CEF	DECISION + ORDER ON MOTION

Entry #	Filing Date	Source	Description
379	Jan 4, 2024	NYS CEF	DECISION + ORDER ON MOTION
380	Jan 4, 2024	NYS CEF	DECISION + ORDER ON MOTION
381	Jan 25, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 378)
382	Jan 25, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 379)
383	Jan 25, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 380)
384	Feb 14, 2024	NYS CEF	NOTICE OF APPEAL: Notice of Appeal
385	Feb 21, 2024	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Amended Stipulation Regarding Case Schedule and Depositions
386	Feb 22, 2024	NYS CEF	STIPULATION - OTHER: SO ORDERED
387	Mar 11, 2024	NYS CEF	STIPULATION - DISCOVERY (REQUEST TO SO ORDER): Stipulation and Proposed Order Regarding Expert Discovery
388	Mar 13, 2024	NYS CEF	STIPULATION - DISCOVERY: SO ORDERED
389	Apr 4, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry
390	Apr 4, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered April 4, 2024
391	Apr 5, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry
392	Apr 5, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered April 4, 2024
393	Apr 5, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry of Appellate Division, First Department Decision and Order Duly Entered April 4, 2024
394	Apr 5, 2024	NYS CEF	NOTICE OF ENTRY: Defendant Mizuho Securities USA LLC's Notice of Entry dated 4/5/2024
395	Apr 5, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered April 4, 2024
396	Apr 5, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry
397	Apr 5, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered April 4, 2024
398	Apr 5, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Appellate Div. - First Dep't Decision & Order)
399	Apr 12, 2024	NYS CEF	NOTICE OF APPEARANCE (POST RJJ): Notice of Appearance of Julie E. Cohen
400	May 1, 2024	NYS CEF	REMITTITUR
401	May 3, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): To Provisionally Seal Documents and Produce Relevant Documents
402	May 3, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)

Entry #	Filing Date	Source	Description
403	May 3, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Compel Defendants to Produce Documents
404	May 3, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)
405	May 3, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): To Provisionally Seal Documents and Respond to Interrogatories
406	May 3, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)
407	May 3, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Compel Defendants to Respond to Interrogatories
408	May 3, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)
409	May 3, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Compel Defendants to Produce Relevant Documents
410	May 3, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): To Provisionally Seal Documents Filed As Exhibits
411	May 3, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)
412	May 3, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)(Doc 409)
413	May 4, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): To Provisionally Seal Documents and Respond to Interrogatories (CORRECTED)
414	May 4, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)
415	May 4, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Compelling Defendants to Respond to Interrogatory No. 6 (CORRECTED)
416	May 4, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Joint Affirmation Pursuant to 2217(b)
417	May 6, 2024	NYS CEF	NOTICE OF CHANGE OF ATTORNEY (POST RJJ): NOTICE OF CHANGE OF FIRM NAME AND EMAIL ADDRESSES
418	May 7, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
419	May 13, 2024	NYS CEF	ORDER TO SHOW CAUSE
420	May 13, 2024	NYS CEF	ORDER TO SHOW CAUSE
421	May 13, 2024	NYS CEF	ORDER TO SHOW CAUSE
422	May 13, 2024	NYS CEF	ORDER TO SHOW CAUSE
423	May 13, 2024	NYS CEF	ORDER TO SHOW CAUSE
424	May 13, 2024	NYS CEF	ORDER TO SHOW CAUSE

Entry #	Filing Date	Source	Description
425	May 14, 2024	NYS CEF	ORDER TO SHOW CAUSE
426	May 14, 2024	NYS CEF	ORDER TO SHOW CAUSE
427	May 16, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum Of Law In Support Of Plaintiffs Motion To Provisionally Seal Documents Filed As Exhibits To Plaintiffs Motion For An Order To Show Cause
428	May 16, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Joint Affirmation In Support of Plaintiffs' Motion To Provisionally Seal Documents Filed As Exhibits To Plaintiffs Motion For An Order To Show Cause
429	May 16, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Plaintiffs Memorandum Of Law In Support Of Their Motion Compelling Defendants To Produce Documents Improperly Withheld As Privileged
430	May 16, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Joint Affirmation In Support of Plaintiffs Memorandum Of Law In Support Of Their Motion Compelling Defendants To Produce Documents Improperly Withheld As Privileged
431	May 16, 2024	NYS CEF	EXHIBIT(S): Hughes Hubbard Subpoena
432	May 16, 2024	NYS CEF	EXHIBIT(S): Hughes Hubbard R&O
433	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' Letter to Musoff re GS
434	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' Letter to Musoff re MS
435	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' Response Letter
436	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' Response Letter to Morgan Stanley
437	May 16, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley and Goldman Sachs Response Letter
438	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' Letter to Wells Fargo
439	May 16, 2024	NYS CEF	EXHIBIT(S): Wells Fargo Response Letter to Plaintiffs
440	May 16, 2024	NYS CEF	EXHIBIT(S): Wells Fargo Letter
441	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiff email to Wells Fargo
442	May 16, 2024	NYS CEF	EXHIBIT(S): Production Letter
443	May 16, 2024	NYS CEF	EXHIBIT(S): Hughes Hubbard email
444	May 16, 2024	NYS CEF	EXHIBIT(S): In re Charles Schwab Court Order
445	May 16, 2024	NYS CEF	EXHIBIT(S): People v Trump slip opinion
446	May 16, 2024	NYS CEF	EXHIBIT(S): In re Barclays Rule 56.1 Statement
447	May 16, 2024	NYS CEF	EXHIBIT(S): Email from SMBC
448	May 16, 2024	NYS CEF	EXHIBIT(S): Email from Morgan Stanley
449	May 16, 2024	NYS CEF	EXHIBIT(S): Email from Wells Fargo

Entry #	Filing Date	Source	Description
450	May 16, 2024	NYS CEF	EXHIBIT(S): Email from Goldman Sachs
451	May 16, 2024	NYS CEF	EXHIBIT(S): Clawback Letter
452	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: JP Morgan Privilege Documents
453	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Privilege Log
454	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Metadata Log
455	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo Privilege Log
456	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo Priv. Log/Metadata Report
457	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Plaintiff Letter to Brody
458	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Plaintiffs email to Morgan Stanley & Goldman Sachs
459	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Depo Transcript excerpts N. Gelber
460	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email from Goldman Sachs
461	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email from Solomon at Goldman Sachs
462	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Due Diligence Manual
463	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Due Diligence Guidelines
464	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Moctezuma email
465	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Moctezuma email
466	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Metadata Log
467	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: FINRA Questionnaire
468	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs email
469	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs email
470	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs email
471	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo email
472	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Hughes Hubbard Letter
473	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Hughes Hubbard Letter
474	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MUSA Privilege Log
475	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Musa Priv. Log revised
476	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: BNPPSC Privilege Log
477	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: SMBC Privilege Log
478	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: JPM Privilege Log revised

Entry #	Filing Date	Source	Description
479	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Citigroup Privilege Log
480	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: US Bancorp Privilege Log
481	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ICBC Privilege Log
482	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Hughes Hubbard email
483	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Hughes Hubbard email
484	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Amna Malik email
485	May 16, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law In Support Of Plaintiffs Motion To Provisionally Seal Documents and Compel Defendants To Respond To Interrogatory No. 6
486	May 16, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Joint Affirmation In Support Of Plaintiffs Motion To Provisionally Seal Documents and Compel Defendants To Respond To Interrogatory No. 6
487	May 16, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Plaintiffs Memorandum Of Law In Support Of Motion To Compel Defendants Re: Interrogatory No. 6
488	May 16, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Joint Affirmation In Support of Plaintiffs Memorandum Of Law In Support Of Motion To Compel Defendants Re: Interrogatory No. 6
489	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley R&Os to Interrogatories
490	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs R&Os to Interrogatories
491	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo R&Os to Interrogatories
492	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Gelber transcript excerpts
493	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Policy Manual
494	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley email
495	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan stanley email
496	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Policies
497	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Charts
498	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Greco Transcript excerpts
499	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Lele Transcript excerpts
500	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Billington Transcript excerpts
501	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley R&Os (supplemental)
502	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs R&Os (supplemental)

Entry #	Filing Date	Source	Description
503	May 16, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Plaintiffs Memorandum Of Law In Support Of Their Motion Compelling Defendants To Produce Relevant Documents and Audio Files
504	May 16, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Joint Affirmation In Support of Plaintiffs' Motion Compelling Defendants To Produce Relevant Documents and Audio Files
505	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Policies
506	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit submitted in hard copy
507	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit submitted in hard copy
508	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit submitted in hard copy
509	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Gelber transcript excerpts
510	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Depo exhibit of Gelber
511	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit submitted in hard copy
512	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Transcript excerpt of Gelber
513	May 16, 2024	NYS CEF	EXHIBIT(S): Davis-West at Skadden email
514	May 16, 2024	NYS CEF	EXHIBIT(S): N. Santoro email to defedants' counsel
515	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Plaintiffs' counsel letter to defendants' counsel
516	May 16, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' First Request for Documents
517	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs policies
518	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley email
519	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley email
520	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Chart
521	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley email
522	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Rusoff email
523	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: P. han email
524	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: P. Han email
525	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Gelber Depo Exhibit
526	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Gelber Depo. Exhibit
527	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: S. Lele email
528	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Chesner Depo Exhibit
529	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: A. Mills email
530	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: W. O'Brien email

Entry #	Filing Date	Source	Description
531	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' counsel letter
532	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: M. Nachman email
533	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Chesner Transcript excerpts
534	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: A. Greco Transcript excerpts
535	May 16, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: J. Paci email
536	May 16, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum Of Law In Support Of Plaintiffs Motion To Provisionally Seal Documents and Compelling Defendants To Produce Audio Recordings and Ethical Wall Logs
537	May 16, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Joint Affirmation In Support of Plaintiffs Motion To Provisionally Seal Documents and Compelling Defendants To Produce Audio Recordings and Ethical Wall Logs
538	May 16, 2024	NYS CEF	NOTICE OF HARD COPY EXHIBIT FILING: Hard copies submitted for Document Nos. 506, 507, 508 and 511
539	May 16, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service re: documents served in Motions 18 and 19
540	May 16, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service re: documents served in Motions 22 and 23
541	May 16, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service re: documents served in Motions 24 and 25
542	May 21, 2024	NYS CEF	REMITTITUR
543	May 24, 2024	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Amended Stipulation Regarding Case Schedule and Depositions
544	Jun 5, 2024	NYS CEF	STIPULATION - OTHER: Amended Stipulation Regarding Case Schedule and Depositions
545	Jun 10, 2024	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: MEMORANDUM OF LAW IN OPPOSITION TO PLAINTIFFS MOTION FOR AN ORDER TO SHOW CAUSE COMPELLING NON-PARTY HUGHES HUBBARD & REED LLP TO PRODUCE DOCUMENTS
546	Jun 10, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law of Defendant's Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC in Opposition to Plaintiff's Motion for an Order to Show Cause Compelling Defendants to Respond to Interrogatory No. 6
547	Jun 10, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Scott D. Musoff in Opposition to Plaintiffs' Motion to Compel Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC To Respond to Interrogatory No. 6
548	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Plaintiffs' First Set of Interrogatories

Entry #	Filing Date	Source	Description
549	Jun 10, 2024	NYS CEF	EXHIBIT(S): Plaintiffs April 1 Letter
550	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Supplemental R&O's
551	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sach's Supplemental R&O's
552	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Policy Manual
553	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
554	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
555	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Wall Cross log
556	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's wall-cross log
557	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
558	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Procedures Manual
559	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Procedures Manual
560	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Policy Manual
561	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Policy Manual
562	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Compliance Manual
563	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
564	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Presentation
565	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
566	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
567	Jun 10, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Defendants' Memorandum of Law in Opposition to Plaintiffs' Motion to Compel Goldman Sachs & Co. LLC and Morgan Stanley & Co. LLC to Produce Relevant Documents
568	Jun 10, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Scott D. Musoff in Opposition to Plaintiffs' Motion to Compel Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC To Produce Relevant Document
569	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Audio File
570	Jun 10, 2024	NYS CEF	EXHIBIT(S): Plaintiffs Email
571	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Transcript
572	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs List

Entry #	Filing Date	Source	Description
573	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs List
574	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Entries
575	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Entries
576	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Entries
577	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley List
578	Jun 10, 2024	NYS CEF	EXHIBIT(S): Plaintiffs Letter
579	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley R&O's
580	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs R&O's
581	Jun 10, 2024	NYS CEF	EXHIBIT(S): Plaintiffs Email
582	Jun 10, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law of Defendants Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC in Opposition to Plaintiffs' Motion for an Order to Show Cause Compelling Defendants to Produce Documents Improperly Withheld as Privileged
583	Jun 10, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Scott D. Musoff in Opposition to Plaintiffs' Motion to Compel Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC to Produce Documents Improperly Withheld as Privileged
584	Jun 10, 2024	NYS CEF	EXHIBIT(S): Skadden Email
585	Jun 10, 2024	NYS CEF	EXHIBIT(S): MS/GS Letter
586	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Due Diligence Questions
587	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
588	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
589	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
590	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Morgan Stanley log
591	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Goldman Sachs log
592	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Distribution List
593	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Distribution List
594	Jun 10, 2024	NYS CEF	EXHIBIT(S): Skadden Email
595	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Goldman Sachs logs
596	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Goldman Sachs logs

Entry #	Filing Date	Source	Description
597	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS letter
598	Jun 10, 2024	NYS CEF	EXHIBIT(S): In re Charles Schwab Plaintiff brief
599	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
600	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
601	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
602	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
603	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Document
604	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
605	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
606	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
607	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
608	Jun 10, 2024	NYS CEF	EXHIBIT(S): Hughes Hubbard Letter
609	Jun 10, 2024	NYS CEF	EXHIBIT(S): Hughes Hubbard Affidavit
610	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ViacomCBS Prospectus Supplement
611	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ViacomCBS Underwriting Agreement
612	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
613	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
614	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
615	Jun 10, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Wells Fargo's Memorandum of Law in Opposition to Plaintiffs' Motion to Compel Defendants to Respond to Interrogatory No. 6
616	Jun 10, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Scott Yi
617	Jun 10, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Matthew J. Dolan
618	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 1 - Wells Fargo Policies 2020 Excerpts
619	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 2 - Wells Fargo Policies 2021 Excerpts
620	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 3 - Wells Fargo Presentation
621	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 4 - McCooley Transcript Excerpts
622	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 5 - Wells Fargo Memo

Entry #	Filing Date	Source	Description
623	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 6 - 3/26/21 Wells Fargo Email
624	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 7 - 3/29/21 Wells Fargo Email
625	Jun 10, 2024	NYS CEF	EXHIBIT(S): Exhibit 8 - Interrogatories to Underwriters
626	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 9 - Wells Fargo Responses and Objections
627	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 10 - 4/1/24 Plaintiffs' Letter to Defendants
628	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 11 - 3/22/21 Wells Fargo Email
629	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 12 - 3/24/21 Wells Fargo Email
630	Jun 10, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Wells Fargo's Memorandum of Law in Opposition to Plaintiff's Motion to Compel Defendants and Non-Party to Produce Privileged Documents
631	Jun 10, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Matthew J. Dolan
632	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 1 - Calendar Invitation 3/22/21
633	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 2 - Calendar Invitation 3/23/21
634	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 3 - Wells Fargo Memo
635	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 4 - Wells Fargo Privilege Log
636	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 5 - Plaintiffs' Letter to Wells Fargo 12/19/23
637	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 6 - Wells Fargo's Letter to Plaintiffs 1/22/24
638	Jun 10, 2024	NYS CEF	EXHIBIT(S): Exhibit 7 - Plaintiffs' Letter to Wells Fargo 1/30/24
639	Jun 10, 2024	NYS CEF	EXHIBIT(S): Exhibit 8 - Wells Fargo's Letter to Plaintiffs 2/21/24
640	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 9 - Emails Between Plaintiffs and Wells Fargo
641	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 10 - Wells Fargo Email 3/26/21
642	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 11 - Wells Fargo's Letter to the Court 4/12/24
643	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 12 - Wells Fargo Email 4/1/21

Entry #	Filing Date	Source	Description
644	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 13 - Wells Fargo Email 3/29/21
645	Jun 10, 2024	NYS CEF	EXHIBIT(S): Exhibit 14 - Underwriting Agreement
646	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 15 - Broner Transcript Excerpts
647	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 16 - Wells Fargo Supplemental Privilege Log
648	Jun 10, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Exhibit 17 - Wells Fargo Privilege Log Metadata Report
649	Jun 14, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause to Seal
650	Jun 14, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law in Support of Morgan Stanely & CO. LLC & Goldman Sachs & Co. LLC's Motion by Order to Show Cause to Seal
651	Jun 14, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Scott D. Musoff in Support of Morgan Stanely & CO. LLC & Goldman Sachs & Co. LLC's Motion by Order to Show Cause to Seal
652	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Log
653	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Log
654	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Log
655	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Plaintiffs' Email and Spreadsheet Identifying Documents
656	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Transcript
657	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
658	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
659	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Policy Manual
660	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Policy Manual
661	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
662	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
663	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Log
664	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document

Entry #	Filing Date	Source	Description
665	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
666	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
667	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
668	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Hughes Hubbard Letter
669	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
670	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
671	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
672	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
673	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's R&O's
674	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's R&O's
675	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Transcript
676	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Compliance Manual
677	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
678	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
679	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
680	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
681	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Transcript
682	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Transcript
683	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Transcript
685	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Supplemental R&O's
686	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
687	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced

Entry #	Filing Date	Source	Description
			Document
688	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
689	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Audio File
690	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Transcript
691	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Exhibit
692	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Audio File
693	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Exhibit
694	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
695	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
696	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
697	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
698	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Exhibit
699	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
700	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
701	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
702	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Exhibit
703	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Deposition Exhibit
704	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
705	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Exhibit
706	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document
707	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
708	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley and Goldman Sachs Letter
709	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Produced Document

Entry #	Filing Date	Source	Description
710	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Transcript
711	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Transcript
712	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
713	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' Memorandum of Law (Motion #25)
714	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' Affirmation (Motion #25)
715	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Plaintiffs' First Set of Interrogatories
716	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Supplemental R&O's
717	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Supplemental R&O's
718	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Policy Manual
719	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
720	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
721	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Entries
722	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Entries
723	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
724	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Procedures Manual
725	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Procedures Manual
726	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs's Policy Manual
727	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanleys Compliance Manual
728	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley's Compliance Manual
729	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
730	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Presentation
731	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
732	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
733	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' Memorandum of Law (Motion #22)

Entry #	Filing Date	Source	Description
734	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' Affirmation (Motion #22)
735	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Audio Call
736	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Deposition Transcript
737	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs List
738	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs List
739	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Entries
740	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Entries
741	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Entries
742	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley List
743	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley R&O's
744	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs R&O's
745	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' Memorandum of Law (Motion #19)
746	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Defendants' Memorandum of Law (Motion #19)
747	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Due Diligence Questions
748	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
749	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
750	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
751	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Morgan Stanley Log
752	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Goldman Sachs Log
753	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Distribution List
754	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Distribution List
755	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Goldman Sachs logs
756	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Skadden Email and Morgan Stanley logs
757	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Letter
758	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
759	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
760	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email

Entry #	Filing Date	Source	Description
761	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
762	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Produced Document
763	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
764	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
765	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
766	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
767	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ViacomCBS Prospectus Supplement
768	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ViacomCBS Underwriting Agreement
769	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
770	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
771	Jun 14, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
772	Jun 14, 2024	NYS CEF	EXHIBIT(S): Defendants' Redacted Memorandum of Law (Motion #19)
773	Jun 14, 2024	NYS CEF	EXHIBIT(S): Defendants' Redacted Memorandum of Law (Motion #22)
774	Jun 14, 2024	NYS CEF	EXHIBIT(S): Defendants' Redacted Memorandum of Law (Motion #25)
775	Jun 14, 2024	NYS CEF	EXHIBIT(S): Defendants' Redacted Affirmation (Motion #22)
776	Jun 14, 2024	NYS CEF	EXHIBIT(S): Defendants' Redacted Affirmation (Motion #25)
777	Jun 14, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause
778	Jun 14, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affirmation of Matthew J. Dolan in Support of Defendant Wells Fargo Securities, LLC's Order to Show Cause to Seal
779	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 615 - Redacted Memorandum of Law in Opposition to Plaintiff's Order to Show Cause to Compel (Interrogatory 6)
780	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 616 - Affirmation of Scott Yi (Under Seal)
781	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 617 - Redacted Affirmation of Matthew J. Dolan in Support of Wells Fargo's Opposition to Plaintiffs' Order to Show Cause to Compel (Interrogatory 6)
782	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 618 - Exhibit 1 to Dolan Affirmation (Under Seal)
783	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 619 - Exhibit 2 to Dolan Affirmation (Under Seal)
784	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 620 - Exhibit 3 Presentation (Under Seal)
785	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 621 - Exhibit 4 McCooey 3/28/24 transcript (Under Seal)
786	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 622 - Exhibit 5 to Dolan Affirmation (Under Seal)

Entry #	Filing Date	Source	Description
787	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 623 - Exhibit 6 3/26/21 Email (Under Seal)
788	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 624 - Exhibit 7 3/29/21 Email (Under Seal)
789	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 626 - Exhibit 9 Wells Fargo's Responses and Objections (Under Seal)
790	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 627 - Exhibit 10 4/1/24 Letter (Under Seal)
791	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 628 - Exhibit 11 3/22/21/ Email (Under Seal)
792	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 629 - Exhibit 12 3/24/21 Email (Under Seal)
793	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 630 - Redacted Wells Fargo's Memorandum of Law in Opposition to Plaintiff's Order to Show Cause to Compel (Privilege)
794	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 631 - Redacted Affirmation of Matthew J. Dolan in Opposition to Order to Show Cause to Compel (Privilege)
795	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 632 - Exhibit 1 3/22/21 Calendar Invitation (Under Seal)
796	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 633 - Exhibit 2 3/23/21 Calendar Invitation (Under Seal)
797	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 634 - Exhibit 3 to Dolan Affirmation (Under Seal)
798	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 635 - Exhibit 4 Wells Fargo's Privilege Log (Under Seal)
799	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 636 - Exhibit 5 Redacted 12/19/23 Letter
800	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 637 - Exhibit 6 Redacted 1/22/24 Letter
801	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 640 - Exhibit 9 Redacted January to February 2024 Email Chain
802	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 641 - Exhibit 10 3/26/21 Email (Under Seal)
803	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 642 - Exhibit 11 Redacted 4/12/24 Letter to Court
804	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 643 - Exhibit 12 4/1/21 Email (Under Seal)
805	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 644 - Exhibit 13 3/29/21 Email (Under Seal)
806	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 646 - Exhibit 15 5/16/24 Broner Transcript (Under Seal)
807	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 647 - Exhibit 16 Wells Fargo's Supplemental Categorical Privilege Log (Under Seal)
808	Jun 14, 2024	NYS CEF	EXHIBIT(S): NYSCEF 648 - Exhibit 17 Wells Fargo's Privilege Log Metadata Report (Under Seal)
809	Jun 18, 2024	NYS CEF	REQUEST FOR RESTRICTED STATUS
810	Jun 26, 2024	NYS CEF	REQUEST FOR RESTRICTED STATUS

Entry #	Filing Date	Source	Description
811	Jul 8, 2024	NYS CEF	STIPULATION - ADJOURNMENT OF CONFERENCE
812	Jul 11, 2024	NYS CEF	STIPULATION - ADJOURNMENT OF CONFERENCE: SO ORDERED
813	Jul 15, 2024	NYS CEF	NOTICE OF CHANGE OF ATTORNEY (POST RJI): Notice of Withdrawal of Attorney Chase Stern
814	Jul 25, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry of Order of the Appellate Division, First Department entered on July 25, 2024
815	Jul 25, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry
816	Jul 25, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered July 25, 2024
817	Jul 25, 2024	NYS CEF	NOTICE OF ENTRY: Defendant Mizuho Securities USA LLC's Notice of Entry dated 7/25/2024 re. Order of the Appellate Division, First Department entered on July 25, 2024
818	Jul 25, 2024	NYS CEF	NOTICE OF ENTRY
819	Jul 25, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered July 25, 2024
820	Jul 25, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry
821	Jul 25, 2024	NYS CEF	EXHIBIT(S): Appellate Division, First Department Decision and Order entered July 25, 2024
822	Aug 1, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order To Show Cause - Pro Hac Vice of Kevin F. Ruf
823	Aug 1, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affidavit of Kevin F. Ruf In Support of Pro Hac Vice
824	Aug 1, 2024	NYS CEF	EXHIBIT(S): Certificate of Good Standing
825	Aug 1, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Daniella Quitt In Support of Pro Hac Vice of Kevin F. Ruf
826	Aug 1, 2024	NYS CEF	ORDER (PROPOSED): Proposed Order - Kevin F. Ruf Pro Hac Vice Admission
827	Aug 2, 2024	NYS CEF	DECISION + ORDER ON MOTION
828	Aug 5, 2024	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation and [Proposed] Order Regarding Additional Depositions
829	Aug 7, 2024	NYS CEF	NOTICE OF APPEARANCE (POST RJI): Notice of Appearance - Kevin Ruf
830	Sep 3, 2024	NYS CEF	DECISION + ORDER ON MOTION
831	Sep 3, 2024	NYS CEF	DECISION + ORDER ON MOTION
832	Sep 3, 2024	NYS CEF	DECISION + ORDER ON MOTION
833	Sep 3, 2024	NYS CEF	DECISION + ORDER ON MOTION

Entry #	Filing Date	Source	Description
834	Sep 13, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): (Proposed) Order to Show Cause
835	Sep 13, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law of Defendant Goldman Sachs & Co. LLC in Support of Its Order to Show Cause Preventing Plaintiffs From Deposing Five Senior Officers
836	Sep 13, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Scott Musoff in Support of Defendant Goldman Sachs & Co. LLC's Order to Show Cause Preventing Plaintiffs from Deposing Five Senior Officers
837	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ViacomCBS 5.75% Series A Mandatory Convertible Preferred Stock Underwriting Agreement
838	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: ViacomCBS Class B Common Stock Underwriting Agreement
839	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
840	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
841	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
842	Sep 13, 2024	NYS CEF	EXHIBIT(S): Plaintiffs Letter
843	Sep 13, 2024	NYS CEF	EXHIBIT(S): Plaintiffs Email
844	Sep 13, 2024	NYS CEF	EXHIBIT(S): Plaintiffs Email
845	Sep 13, 2024	NYS CEF	EXHIBIT(S): Plaintiffs' Draft Stipulation and [Proposed] Order Regarding Additional Depositions
846	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
847	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
848	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
849	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
850	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
851	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
852	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
853	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Memorandum
854	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
855	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
856	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Text Messages
857	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Presentation
858	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
859	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email

Entry #	Filing Date	Source	Description
860	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
861	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
862	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Text Messages
863	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
864	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
865	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
866	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
867	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
868	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Text Messages
869	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Text Messages
870	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
871	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
872	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Presentation
873	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Email
874	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs Presentation
875	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Goldman Sachs' Supplemental Responses and Objections to Plaintiffs' Interrogatory No. 6
876	Sep 13, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Proposed Order to Show Cause for a Protective Order
877	Sep 13, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Affirmation of Matthew J. Dolan in Support
878	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 8/6/24 Viacom Depositions
879	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 4/26/21 Presentation
880	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/31/21 Memo
881	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Excerpts of 3/28/24 McCooey Transcript
882	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2/9/21 Email
883	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/22/21 Email
884	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/23/21 Email
885	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/24/21 Email
886	Sep 13, 2024	NYS CEF	EXHIBIT(S): 3/25/21 Call Transcript
887	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/26/21 Wells Fargo Email
888	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/29/21 Wells Fargo Email

Entry #	Filing Date	Source	Description
889	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Excerpts of 6/25/24 Travers Transcript
890	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Excerpts of 5/16/24 Broner Transcript
891	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2020 Wells Fargo Policies
892	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2021 Wells Fargo Policies
893	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2018 Wells Fargo Memo
894	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/26/21 Texts
895	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/26/21 Email
896	Sep 13, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 3/28/21 Texts
897	Sep 13, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Wells Fargo's Memorandum of Law in Support of its Order to Show Cause for a Protective Order
898	Sep 16, 2024	NYS CEF	NOTICE OF CHANGE OF FIRM NAME OR ADDRESS (POST RJJ): Notice of Change of Firm Address
899	Sep 16, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause
900	Sep 16, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Scott D. Musoff in Support of Defendant Goldman Sachs & Co. LLC Order to Show Cause to Seal
901	Sep 16, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law in Support of Defendant Goldman Sachs & Co. LLC's Order to Show Cause to Seal
902	Sep 16, 2024	NYS CEF	MEMORANDUM OF LAW: Memorandum of Law of Defendant Goldman Sachs & Co. LLC's in Support of its Order to Show Cause Preventing Plaintiffs from Deposing Five Senior Officers
903	Sep 17, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Proposed Order to Show Cause to Seal
904	Sep 17, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Matthew J. Dolan in Support of Order to Show Cause to Seal
905	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 878
906	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 879
907	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 880
908	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 881
909	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 882
910	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 883
911	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 884

Entry #	Filing Date	Source	Description
912	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 885
913	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 897 (Memo of Law in Support of OSC for Protective Order)
914	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 887
915	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 888
916	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 889
917	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 890
918	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 891
919	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 892
920	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 893
921	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 894
922	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 895
923	Sep 17, 2024	NYS CEF	EXHIBIT(S): Proposed Redactions/Sealing for NYSCEF Doc. No. 896
924	Sep 17, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Order to Show Cause to Seal
925	Sep 17, 2024	NYS CEF	APPENDIX: Sealing/Redaction Spreadsheet in Support of Order to Show Cause to Seal
926	Sep 20, 2024	NYS CEF	STIPULATION - OTHER - (REQUEST TO SO ORDER): Stipulation and [Proposed] Order Regarding Additional Depositions and Case Schedule
927	Sep 20, 2024	NYS CEF	REQUEST FOR RESTRICTED STATUS
928	Sep 24, 2024	NYS CEF	STIPULATION - OTHER: SO ORDERED/Stipulation and Order Regarding Additional Depositions and Case Schedule
929	Sep 27, 2024	NYS CEF	REQUEST FOR RESTRICTED STATUS
930	Sep 27, 2024	NYS CEF	ORDER TO SHOW CAUSE
931	Sep 27, 2024	NYS CEF	ORDER TO SHOW CAUSE
932	Sep 27, 2024	NYS CEF	ORDER TO SHOW CAUSE
933	Sep 27, 2024	NYS CEF	ORDER TO SHOW CAUSE
934	Sep 27, 2024	NYS CEF	DECISION + ORDER ON MOTION
935	Sep 27, 2024	NYS CEF	DECISION + ORDER ON MOTION
936	Sep 27, 2024	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
937	Sep 27, 2024	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
938	Sep 27, 2024	NYS CEF	NOTICE OF ENTRY: Notice of Entry (Doc. No. 935)
939	Sep 30, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Plaintiffs

Entry #	Filing Date	Source	Description
			Memorandum Of Law In Opposition To Defendant Wells Fargo Securities, LLCs Order To Show Cause For A Protective Order
940	Sep 30, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Joint Affirmation iso Plaintiffs Memorandum Of Law In Opposition To Defendant Wells Fargo Securities, LLCs Order To Show Cause For A Protective Order
941	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: McCooey Trans excerpt
942	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: McCooey Ex. 169
943	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Travers Exh. 592
944	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00024451
945	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Travers Exh. 589
946	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00024439
947	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00008269
948	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00008358
949	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00241101
950	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00030539
951	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00030549
952	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00007220
953	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Broner Exh. 454
954	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Travers Exh. 599
955	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Travers Exh. 600
956	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: McCooey Ex. 177
957	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Broner Excerpts
958	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00031195
959	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: McCooey Exh. 187
960	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: McCooey Exh. 170
961	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Bronner Exh. 455
962	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: McCooey Exh.174
963	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Travers Excerpts
964	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: DiClemente Excerpts
965	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Moctezuma Excerpts
966	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Beller Excerpts
967	Sep 30, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Demark Excerpts

Entry #	Filing Date	Source	Description
968	Sep 30, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Proposed] Order To Show Cause To Provisionally Seal Documents Filed In Connection With Plaintiffs Opposition To Defendant Wells Fargo Securities, LLCs Order To Show Cause For A Protective Order Prohibiting Plaintiffs From Taking Certain Depositions
969	Sep 30, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law iso Motion To Provisionally Seal Documents Filed In Connection With Plaintiffs Opposition To Defendant Wells Fargo Securities, Llcs Order To Show Cause For A Protective Order Prohibiting Plaintiffs From Taking Certain Depositions
970	Sep 30, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Joint Affirmation of Daniella Quitt and John Rizio-Hamilton iso Plaintiffs Motion To Provisionally Seal Documents Filed In Connection With Plaintiffs Opposition To Defendant Wells Fargo Securities, LLCs Order To Show Cause For A Protective Order
971	Sep 30, 2024	NYS CEF	APPENDIX: Seal/Redaction Spreadsheet
972	Oct 1, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
973	Oct 1, 2024	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
974	Oct 1, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
975	Oct 1, 2024	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
976	Oct 1, 2024	NYS CEF	NOTIFICATION FOR SEALING
977	Oct 9, 2024	NYS CEF	ORDER TO SHOW CAUSE
978	Oct 9, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
979	Oct 21, 2024	NYS CEF	NOTICE OF CHANGE OF ATTORNEY (POST RJJ): Notice of Withdrawal of Jai K. Chandrasekhar as Counsel
980	Oct 28, 2024	NYS CEF	NOTICE OF APPEAL: Notice of Appeal
981	Nov 4, 2024	NYS CEF	NOTICE OF CHANGE OF ATTORNEY (POST RJJ): Notice of Withdrawal of Brandon Slotkin as Counsel
982	Nov 11, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL
983	Nov 11, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL
984	Nov 11, 2024	NYS CEF	EXHIBIT(S): Annual Report
985	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000065458
986	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000069458
987	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000112069
988	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000069659
989	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000069675
990	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000080925

Entry #	Filing Date	Source	Description
991	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000112037
992	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000282799
993	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284192
994	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284191
995	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284222
996	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000248266
997	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000069373
998	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000120966
999	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000113886
1000	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284185
1001	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000121235
1002	Nov 11, 2024	NYS CEF	EXHIBIT(S): Q1 2021 Earnings Call Transcript
1003	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284224
1004	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000121983
1005	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284167
1006	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000029646
1007	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000069193
1008	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000066071
1009	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000248254
1010	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000284230
1011	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000112227
1012	Nov 11, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000120911
1013	Nov 11, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause to Provisionally Seal Documents
1014	Nov 11, 2024	NYS CEF	MEMORANDUM OF LAW
1015	Nov 11, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT
1016	Nov 11, 2024	NYS CEF	APPENDIX: Seal/Redaction Spreadsheet
1017	Nov 12, 2024	NYS CEF	ORDER TO SHOW CAUSE: Signed
1018	Nov 13, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
1019	Nov 14, 2024	NYS CEF	DECISION + ORDER ON MOTION
1020	Nov 14, 2024	NYS CEF	DECISION + ORDER ON MOTION
1021	Nov 20, 2024	NYS CEF	NOTICE OF APPEARANCE (POST RJJ): Notice of Appearance of Mikal

Entry #	Filing Date	Source	Description
			Davis-West
1022	Nov 20, 2024	NYS CEF	LETTER / CORRESPONDENCE TO JUDGE: Letter addressed to the Honorable Andrew Borrok from Scott D. Musoff
1023	Nov 20, 2024	NYS CEF	EXHIBIT(S): Chart Identifying Exhibits 1-68
1024	Nov 20, 2024	NYS CEF	EXHIBIT(S): Chart Identifying Exhibits 69-98
1025	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1026	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1027	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Policy Manual
1028	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Policy Manual
1029	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1030	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1031	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1032	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1033	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1034	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1035	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1036	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1037	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley's Compliance Manual
1038	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1039	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1040	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Policy Manual
1041	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanleys Supplemental R&O's
1042	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Supplemental R&O's
1043	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1044	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1045	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1046	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1047	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1048	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1049	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1050	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1051	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Deposition Exhibit

Entry #	Filing Date	Source	Description
1052	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Deposition Exhibit
1053	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1054	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Deposition Exhibit
1055	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1056	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1057	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1058	Nov 21, 2024	NYS CEF	EXHIBIT(S): Defendants' Memorandum of Law (Motion #25)
1059	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley's Supplemental R&O's
1060	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Supplemental R&O's
1061	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley's Policy Manual
1062	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Policy Manual
1063	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Policy Manual
1064	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Policy Manual
1065	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Procedures Manual
1066	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley's Procedures Manual
1067	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs's Policy Manual
1068	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanleys Compliance Manual
1069	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanleys Compliance Manual
1070	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1071	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Presentation
1072	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1073	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1074	Nov 21, 2024	NYS CEF	EXHIBIT(S): Defendants' Memorandum of Law (Motion #19)
1075	Nov 21, 2024	NYS CEF	EXHIBIT(S): Due Diligence Questions
1076	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1077	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1078	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1079	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Distribution List
1080	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Distribution List
1081	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1082	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email

Entry #	Filing Date	Source	Description
1083	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1084	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1085	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Produced Document
1086	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1087	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1088	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1089	Nov 21, 2024	NYS CEF	EXHIBIT(S): Morgan Stanley Email
1090	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1091	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1092	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1093	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1094	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1095	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1096	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1097	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1098	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1099	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1100	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1101	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1102	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1103	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1104	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1105	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1106	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1107	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1108	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1109	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1110	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1111	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Text Message
1112	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1113	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email

Entry #	Filing Date	Source	Description
1114	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1115	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1116	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1117	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1118	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1119	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1120	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Email
1121	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs Produced Document
1122	Nov 21, 2024	NYS CEF	EXHIBIT(S): Goldman Sachs R&Os
1123	Dec 10, 2024	NYS CEF	NOTICE OF CHANGE OF ATTORNEY (POST RJJ): Notice of Withdrawal of Nicole Santoro as Counsel
1124	Dec 31, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Order to Show Cause Compelling Defendant Morgan Stanley & Co. LLC to Produce it April Board Materials Improperly Withheld as Privileged
1125	Dec 31, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Order to Show Cause [REDACTED]
1126	Dec 31, 2024	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law in Support of Order to Show Cause
1127	Dec 31, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Affirmation in Support of Order to Show Cause [REDACTED]
1128	Dec 31, 2024	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Joint Affirmation in Support of Order to Show Cause
1129	Dec 31, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Supplemental Production Privilege Log
1130	Dec 31, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000286959
1131	Dec 31, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000288400
1132	Dec 31, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Transcript
1133	Dec 31, 2024	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000275614
1134	Dec 31, 2024	NYS CEF	EXHIBIT(S): 2024-11-13 Email from S. Musoff
1135	Dec 31, 2024	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Order to Show to Provisionally Seal Documents Filed in Connection with Plaintiffs' Motion for an Order to Show Cause Compelling Defendant Morgan Stanley & Co. LLC to Produce its April Board Materials Improperly Withheld as Privileged
1136	Dec 31, 2024	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Order to Show Cause

Entry #	Filing Date	Source	Description
1137	Dec 31, 2024	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Affirmation in Support of Order to Show Cause
1138	Dec 31, 2024	NYS CEF	APPENDIX: Sealing Redaction Spreadsheet
1139	Dec 31, 2024	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
1140	Jan 3, 2025	NYS CEF	ORDER TO SHOW CAUSE
1141	Jan 3, 2025	NYS CEF	ORDER TO SHOW CAUSE
1142	Jan 15, 2025	NYS CEF	STIPULATION - DISCOVERY (REQUEST TO SO ORDER): Amended Stipulation and [Proposed] Order Regarding Case Schedule
1143	Jan 17, 2025	NYS CEF	STIPULATION - DISCOVERY: Amended Stipulation and Order Regarding Case Schedule
1144	Jan 21, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): OTSC re. Documents re Archehos Withheld as Privileged
1145	Jan 21, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: MOL ISO OTSC re. Documents re Archehos Withheld as Privileged - Redacted
1146	Jan 21, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: MOL ISO OTSC re. Documents re Archehos Withheld as Privileged
1147	Jan 21, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Affirmation ISO OTSC re. Documents re Archehos Withheld as Privileged
1148	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2023-12-04 Morgan Stanley Categorical Privilege Log
1149	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2024-02-07 Morgan Stanley Privilege Log
1150	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2025-01-20 Morgan Stanley Privilege Log
1151	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2023-12-04 Goldman Privilege Log
1152	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2024-02-02 Goldman Privilege Log
1153	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2025-01-03 Goldman Privilege Log
1154	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2023-12-04 Wells Fargo Securities LLC's Privilege Log
1155	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2024-02-16 Wells Fargo's Supplemental Categorical Privilege Log
1156	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo's Privilege Log Metadata Report
1157	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: 2024-06-10 Wells Fargo's Metadata Redaction Log
1158	Jan 21, 2025	NYS CEF	EXHIBIT(S): Oct. 15, 2024 Letter from Defendant Wells Fargo

Entry #	Filing Date	Source	Description
1159	Jan 21, 2025	NYS CEF	EXHIBIT(S): Nov. 18, 2024 Email from Defendant MS attaching clawback letter
1160	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000070935
1161	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00178452
1162	Jan 21, 2025	NYS CEF	EXHIBIT(S): Nov. 14, 2024 GS-MS Letter
1163	Jan 21, 2025	NYS CEF	EXHIBIT(S): Jan. 8, 2025 Email to Sidley
1164	Jan 21, 2025	NYS CEF	EXHIBIT(S): Oct. 17, 2024 GS Letter to Pls
1165	Jan 21, 2025	NYS CEF	EXHIBIT(S): Intentionally Omitted
1166	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000296045
1167	Jan 21, 2025	NYS CEF	EXHIBIT(S): Jan. 8, 2024 Email from W. O'Brien
1168	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000231305
1169	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000136168
1170	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00112123
1171	Jan 21, 2025	NYS CEF	EXHIBIT(S): Oct. 7, 2024 Pls Letter to WF
1172	Jan 21, 2025	NYS CEF	EXHIBIT(S): Review of enforcement decision-making
1173	Jan 21, 2025	NYS CEF	EXHIBIT(S): Section 348 of the UK Financial Services and Markets Act of 2000
1174	Jan 21, 2025	NYS CEF	EXHIBIT(S): Real Estate Opportunities Ltd v. Aberdeen Asset Managers Jersey Ltd Ors
1175	Jan 21, 2025	NYS CEF	EXHIBIT(S): Oct. 10, 2024 Letter from S. Musoff
1176	Jan 21, 2025	NYS CEF	EXHIBIT(S): Defendants Log Entries Chart
1177	Jan 21, 2025	NYS CEF	EXHIBIT(S): Dec. 4, 2023 GS Certification
1178	Jan 21, 2025	NYS CEF	EXHIBIT(S): Dec. 4, 2023 MS Certification
1179	Jan 21, 2025	NYS CEF	EXHIBIT(S): Dec. 4, 2023 WF Certification
1180	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege description bulleted list
1181	Jan 21, 2025	NYS CEF	EXHIBIT(S): MS Questionable Attorneys List
1182	Jan 21, 2025	NYS CEF	EXHIBIT(S): GS Questionable Attorneys List
1183	Jan 21, 2025	NYS CEF	EXHIBIT(S): WF Questionable Attorneys List
1184	Jan 21, 2025	NYS CEF	EXHIBIT(S): Sept. 23, 2024 OTSC Hearing Transcript
1185	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267427
1186	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267474
1187	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267482

Entry #	Filing Date	Source	Description
1188	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267658
1189	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267512
1190	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267715
1191	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267729
1192	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267480
1193	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267481
1194	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267668
1195	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267872
1196	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000124383
1197	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000199831
1198	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000114432
1199	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000115806
1200	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000117933
1201	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000118185
1202	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000118422
1203	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000118679
1204	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000119035
1205	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000119080
1206	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000124436
1207	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000145348
1208	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000136111
1209	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00035176
1210	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00065339
1211	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267651
1212	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000275420
1213	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000267694
1214	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000199628
1215	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000282799
1216	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000285292
1217	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000287655
1218	Jan 21, 2025	NYS CEF	EXHIBIT(S): June 13, 2024 Email from S. Sarwar

Entry #	Filing Date	Source	Description
1219	Jan 21, 2025	NYS CEF	EXHIBIT(S): Aug 6, 2024 Letter from S. Musoff
1220	Jan 21, 2025	NYS CEF	EXHIBIT(S): Aug. 9, 2024 Letter from S. Musoff
1221	Jan 21, 2025	NYS CEF	EXHIBIT(S): Sept. 10, 2024 GS Production Cover Letter
1222	Jan 21, 2025	NYS CEF	EXHIBIT(S): Sept. 12, 2024 GS and MS Production cover email
1223	Jan 21, 2025	NYS CEF	EXHIBIT(S): Nov. 15, 2024 Email from Renee Jones
1224	Jan 21, 2025	NYS CEF	EXHIBIT(S): Dec. 31, 2024 Email from O'Brien
1225	Jan 21, 2025	NYS CEF	EXHIBIT(S): Jan. 3, 2025 Email from O'Brien
1226	Jan 21, 2025	NYS CEF	EXHIBIT(S): Jan. 8, 2025 Email from A. George
1227	Jan 21, 2025	NYS CEF	EXHIBIT(S): Jan. 20, 2025 Email from M. David-West
1228	Jan 21, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: GS0000118326
1229	Jan 21, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Sealing OTSC re. Documents re Archegos Withheld as Privileged
1230	Jan 21, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: MOL ISO OTSC re. Documents re Archegos Withheld as Privileged
1231	Jan 21, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Aff ISO OTSC re. Documents re Archegos Withheld as Privileged
1232	Jan 21, 2025	NYS CEF	APPENDIX: Sealing Redaction Spreadsheet
1233	Jan 22, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): OTSC re HHR
1234	Jan 22, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law ISO OTSC re HHR_Redacted
1235	Jan 22, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law ISO OTSC re HHR
1236	Jan 22, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Affirmation ISO OTSC re HHR
1237	Jan 22, 2025	NYS CEF	EXHIBIT(S): 2024-10-15 Transcript of Conference Status
1238	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Samuelson Tr
1239	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000263797
1240	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: HHR-00018929
1241	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: HHR-00018897
1242	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: HHR-00019141
1243	Jan 22, 2025	NYS CEF	EXHIBIT(S): Oct. 10, 2024 Letter from S. Musoff
1244	Jan 22, 2025	NYS CEF	EXHIBIT(S): Oct. 15, 2024 Email from HHR
1245	Jan 22, 2025	NYS CEF	EXHIBIT(S): Glazer and Fitzgibbon on Legal Opinions - Chapter 18

Entry #	Filing Date	Source	Description
			Negative Assurances
1246	Jan 22, 2025	NYS CEF	EXHIBIT(S): Oct. 28, 2024 Affirmation of Charles A. Samuelson
1247	Jan 22, 2025	NYS CEF	EXHIBIT(S): Dec. 4, 2023 GS Certification
1248	Jan 22, 2025	NYS CEF	EXHIBIT(S): Dec. 4, 2023 MS Certification
1249	Jan 22, 2025	NYS CEF	EXHIBIT(S): Dec. 4, 2023 WF Certification
1250	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: JPMC-00001977
1251	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: INTESA-00008015
1252	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000276645
1253	Jan 22, 2025	NYS CEF	EXHIBIT(S): ABA Treatise
1254	Jan 22, 2025	NYS CEF	EXHIBIT(S): In re Charles Schwab Order
1255	Jan 22, 2025	NYS CEF	EXHIBIT(S): Feb. 16, 2024 Letter from S. Musoff
1256	Jan 22, 2025	NYS CEF	EXHIBIT(S): Jan. 8, 2025 Email to Sidley
1257	Jan 22, 2025	NYS CEF	EXHIBIT(S): US Bank Record
1258	Jan 22, 2025	NYS CEF	EXHIBIT(S): Omnicom Hearing Tr
1259	Jan 22, 2025	NYS CEF	EXHIBIT(S): Jan. 8, 2025 DiCesare email
1260	Jan 22, 2025	NYS CEF	EXHIBIT(S): June 10, 2024 Affirmation of Charles A. Samuelson
1261	Jan 22, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000262442
1262	Jan 22, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Sealing OTSC re. HHR Priv
1263	Jan 22, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: MOL ISO Sealing OTSC re. HHR Priv
1264	Jan 22, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO ORDER TO SHOW CAUSE: Joint Aff ISO Sealing OTSC re. HHR Priv
1265	Jan 22, 2025	NYS CEF	APPENDIX: Sealing Redaction Spreadsheet
1266	Jan 23, 2025	NYS CEF	ORDER TO SHOW CAUSE
1267	Jan 23, 2025	NYS CEF	ORDER TO SHOW CAUSE
1268	Jan 23, 2025	NYS CEF	ORDER TO SHOW CAUSE
1269	Jan 23, 2025	NYS CEF	ORDER TO SHOW CAUSE
1270	Jan 24, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): OTSC to Compel Defendants to Produce Relevant Documents
1271	Jan 24, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: MOL ISO OTSC to Compel Defendants to Produce Relevant Documents - Redacted
1272	Jan 24, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: MOL ISO OTSC to Compel Defendants to Produce Relevant Documents

Entry #	Filing Date	Source	Description
1273	Jan 24, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Affirmation ISO OTSC to Compel Defendants to Produce Relevant Documents
1274	Jan 24, 2025	NYS CEF	EXHIBIT(S): Dec. 19, 2024 Email from S. Avidan
1275	Jan 24, 2025	NYS CEF	EXHIBIT(S): Dec. 30, 2024 Email from W. O'Brien
1276	Jan 24, 2025	NYS CEF	EXHIBIT(S): Jan. 8, 2025 Email from M. Davis-West
1277	Jan 24, 2025	NYS CEF	EXHIBIT(S): Jan. 23, 2025 Email from R. Boon
1278	Jan 24, 2025	NYS CEF	EXHIBIT(S): Document Requests
1279	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Transcription of MS_0000077
1280	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000258233
1281	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000260058
1282	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000308841
1283	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000308771
1284	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000276517
1285	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: MS0000276716
1286	Jan 24, 2025	NYS CEF	EXHIBIT(S): Jan. 14, 2025 Letter from S. Musoff
1287	Jan 24, 2025	NYS CEF	EXHIBIT(S): Jan. 18, 2025 Email from S. Avidan
1288	Jan 24, 2025	NYS CEF	EXHIBIT(S): Digital Roadshows - NetRoadshow
1289	Jan 24, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: WF-00000602
1290	Jan 24, 2025	NYS CEF	EXHIBIT(S): MS-GS R&O to Document Requests
1291	Jan 24, 2025	NYS CEF	EXHIBIT(S): WF R&O to Document Requests
1292	Jan 24, 2025	NYS CEF	EXHIBIT(S): Dec 19 2024 to Present Production Cover Letters
1293	Jan 24, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): OTSC re Sealing OTSC to Compel Defendants to Produce Relevant Documents
1294	Jan 24, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: MOL ISO Sealing OTSC to Compel Defendants to Produce Relevant Documents
1295	Jan 24, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Joint Affirmation ISO Sealing OTSC to Compel Defendants to Produce Relevant Documents
1296	Jan 24, 2025	NYS CEF	APPENDIX: OTSC Sealing Redaction Spreadsheet
1297	Jan 27, 2025	NYS CEF	ORDER TO SHOW CAUSE
1298	Jan 28, 2025	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
1299	Jan 28, 2025	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service
1300	Jan 28, 2025	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affirmation of Service

Entry #	Filing Date	Source	Description
1301	Jan 30, 2025	NYS CEF	ORDER TO SHOW CAUSE
1302	Feb 7, 2025	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Memorandum of Law in Opposition to Plaintiffs' Motion for an Order to Show Cause Compelling Defendant Morgan Stanley & Co. LLC to Produce its April Board Materials
1303	Feb 7, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION: Affirmation of Scott D. Musoff in Opposition to Plaintiffs' Motion to Compel Morgan Stanley & Co. LLC to Produce its April Board Materials
1304	Feb 7, 2025	NYS CEF	EXHIBIT(S): Deposition Transcript Excerpts
1305	Feb 7, 2025	NYS CEF	AFFIRMATION: Affirmation of Tatiana R. Martins
1308	Feb 14, 2025	NYS CEF	ORDER - INTERIM (MOTION RELATED)
1309	Feb 14, 2025	NYS CEF	ORDER - INTERIM (MOTION RELATED)
1310	Feb 18, 2025	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: MEMORANDUM OF LAW OF HUGHES HUBBARD & REED LLP IN OPPOSITION TO PLAINTIFFS MOTION TO COMPEL THE PRODUCTION OF PRIVILEGED DOCUMENTS
1311	Feb 18, 2025	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Memorandum of Law of Defendants Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC In Opposition to Plaintiffs' Motion to Compel The Production of Certain Privileged Documents Involving Hughes, Hubbard & Reed LLP
1312	Feb 18, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION: Affirmation of Scott D. Musoff in Opposition to Plaintiffs' Motion to Compel The Production of Certain Privileged Documents involving Hughes, Hubbard & Reed LLP
1313	Feb 18, 2025	NYS CEF	EXHIBIT(S): Defendants Email
1314	Feb 18, 2025	NYS CEF	EXHIBIT(S): Affirmation of C. Samuelson, Dated October 28, 2024
1315	Feb 18, 2025	NYS CEF	EXHIBIT(S): Deposition Transcript Excerpts
1316	Feb 18, 2025	NYS CEF	EXHIBIT(S): Proceedings Before Justice A. Borrok, Dated September 23, 2024
1317	Feb 18, 2025	NYS CEF	EXHIBIT(S): Defendants Email
1318	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
1319	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
1320	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
1321	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
1322	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Morgan Stanley Email
1323	Feb 18, 2025	NYS CEF	EXHIBIT(S): Morgan Stanley Privilege Log
1324	Feb 18, 2025	NYS CEF	EXHIBIT(S): Goldman Sachs Privilege Log

Entry #	Filing Date	Source	Description
1325	Feb 18, 2025	NYS CEF	EXHIBIT(S): ViacomCBS Class B Common Stock Underwriting Agreement
1326	Feb 18, 2025	NYS CEF	EXHIBIT(S): Conflicts Waiver
1327	Feb 18, 2025	NYS CEF	EXHIBIT(S): Affirmation of C. Samuelson, Dated June 10, 2024
1328	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Conflicts Check
1329	Feb 18, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Wells Fargo Securities, LLP's Opposition to Plaintiffs' Motion for an OSC Compelling Defendants Morgan Stanley & Co. LLC, Goldman Sachs & Co. LLC, and Wells Fargo Securities, LLC to Produce Documents Related to Archegos Improperly Withheld as Privileged
1330	Feb 18, 2025	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation of Francesca E. Brody in Support of Wells Fargo's Opposition to Plaintiffs' Motion for an OSC Compelling Production of Documents Related to Archegos Improperly Withheld as Privileged
1331	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 1 - 2/07/25 Letter
1332	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 2 - 2/13/25 Letter
1333	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 3 - 2/14/25 Letter
1334	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 4 - WF Categorical Privilege Log
1335	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 5 - WF Privilege Log Certification
1336	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 6 - WF Supplemental Categorical Privilege Log
1337	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 7 - WF Privilege Log Metadata Report
1338	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 8 - WF Metadata Redaction Log
1339	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 9 - 1/16/24 Email
1340	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 10 - 1/22/24 Letter
1341	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 11 - 10/7/24 Letter
1342	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 12 - 10/15/24 Letter
1343	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 13 - 1/8/25 Email
1344	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 14 - Plaintiffs' Privilege Log
1345	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 15 - Plaintiffs' Privilege Log Certification
1346	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 16 - Excerpts of 3/28/24 McCooey Transcript
1347	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 17 - 3/29/21 Email
1348	Feb 18, 2025	NYS CEF	EXHIBIT(S): Ex. 18 - 3/25/21 Call Transcript
1349	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 19 - 3/29/21 Email

Entry #	Filing Date	Source	Description
1350	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 20 - 4/26/21 Presentation
1351	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 21 - 3/26/21 Email
1352	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 22 - 3/26/21 Email
1353	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 23 - Affirmation
1354	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 24 - 3/26/21 Email
1355	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Ex. 25 - 3/23/21 Email
1356	Feb 18, 2025	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Wells Fargo's Memorandum of Law in Opposition to Plaintiff's Order to Show Cause to Compel Production of Documents
1357	Feb 18, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO ORDER TO SHOW CAUSE: Affirmation of Matthew J. Dolan in Support of Wells Fargo's Opposition to Plaintiff's Order to Show Cause to Compel Production of Documents
1358	Feb 18, 2025	NYS CEF	EXHIBIT(S): Wells Fargo's Categorical Privilege Log
1359	Feb 18, 2025	NYS CEF	EXHIBIT(S): Wells Fargo's Supplemental Categorical Privilege Log
1360	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo's Privilege Log Metadata Report
1361	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Wells Fargo's Metadata Redaction Log
1362	Feb 18, 2025	NYS CEF	EXHIBIT(S): Letter dated October 15, 2024
1363	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email Chain from March 23, 2023-March 24, 2021
1364	Feb 18, 2025	NYS CEF	EXHIBIT(S): Excerpts of May 16, 2024 Broner Transcript
1365	Feb 18, 2025	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Memorandum of Law in Opposition to Plaintiffs' Motion to Compel Defendants Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC to Produce Relevant Documents
1366	Feb 18, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION: Affirmation of Scott D. Musoff in Support of Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLC's Opposition to Plaintiffs' Motion to Compel Defendants to Produce Relevant Documents
1367	Feb 18, 2025	NYS CEF	EXHIBIT(S): Defendants Responses & Objections
1368	Feb 18, 2025	NYS CEF	EXHIBIT(S): Email dated March 15, 2024
1369	Feb 18, 2025	NYS CEF	EXHIBIT(S): Letter dated August 6, 2024
1370	Feb 18, 2025	NYS CEF	EXHIBIT(S): Letter dated September 5, 2024
1371	Feb 18, 2025	NYS CEF	EXHIBIT(S): Letter dated January 14, 2025
1372	Feb 18, 2025	NYS CEF	EXHIBIT(S): Letter dated January 7, 2025

Entry #	Filing Date	Source	Description
1373	Feb 18, 2025	NYS CEF	EXHIBIT(S): Letter dated February 12, 2025
1374	Feb 18, 2025	NYS CEF	EXHIBIT(S): Email dated February 18, 2025
1375	Feb 18, 2025	NYS CEF	EXHIBIT(S): Email dated October 31, 2023
1376	Feb 18, 2025	NYS CEF	EXHIBIT(S): Email dated November 7, 2023
1377	Feb 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email dated March 17, 2021
1378	Feb 18, 2025	NYS CEF	EXHIBIT(S): Screenshot as of March 19, 2021
1379	Feb 18, 2025	NYS CEF	MEMORANDUM OF LAW IN OPPOSITION: Memorandum of Law in Opposition to Plaintiffs' Motion to Compel Defendants Morgan Stanley & Co. LLC and Goldman Sach & Co. LLC to Produce Documents Which are Subject to the Attorney-Client Privilege and Attorney Work Doctrine
1380	Feb 18, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN OPPOSITION TO MOTION: Affirmation of Julie E. Cohen in Support of Morgan Stanley & Co. LLCs and Goldman Sachs & Co. LLCs Opposition to Plaintiffs Motion to Compel Defendants to Produce Documents Which Are Subject to the Attorney-Client Privilege and Attorney Work Product Do
1381	Feb 18, 2025	NYS CEF	EXHIBIT(S): Federal Reserve Board's Letter
1382	Feb 18, 2025	NYS CEF	EXHIBIT(S): Office of the Comptroller of Currencys Letter
1383	Feb 18, 2025	NYS CEF	EXHIBIT(S): Plaintiffs Email
1384	Feb 18, 2025	NYS CEF	EXHIBIT(S): Defendants Email
1385	Feb 18, 2025	NYS CEF	EXHIBIT(S): Goldman Sachs privilege log
1386	Feb 18, 2025	NYS CEF	EXHIBIT(S): Morgan Stanleys privilege log
1387	Feb 18, 2025	NYS CEF	EXHIBIT(S): Plaintiffs letter
1388	Feb 18, 2025	NYS CEF	EXHIBIT(S): Plaintiffs letter
1389	Feb 18, 2025	NYS CEF	EXHIBIT(S): Plaintiffs letter
1390	Feb 18, 2025	NYS CEF	EXHIBIT(S): Goldman Sachs privilege log
1391	Feb 18, 2025	NYS CEF	EXHIBIT(S): Morgan Stanleys privilege log
1392	Feb 18, 2025	NYS CEF	EXHIBIT(S): Defendants email
1393	Feb 18, 2025	NYS CEF	EXHIBIT(S): Plaintiffs letter
1394	Feb 18, 2025	NYS CEF	EXHIBIT(S): Goldman Sachs letter
1395	Feb 18, 2025	NYS CEF	EXHIBIT(S): Goldman Sachs letter
1396	Feb 18, 2025	NYS CEF	EXHIBIT(S): Morgan Stanleys letter
1397	Feb 18, 2025	NYS CEF	EXHIBIT(S): Morgan Stanleys letter
1398	Feb 25, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Wells Fargo's Proposed Order to Show Cause to Seal

Entry #	Filing Date	Source	Description
1399	Feb 25, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Matthew J. Dolan in Support of Wells Fargo's Order to Show Cause to Seal
1400	Feb 25, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Wells Fargo's Memorandum of Law in Support of its Order to Show Cause to Seal
1401	Feb 25, 2025	NYS CEF	APPENDIX: Sealing/Redaction Spreadsheet in Support of Wells Fargo's Order to Show Cause to Seal
1402	Feb 25, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1403	Feb 26, 2025	NYS CEF	DECISION + ORDER ON MOTION
1404	Feb 26, 2025	NYS CEF	DECISION + ORDER ON MOTION
1405	Feb 26, 2025	NYS CEF	DECISION + ORDER ON MOTION
1406	Feb 26, 2025	NYS CEF	DECISION + ORDER ON MOTION
1407	Feb 26, 2025	NYS CEF	ORDER TO SHOW CAUSE
1408	Feb 27, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause to Seal
1409	Feb 27, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Defendants Morgan Stanley & Co. LLC and Goldman Sachs & Co. LLCs Order to Show Cause to Seal
1410	Feb 27, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Affirmation of Scott D. Musoff in Support of Order to Show Cause to Seal
1411	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1412	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1413	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1414	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1415	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1416	Feb 27, 2025	NYS CEF	EXHIBIT(S): Chat messages
1417	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1418	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1419	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1420	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1421	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1422	Feb 27, 2025	NYS CEF	EXHIBIT(S): Chat Messages
1423	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1424	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1425	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email

Entry #	Filing Date	Source	Description
1426	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1427	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1428	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1429	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1430	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1431	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1432	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1433	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1434	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1435	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1436	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1437	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1438	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1439	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1440	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1441	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1442	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1443	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1444	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1445	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1446	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1447	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1448	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1449	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1450	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1451	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1452	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1453	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1454	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1455	Feb 27, 2025	NYS CEF	EXHIBIT(S): Policies and Procedures
1456	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email

Entry #	Filing Date	Source	Description
1457	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1458	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1459	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1460	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1461	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1462	Feb 27, 2025	NYS CEF	EXHIBIT(S): Chat Messages
1463	Feb 27, 2025	NYS CEF	EXHIBIT(S): Chat Messages
1464	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1465	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1466	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1467	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1468	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1469	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1470	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1471	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1472	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1473	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1474	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1475	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1476	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1477	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1478	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1479	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1480	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1481	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1482	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1483	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1484	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1485	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1486	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1487	Feb 27, 2025	NYS CEF	EXHIBIT(S): Text Messages

Entry #	Filing Date	Source	Description
1488	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1489	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1490	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1491	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1492	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1493	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1494	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1495	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1496	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1497	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1498	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1499	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1500	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1501	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1502	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1503	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1504	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1505	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1506	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1507	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1508	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1509	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1510	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1511	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1512	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1513	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1514	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1515	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1516	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1517	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1518	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email

Entry #	Filing Date	Source	Description
1519	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1520	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1521	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1522	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1523	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1524	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1525	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1526	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1527	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1528	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1529	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1530	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1531	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1532	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1533	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1534	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1535	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1536	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1537	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1538	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1539	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1540	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1541	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1542	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1543	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1544	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1545	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1546	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1547	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1548	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1549	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email

Entry #	Filing Date	Source	Description
1550	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1551	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1552	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1553	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1554	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1555	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1556	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1557	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1558	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1559	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1560	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1561	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1562	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1563	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1564	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1565	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1566	Feb 27, 2025	NYS CEF	EXHIBIT(S): Email
1567	Feb 27, 2025	NYS CEF	EXHIBIT(S): Memorandum
1568	Feb 27, 2025	NYS CEF	EXHIBIT(S): Spreadsheet
1569	Feb 27, 2025	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
1570	Feb 28, 2025	NYS CEF	NOTIFICATION FOR SEALING: Notification for Sealing relating to Signed OSC (Motion Seq. 32)
1571	Feb 28, 2025	NYS CEF	NOTIFICATION FOR SEALING: Notification for Sealing relating to Signed OSC (Motion Seq. 43)
1572	Feb 28, 2025	NYS CEF	ORDER TO SHOW CAUSE
1573	Feb 28, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS: Request for Restricted Status
1574	Feb 28, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1575	Mar 3, 2025	NYS CEF	ORDER TO SHOW CAUSE
1577	Mar 3, 2025	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
1578	Mar 3, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1579	Mar 5, 2025	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
1580	Mar 5, 2025	NYS CEF	AFFIRMATION/AFFIDAVIT OF SERVICE: Affidavit of Service

Entry #	Filing Date	Source	Description
1581	Mar 5, 2025	NYS CEF	NOTIFICATION FOR SEALING
1582	Mar 6, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1583	Mar 6, 2025	NYS CEF	ORDER (PROPOSED) (AMENDED)
1584	Mar 6, 2025	NYS CEF	ORDER (PROPOSED) (AMENDED)
1585	Mar 6, 2025	NYS CEF	ORDER (PROPOSED) (AMENDED)
1586	Mar 10, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1587	Mar 10, 2025	NYS CEF	NOTIFICATION FOR SEALING: Notification for Sealing in Electronically Filed Case
1588	Mar 10, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1589	Mar 11, 2025	NYS CEF	ORDER TO SHOW CAUSE (AMENDED)
1590	Mar 11, 2025	NYS CEF	ORDER TO SHOW CAUSE (AMENDED)
1591	Mar 11, 2025	NYS CEF	ORDER TO SHOW CAUSE (AMENDED)
1592	Mar 11, 2025	NYS CEF	NOTIFICATION FOR SEALING
1593	Mar 17, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS: Request for Restricted Status - NYSCEF #471
1594	Mar 18, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1595	Mar 19, 2025	NYS CEF	NOTIFICATION FOR SEALING
1596	Mar 27, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS: Request for Restricted Status
1597	Mar 27, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): Order to Show Cause (Proposed)
1598	Mar 27, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Joint Affirmation In Support of Plaintiffs' Unopposed Order to Show Cause and Entry of Notice Order
1599	Mar 27, 2025	NYS CEF	EXHIBIT(S): Stipulation and Agreement of Settlement
1600	Mar 27, 2025	NYS CEF	EXHIBIT(S): [Proposed] Order Preliminarily Approving Settlement and Providing For Notice
1601	Mar 31, 2025	NYS CEF	ORDER TO SHOW CAUSE
1602	Apr 3, 2025	NYS CEF	ORDER - OTHER (NON-MOTION): Order Preliminarily Approving Settlement and Providing For Notice
1603	Apr 3, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS: Request for Restricted Status
1604	Apr 4, 2025	NYS CEF	NOTICE OF ENTRY: Notice of Entry of Order (Doc. No. 1602)
1605	Apr 10, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1606	Apr 17, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1607	Apr 18, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED) (AMENDED): Amended

Entry #	Filing Date	Source	Description
			[Proposed] Order to Show Cause to Seal
1608	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 456)
1609	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 471)
1610	Apr 18, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law (previously NYSCEF No. 615)
1611	Apr 18, 2025	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation (previously NYSCEF No. 616)
1612	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Policies (previously NYSCEF No. 618)
1613	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Policies (previously NYSCEF No. 619)
1614	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Policies (previously NYSCEF No. 620)
1615	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Deposition Transcript (previously NYSCEF No. 621)
1616	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Memorandum (previously NYSCEF No. 622)
1617	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 623)
1618	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 624)
1619	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Letter (previously NYSCEF No. 627)
1620	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 628)
1621	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 629)
1622	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 632)
1623	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 633)
1624	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Memorandum (previously NYSCEF No. 634)
1625	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 641)
1626	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 643)
1627	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 644)
1628	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 648)
1629	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Presentation (previously NYSCEF No. 879)
1630	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Memorandum (previously NYSCEF No. 880)

Entry #	Filing Date	Source	Description
1631	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Deposition Transcript (previously NYSCEF No. 881)
1632	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 882)
1633	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 883)
1634	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 884)
1635	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 885)
1636	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 887)
1637	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 888)
1638	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Policies (previously NYSCEF No. 891)
1639	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Policies (previously NYSCEF No. 892)
1640	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Memorandum (previously NYSCEF No. 893)
1641	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Chat Messages (previously NYSCEF No. 894)
1642	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 895)
1643	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Text Messages (previously NYSCEF No. 896)
1644	Apr 18, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law (previously NYSCEF No. 897)
1645	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Deposition Transcript (previously NYSCEF No. 941)
1646	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 942)
1647	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Memorandum (previously NYSCEF No. 943)
1648	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 944)
1649	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 945)
1650	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 946)
1651	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 947)
1652	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 948)
1653	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 949)
1654	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 950)
1655	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 951)
1656	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 952)

Entry #	Filing Date	Source	Description
1657	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 954)
1658	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 955)
1659	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 956)
1660	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Memorandum (previously NYSCEF No. 958)
1661	Apr 18, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 959)
1662	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 960)
1663	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Chat Messages (previously NYSCEF No. 961)
1664	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Deposition Transcript (previously NYSCEF No. 963)
1665	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 1156)
1666	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 1157)
1667	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Presentation (previously NYSCEF No. 1170)
1668	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Chat Messages (previously NYSCEF No. 1209)
1669	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1210)
1670	Apr 19, 2025	NYS CEF	MEMORANDUM OF LAW - REQUEST TO SEAL: Memorandum of Law (previously NYSCEF No. 1329)
1671	Apr 19, 2025	NYS CEF	AFFIDAVIT/AFFIRMATION - REQUEST TO SEAL: Affirmation (previously NYSCEF No. 1330)
1672	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 1337)
1673	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 1338)
1674	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Deposition Transcript (previously NYSCEF No. 1346)
1675	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1347)
1676	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1349)
1677	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Presentation (previously NYSCEF No. 1350)

Entry #	Filing Date	Source	Description
1678	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1351)
1679	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1352)
1680	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Affirmation (previously NYSCEF No. 1353)
1681	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1354)
1682	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1355)
1683	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 1360)
1684	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Privilege Log (previously NYSCEF No. 1361)
1685	Apr 19, 2025	NYS CEF	EXHIBIT(S) - REQUEST TO SEAL: Email (previously NYSCEF No. 1363)
1686	Apr 19, 2025	NYS CEF	ORDER TO SHOW CAUSE (PROPOSED): [Proposed] Order to Show Cause to Seal
1687	Apr 19, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Wells Fargo Securities, LLC's Memorandum of Law in Support of its Order to Show Cause to Seal
1688	Apr 19, 2025	NYS CEF	APPENDIX: Wells Fargo Securities, LLC's Sealing/Redacting Spreadsheet
1689	Apr 19, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF PROPOSED OSC/ EXPARTE APP: Affirmation of Matthew J. Dolan in Support of Wells Fargo Securities, LLC's Order to Show Cause to Seal
1690	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 1 - NYSCEF 456 Redacted
1691	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 2 - NYSCEF 471 Redacted
1692	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 3 - NYSCEF 615 Redacted
1693	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 4 - NYSCEF 616 Redacted
1694	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 5 - NYSCEF 618 Redacted
1695	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 6 - NYSCEF 619 Redacted
1696	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 7 - NYSCEF 620 Redacted
1697	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 8 - NYSCEF 621 Redacted
1698	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 9 - NYSCEF 622 Redacted
1699	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 10 - NYSCEF 623 Redacted
1700	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 11 - NYSCEF 624 Redacted

Entry #	Filing Date	Source	Description
1701	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 12 - NYSCEF 627 Redacted
1702	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 13 - NYSCEF 628 Redacted
1703	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 14 - NYSCEF 629 Redacted
1704	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 15 - NYSCEF 632 Redacted
1705	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 16 - NYSCEF 633 Redacted
1706	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 17 - NYSCEF 634 Redacted
1707	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 18 - NYSCEF 641 Redacted
1708	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 19 - NYSCEF 643 Redacted
1709	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 20 - NYSCEF 644 Redacted
1710	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 21 - NYSCEF 648 Redacted
1711	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 22 - NYSCEF 879 Redacted
1712	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 23 - NYSCEF 880 Redacted
1713	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 24 - NYSCEF 881 Redacted
1714	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 25 - NYSCEF 882 Redacted
1715	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 26 - NYSCEF 883 Redacted
1716	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 27 - NYSCEF 884 Redacted
1717	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 28 - NYSCEF 885 Redacted
1718	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 29 - NYSCEF 887 Redacted
1719	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 30 - NYSCEF 888 Redacted
1720	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 31 - NYSCEF 891 Redacted
1721	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 32 - NYSCEF 892 Redacted
1722	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 33 - NYSCEF 893 Redacted
1723	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 34 - NYSCEF 894 Redacted
1724	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 35 - NYSCEF 895 Redacted
1725	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 36 - NYSCEF 896 Redacted
1726	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 37 - NYSCEF 897 Redacted
1727	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 38 - NYSCEF 941 Redacted
1728	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 39 - NYSCEF 942 Redacted
1729	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 40 - NYSCEF 943 Redacted
1730	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 41 - NYSCEF 944 Redacted
1731	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 42 - NYSCEF 945 Redacted

Entry #	Filing Date	Source	Description
1732	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 43 - NYSCEF 946 Redacted
1733	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 44 - NYSCEF 947 Redacted
1734	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 45 - NYSCEF 948 Redacted
1735	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 46 - NYSCEF 949 Redacted
1736	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 47 - NYSCEF 950 Redacted
1737	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 48 - NYSCEF 951 Redacted
1738	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 49 - NYSCEF 952 Redacted
1739	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 50 - NYSCEF 954 Redacted
1740	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 51 - NYSCEF 955 Redacted
1741	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 52 - NYSCEF 956 Redacted
1742	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 53 - NYSCEF 958 Redacted
1743	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 54 - NYSCEF 959 Redacted
1744	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 55 - NYSCEF 960 Redacted
1745	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 56 - NYSCEF 961 Redacted
1746	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 57 - NYSCEF 963 Redacted
1747	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 58 - NYSCEF 1156 Redacted
1748	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 59 - NYSCEF 1157 Redacted
1749	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 60 - NYSCEF 1170 Redacted
1750	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 61 - NYSCEF 1209 Redacted
1751	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 62 - NYSCEF 1210 Redacted
1752	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 63 - NYSCEF 1329 Redacted
1753	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 64 - NYSCEF 1330 Redacted
1754	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 65 - NYSCEF 1337 Redacted
1755	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 66 - NYSCEF 1338 Redacted
1756	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 67 - NYSCEF 1346 Redacted
1757	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 68 - NYSCEF 1347 Redacted
1758	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 69 - NYSCEF 1349 Redacted
1759	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 70 - NYSCEF 1350 Redacted
1760	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 71 - NYSCEF 1351 Redacted
1761	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 72 - NYSCEF 1352 Redacted
1762	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 73 - NYSCEF 1353 Redacted

Entry #	Filing Date	Source	Description
1763	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 74 - NYSCEF 1354 Redacted
1764	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 75 - NYSCEF 1355 Redacted
1765	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 76 - NYSCEF 1360 Redacted
1766	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 77 - NYSCEF 1361 Redacted
1767	Apr 19, 2025	NYS CEF	EXHIBIT(S): Ex. 78 - NYSCEF 1363 Redacted
1768	Apr 21, 2025	NYS CEF	ORDER TO SHOW CAUSE (AMENDED)
1769	Apr 21, 2025	NYS CEF	ORDER TO SHOW CAUSE
1770	Apr 22, 2025	NYS CEF	DECISION + ORDER ON MOTION
1771	Apr 22, 2025	NYS CEF	ORDER TO SHOW CAUSE - CONFORMED COPY
1772	Apr 22, 2025	NYS CEF	NOTIFICATION FOR SEALING
1773	Apr 22, 2025	NYS CEF	EXHIBIT(S): Corrected Ex. 5 - NYSCEF 618 Redacted
1774	Apr 22, 2025	NYS CEF	EXHIBIT(S): Corrected Ex. 6 - NYSCEF 619 Redacted
1775	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 1 (Redacted)
1776	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 2 (Redacted)
1777	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 3 (Redacted)
1778	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 4 (Redacted)
1779	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 5 (Redacted)
1780	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 6 (Redacted)
1781	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 7 (Redacted)
1782	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 8 (Redacted)
1783	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 9 (Redacted)
1784	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 10 (Redacted)
1785	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 11 (Redacted)
1786	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 12 (Redacted)
1787	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 13 (Redacted)
1788	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 14 (Redacted)
1789	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 15 (Redacted)
1790	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 16 (Redacted)
1791	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 17 (Redacted)
1792	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 18 (Redacted)
1793	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 19 (Redacted)

Entry #	Filing Date	Source	Description
1794	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 20 (Redacted)
1795	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 21 (Redacted)
1796	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 22 (Redacted)
1797	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 23 (Redacted)
1798	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 24 (Redacted)
1799	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 25 (Redacted)
1800	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 26 (Redacted)
1801	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 27 (Redacted)
1802	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 28 (Redacted)
1803	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 29 (Redacted)
1804	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 30 (Redacted)
1805	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 31 (Redacted)
1806	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 32 (Redacted)
1807	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 33 (Redacted)
1808	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 34 (Redacted)
1809	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 35 (Redacted)
1810	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 36 (Redacted)
1811	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 37 (Redacted)
1812	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 38 (Redacted)
1813	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 39 (Redacted)
1814	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 40 (Redacted)
1815	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 41 (Redacted)
1816	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 42 (Redacted)
1817	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 43 (Redacted)
1818	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 44 (Redacted)
1819	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 45 (Redacted)
1820	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 46 (Redacted)
1821	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 47 (Redacted)
1822	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 48 (Redacted)
1823	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 49 (Redacted)
1824	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 50 (Redacted)

Entry #	Filing Date	Source	Description
1825	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 51 (Redacted)
1826	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 52 (Redacted)
1827	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 53 (Redacted)
1828	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 54 (Redacted)
1829	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 55 (Redacted)
1830	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 56 (Redacted)
1831	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 57 (Redacted)
1832	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 58 (Redacted)
1833	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 59 (Redacted)
1834	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 60 (Redacted)
1835	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 61 (Redacted)
1836	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 62 (Redacted)
1837	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 63 (Redacted)
1838	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 64 (Redacted)
1839	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 65 (Redacted)
1840	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 66 (Redacted)
1841	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 67 (Redacted)
1842	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 68 (Redacted)
1843	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 69 (Redacted)
1844	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 70 (Redacted)
1845	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 71 (Redacted)
1846	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 72 (Redacted)
1847	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 73 (Redacted)
1848	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 74 (Redacted)
1849	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 75 (Redacted)
1850	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 76 (Redacted)
1851	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 77 (Redacted)
1852	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 78 (Redacted)
1853	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 79 (Redacted)
1854	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 80 (Redacted)
1855	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 81 (Redacted)

Entry #	Filing Date	Source	Description
1856	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 82 (Redacted)
1857	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 83 (Redacted)
1858	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 84 (Redacted)
1859	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 85 (Redacted)
1860	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 86 (Redacted)
1861	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 87 (Redacted)
1862	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 88 (Redacted)
1863	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 89 (Redacted)
1864	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 90 (Redacted)
1865	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 91 (Redacted)
1866	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 92 (Redacted)
1867	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 93 (Redacted)
1868	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 94 (Redacted)
1869	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 95 (Redacted)
1870	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 96 (Redacted)
1871	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 97 (Redacted)
1872	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 98 (Redacted)
1873	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 99 (Redacted)
1874	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 100 (Redacted)
1875	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 101 (Redacted)
1876	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 102 (Redacted)
1877	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 103 (Redacted)
1878	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 104 (Redacted)
1879	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 105 (Redacted)
1880	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 106 (Redacted)
1881	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 107 (Redacted)
1882	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 108 (Redacted)
1883	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 109 (Redacted)
1884	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 110 (Redacted)
1885	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 111 (Redacted)
1886	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 112 (Redacted)

Entry #	Filing Date	Source	Description
1887	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 113 (Redacted)
1888	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 114 (Redacted)
1889	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 115 (Redacted)
1890	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 116 (Redacted)
1891	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 117 (Redacted)
1892	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 118 (Redacted)
1893	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 119 (Redacted)
1894	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 120 (Redacted)
1895	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 121 (Redacted)
1896	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 122 (Redacted)
1897	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 123 (Redacted)
1898	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 124 (Redacted)
1899	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 125 (Redacted)
1900	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 126 (Redacted)
1901	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 127 (Redacted)
1902	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 128 (Redacted)
1903	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 129 (Redacted)
1904	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 130 (Redacted)
1905	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 131 (Redacted)
1906	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 132 (Redacted)
1907	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 133 (Redacted)
1908	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 134 (Redacted)
1909	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 135 (Redacted)
1910	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 136 (Redacted)
1911	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 137 (Redacted)
1912	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 138 (Redacted)
1913	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 139 (Redacted)
1914	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 140 (Redacted)
1915	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 141 (Redacted)
1916	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 142 (Redacted)
1917	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 143 (Redacted)

Entry #	Filing Date	Source	Description
1918	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 144 (Redacted)
1919	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 145 (Redacted)
1920	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 146 (Redacted)
1921	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 147 (Redacted)
1922	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 148 (Redacted)
1923	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 149 (Redacted)
1924	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 150 (Redacted)
1925	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 151 (Redacted)
1926	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 152 (Redacted)
1927	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 153 (Redacted)
1928	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 154 (Redacted)
1929	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 155 (Redacted)
1930	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 156 (Redacted)
1931	Apr 22, 2025	NYS CEF	EXHIBIT(S): Exhibit 157 (Redacted)
1932	Apr 24, 2025	NYS CEF	REQUEST FOR RESTRICTED STATUS
1933	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1934	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1935	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1936	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1937	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1938	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1939	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1940	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1941	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1942	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1943	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1944	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1945	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1946	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1947	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1948	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION

Entry #	Filing Date	Source	Description
1949	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1950	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1951	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1952	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1953	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1954	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1955	Apr 25, 2025	NYS CEF	DECISION + ORDER ON MOTION
1956	Apr 25, 2025	NYS CEF	NOTIFICATION FOR SEALING
1957	Apr 29, 2025	NYS CEF	NOTIFICATION FOR SEALING: Notification for Sealing in Electronically-Filed Case
1958	Jul 1, 2025	NYS CEF	NOTICE OF MOTION: Motion for Final Approval of Settlement and Plan of Allocation
1959	Jul 1, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT OF MOTION: Joint Affirmation of Daniella Quitt and John Rizio-Hamilton In Support of Final Approval of Settlement and Plan of Allocation
1960	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affidavit of Layne R. Phillips
1961	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Brian J. Frank on behalf of Camelot Event Driven Fund
1962	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Ben Huxen on behalf of Municipal Police Employees' Retirement System
1963	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Jennifer Ventriglia re: Notice and Claim Form
1964	Jul 1, 2025	NYS CEF	EXHIBIT(S): Summary of Lodestar and Expenses
1965	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Daniella Quitt on behalf of Glancy Prongay & Murray LLP
1966	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of John Rizio-Hamilton on Behalf of Bernstein Litowitz Berger & Grossmann LLP
1967	Jul 1, 2025	NYS CEF	EXHIBIT(S): Class Counsel List of Expenses
1968	Jul 1, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Motion for Final Approval of Settlement and Plan of Allocation
1969	Jul 1, 2025	NYS CEF	NOTICE OF MOTION: Motion for Attorney Fees and Litigation Expenses
1970	Jul 1, 2025	NYS CEF	AFFIDAVIT OR AFFIRMATION IN SUPPORT: Joint Affirmation of Daniella Quitt and John Rizio-Hamilton In Support of Final Approval of Settlement and Plan of Allocation
1971	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affidavit of Layne R. Phillips
1972	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Brian J. Frank on behalf of Camelot Event Driven Fund

Entry #	Filing Date	Source	Description
1973	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Ben Huxen on behalf of Municipal Police Employees' Retirement System
1974	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Jennifer Ventriglia re: Notice and Claim Form
1975	Jul 1, 2025	NYS CEF	EXHIBIT(S): Summary of Lodestar and Expenses
1976	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of Daniella Quitt on behalf of Glancy Prongay & Murray LLP
1977	Jul 1, 2025	NYS CEF	EXHIBIT(S): Affirmation of John Rizio-Hamilton on Behalf of Bernstein Litowitz Berger & Grossmann LLP
1978	Jul 1, 2025	NYS CEF	EXHIBIT(S): Class Counsel List of Expenses
1979	Jul 1, 2025	NYS CEF	MEMORANDUM OF LAW IN SUPPORT: Memorandum of Law in Support of Motion for Attorney Fess and Litigation Expenses

This does not constitute the official record of the court. The information is provided "as is" and may be subject to errors or omissions.

General Information

Case Name	CAMELOT EVENT DRIVEN FUND, A SERIES OF FRANK FUNDS TRUST vs. MORGAN STANLEY & CO. LLC ET AL
Court	New York Supreme Court
Date Filed	Fri Aug 13 00:00:00 EDT 2021
Docket Number	654959/2021
Parties	CAMELOT EVENT DRIVEN FUND, A SERIES OF FRANK FUNDS TRUST; MORGAN STANLEY & CO. LLC ET AL