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United States Court of Appeals for the Second Circuit
Docket for Case #: 15-3057

In Re:Barclays Liquidity Cross

Date Filed: Sept 29, 2015
Status: Open
Nature of suit: 3850 STATUTES-Secur Comm Exchange
Original Cases: 14-md-2589 on Dec 18, 2014, SDNY (NEW YORK CITY)
District: 0208
NOA Date: Sep 29, 2015

Parties and Attorneys

Plaintiff	Great Pacific Securities, on behalf of itself and all others similarly situated
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Plaintiff	American European Insurance Company
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Plaintiff	James J. Flynn
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Plaintiff	Harel Insurance Company Ltd.
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Plaintiff	Dominic A. Morelli
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Plaintiff	Forsta AP-fonden
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Plaintiff - Appellant	City of Providence, Rhode Island
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Representation	Joseph David Daley , Attorney <i>Robbins Geller Rudman & Dowd LLP</i> 655 West Broadway San Diego, CA 92101 Personal: 619-231-1058 [COR LD NTC Retained]
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Plaintiff - Appellant	Employees' Retirement System of the Government of the Virgin Islands
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Plaintiff - Appellant **Plumbers and Pipefitters National Pension Fund**

Representation **Joseph David Daley** , Attorney
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Plaintiff - Appellant **State-Boston Retirement System**

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Defendant - Appellee **Bats Global Markets, Inc.**

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**Defendant -
Appellee**

Chicago Stock Exchange Inc.

Representation

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**Defendant -
Appellee**

Direct Edge ECN, LLC

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**Defendant -
Appellee**

NYSE Arca, Inc.

Representation

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**Defendant -
Appellee**

NASDAQ OMX BX Inc.

Representation

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**Defendant -
Appellee**

New York Stock Exchange LLC

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**Defendant -
Appellee**

The Nasdaq Stock Market, LLC

Representation

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Defendant **Does, 1-5, inclusive**

Defendant **Barclays Capital Inc.**

Representation **Adam Seth Paris** , -
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Defendant **Barclays PLC**

Representation **Adam Seth Paris** , -
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Amicus Curiae **United States Securities and Exchange Commission**

Representation **Jacob Loshin** , -
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[COR LD NTC Government]

Docket Entries

Entry #	Filing Date	Description
BL-1	Sept 29, 2015	NOTICE OF CIVIL APPEAL, with district court docket, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. [1609666] [15-3057]

BL-2	Sept 29, 2015	PAYMENT OF DOCKETING FEE, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, district court receipt # 0208-11428929, FILED.[1609676] [15-3057]
BL-3	Sept 29, 2015	DISTRICT COURT OPINION, dated 08/26/2015, RECEIVED.[1609678] [15-3057]
BL-4	Sept 29, 2015	DISTRICT COURT JUDGMENT, dated 08/26/2015, RECEIVED.[1609680] [15-3057]
BL-5	Sept 29, 2015	ELECTRONIC INDEX, in lieu of record, FILED.[1609682] [15-3057]
BL-6	Sept 30, 2015	NON-ADMITTED ATTORNEY, Attorney Andrew J. Brown for Appellant Forsta AP-fonden, City of Providence, Plumbers and Pipefitters National Pension Fund, Employees' Retirement System of the Government of the Virgin Islands and State-Boston Retirement System, orally informed to apply for admission forthwith and submit Addendum A to Notice of Appearance, NOTIFIED.[1609686] [15-3057]
BL-7	Oct 2, 2015	NOTICE OF APPEARANCE AS SUBSTITUTE COUNSEL, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 10/02/2015 by CM/ECF. [1611872] [15-3057]
BL-8	Oct 5, 2015	ATTORNEY, Joseph David Daley, , in place of attorney Andrew J. Brown, SUBSTITUTED.[1612335] [15-3057]--[Edited 10/05/2015 by HT]
BL-9	Oct 5, 2015	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 10/05/2015 by CM/ECF.[1613225] [15-3057]
BL-10	Oct 7, 2015	LETTER, dated 10/07/2015, on behalf of Appellee NYSE Arca, Inc. and New York Stock Exchange LLC, RECEIVED. Service date 10/07/2015 by email.[1614869] [15-3057]
BL-11	Oct 7, 2015	NOTICE OF APPEARANCE AS SUBSTITUTE COUNSEL, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., FILED. Service date 10/07/2015 by CM/ECF. [1614967] [15-3057]
BL-12	Oct 7, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., FILED. Service date 10/07/2015 by CM/ECF. [1614971] [15-3057]
BL-13	Oct 7, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., FILED. Service date 10/07/2015 by CM/ECF. [1614974] [15-3057]
BL-14	Oct 7, 2015	ATTORNEY, Douglas w. Henkin, , in place of attorney George Anthony Borden, SUBSTITUTED.[1614985] [15-3057]
BL-15	Oct 7, 2015	DEFECTIVE DOCUMENT, NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, [12], [13], on behalf of Appellee NYSE Arca, Inc. and New York Stock Exchange LLC, FILED.[1614988] [15-3057]
BL-16	Oct 7, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., FILED. Service date 10/07/2015 by CM/ECF. [1615036] [15-3057]
BL-17	Oct 7, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., FILED. Service date 10/07/2015 by CM/ECF. [1615041] [15-3057]
BL-18	Oct 7, 2015	ATTORNEY, Evan A. Young for Appellee NYSE Arca, Inc. & New York Stock Exchange LLC, in case 15-3057, , ADDED.[1615076] [15-3057]
BL-19	Oct 7, 2015	ATTORNEY, Jonathan Mark Little for Appellee NYSE Arca, Inc. & New York Stock Exchange LLC, in case 15-3057, , ADDED.[1615078] [15-3057]
BL-20	Oct 7, 2015	CURED DEFECTIVE NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, , on behalf of Appellee NYSE Arca, Inc. and New York Stock Exchange LLC, FILED.[1615079] [15-3057]
BL-21	Oct 8, 2015	FORM C, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National

		Pension Fund and State-Boston Retirement System, FILED. Service date 10/08/2015 by CM/ECF.[1616084] [15-3057]
BL-22	Oct 8, 2015	FORM D, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 10/08/2015 by CM/ECF.[1616085] [15-3057]
BL-23	Oct 9, 2015	NEW CASE MANAGER, Brenda Mojica, ASSIGNED.[1616485] [15-3057]
BL-24	Oct 12, 2015	LR 31.2 SCHEDULING NOTIFICATION, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, informing Court of proposed due date 01/07/2016, RECEIVED. Service date 10/12/2015 by CM/ECF.[1617229] [15-3057]
BL-25	Oct 13, 2015	LOCAL RULE 31.2 NOTICE, placing this appeal on the Court's Expedited Calendar, setting appellant' brief due date as 11/17/2015, appellee's brief due date as 12/22/2015, TRANSMITTED.[1618064] [15-3057]
BL-26	Oct 13, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, FILED. Service date 10/13/2015 by CM/ECF. [1618330] [15-3057]
BL-27	Oct 14, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee Chicago Stock Exchange Inc., FILED. Service date 10/14/2015 by CM/ECF. [1618397] [15-3057]
BL-28	Oct 14, 2015	ATTORNEY, Michael Robert Huston for The Nasdaq Stock Market, LLC NASDAQ OMX BX Inc., in case 15-3057 , , ADDED.[1619183] [15-3057]
BL-29	Oct 14, 2015	ATTORNEY, Seth Laurence Levine for Chicago Stock Exchange Inc., in case 15-3057 , , ADDED.[1619186] [15-3057]
BL-30	Oct 15, 2015	NOTICE, to Appellee Barclays Capital Inc., Barclays PLC, Bats Global Markets, Inc. and Direct Edge ECN, LLC , for failure to file an appearance, SENT.[1619563] [15-3057]
BL-31	Oct 15, 2015	ACKNOWLEDGMENT AND NOTICE OF APPEARANCE, on behalf of Appellee Bats Global Markets, Inc. and Direct Edge ECN, LLC, FILED. Service date 10/15/2015 by CM/ECF.[1619963] [15-3057]
BL-32	Oct 15, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee Bats Global Markets, Inc. and Direct Edge ECN, LLC, FILED. Service date 10/15/2015 by CM/ECF. [1619974] [15-3057]
BL-33	Oct 15, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee Bats Global Markets, Inc. and Direct Edge ECN, LLC, FILED. Service date 10/15/2015 by CM/ECF. [1619978] [15-3057]
BL-34	Oct 15, 2015	ATTORNEY, Theodore R. Snyder for Direct Edge ECN, LLC Bats Global Markets, Inc., in case 15-3057 , , ADDED.[1620259] [15-3057]
BL-35	Oct 15, 2015	ATTORNEY, Joseph Christopher Lombard for Direct Edge ECN, LLC Bats Global Markets, Inc., in case 15-3057 , , ADDED.[1620264] [15-3057]
BL-36	Oct 16, 2015	MOTION, for removal from the expedited calendar, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 10/16/2015 by CM/ECF. [1621557] [15-3057]--[Edited 10/19/2015 by BM]
BL-37	Oct 20, 2015	NON-DISPOSITIVE STIPULATION, re: dismissing with prejudice Appellants' claims against Appellees Barclays PLC and Barclays Capital Inc., RECEIVED. Service date 10/20/2015 by CM/ECF.[1623831] [15-3057]--[Edited 10/23/2015 by BM]--[Edited 10/27/2015 by BM]
BL-38	Oct 21, 2015	NON-DISPOSITIVE STIPULATION, re: dismissing with prejudice Appellants' claims against Appellees Barclays PLC and Barclays Capital Inc., RECEIVED. Service date 10/21/2015 by CM/ECF.[1624594] [15-3057]--[Edited 10/23/2015 by BM]--[Edited 10/27/2015 by BM]
BL-39	Oct 27, 2015	SO-ORDERED NON-DISPOSITIVE STIPULATION, withdrawing this appeal with prejudice as to Appellees Barclays PLC and Barclays Capital Inc. The appeal shall proceed with respect to the remaining appellees, FILED.[1628977] [15-3057]

BL-40	Oct 27, 2015	CERTIFIED NON-DISPOSITIVE STIPULATION, dated 10/27/2015, withdrawing this appeal with prejudice as to Appellees Barclays PLC and Barclays Capital Inc to SDNY (NEW YORK CITY), ISSUED.[1629009] [15-3057]
BL-41	Oct 27, 2015	CAPTION, withdrawing Barclays PLC and Barclays Capital Inc. as Appellees, AMENDED.[1629020] [15-3057]
BL-42	Nov 5, 2015	MOTION ORDER, granting motion to remove this case from the expedited calendar and setting appellant's brief due date to 01/07/2016 filed by Appellant Forsta AP-fonden, City of Providence, Plumbers and Pipefitters National Pension Fund, Employees' Retirement System of the Government of the Virgin Islands and State-Boston Retirement System, by RKW, FILED. [1636012][57] [15-3057]
BL-43	Nov 10, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant State-Boston Retirement System, FILED. Service date 11/10/2015 by CM/ECF. [1638912] [15-3057]
BL-44	Nov 10, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant State-Boston Retirement System, FILED. Service date 11/10/2015 by CM/ECF. [1638915] [15-3057]
BL-45	Nov 10, 2015	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant State-Boston Retirement System, FILED. Service date 11/10/2015 by CM/ECF. [1638919] [15-3057]
BL-46	Nov 12, 2015	ATTORNEY, Barry M Okun, Ira Alan Schochet, Joel H. Bernstein for State-Boston Retirement System, in case 15-3057 , , ADDED.[1640390] [15-3057]
BL-47	Jan 5, 2016	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellant Forsta AP-fonden, FILED. Service date 01/05/2016 by CM/ECF. [1676464] [15-3057]
BL-48	Jan 6, 2016	ATTORNEY, William H. Narwold for Forsta AP-fonden, in case 15-3057 , , ADDED.[1678084] [15-3057]
BL-49	Jan 7, 2016	MOTION, to withdraw as party, on behalf of Appellant Forsta AP-fonden, FILED. Service date 01/07/2016 by CM/ECF. [1679224] [15-3057]
BL-50	Jan 7, 2016	BRIEF & SPECIAL APPENDIX, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 01/07/2016 by CM/ECF. [1679247] [15-3057]
BL-51	Jan 7, 2016	JOINT APPENDIX, volume 1 of 2, (pp. 1-285), on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 01/07/2016 by CM/ECF.[1679249] [15-3057]
BL-52	Jan 7, 2016	JOINT APPENDIX, volume 2 of 2, (pp. 286-451), on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Forsta AP-fonden, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 01/07/2016 by CM/ECF.[1679250] [15-3057]
BL-53	Jan 8, 2016	MOTION ORDER, granting motion to withdraw as party filed by Appellant Forsta AP-fonden, FILED. [1679755][70] [15-3057]
BL-54	Jan 8, 2016	CERTIFIED ORDER, dated 01/08/2016, granting Forsta AP-fonden's motion to withdraw as an Appellant from this appeal to SDNY, ISSUED.[1679776] [15-3057]
BL-55	Jan 8, 2016	Appellant Forsta AP-fonden, TERMINATED.[1680021] [15-3057]
BL-56	Jan 8, 2016	CAPTION, Forsta AP-fonden withdrawn from appeal, AMENDED.[1680027] [15-3057]
BL-57	Jan 21, 2016	LR 31.2 SCHEDULING NOTIFICATION, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, informing Court of proposed due date 04/07/2016, RECEIVED. Service date 01/21/2016 by CM/ECF.[1688505] [15-3057]
BL-58	Jan 21, 2016	LR 31.2 SCHEDULING NOTIFICATION, on behalf of Appellee Bats Global Markets, Inc. and Direct Edge ECN, LLC, informing Court of proposed due date 04/07/2016, RECEIVED. Service date 01/21/2016 by CM/ECF.[1689186] [15-3057]
BL-59	Jan 21, 2016	LR 31.2 SCHEDULING NOTIFICATION, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., informing Court of proposed due date 04/07/2016, RECEIVED. Service date 01/21/2016 by CM/ECF.[1689196] [15-3057]

BL-60	Jan 21, 2016	LR 31.2 SCHEDULING NOTIFICATION, on behalf of Appellee Chicago Stock Exchange Inc., informing Court of proposed due date 04/07/2016, RECEIVED. Service date 01/21/2016 by CM/ECF.[1689200] [15-3057]
BL-61	Jan 22, 2016	SO-ORDERED SCHEDULING NOTIFICATION, setting Appellee Bats Global Markets, Inc., Chicago Stock Exchange Inc., Direct Edge ECN, LLC, NASDAQ OMX BX Inc., NYSE Arca, Inc., New York Stock Exchange LLC and The Nasdaq Stock Market, LLC Brief due date as 04/07/2016, FILED.[1690139] [15-3057]
BL-62	Apr 4, 2016	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, FILED. Service date 04/04/2016 by CM/ECF. [1742176] [15-3057]
BL-63	Apr 4, 2016	NOTICE OF APPEARANCE AS ADDITIONAL COUNSEL, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, FILED. Service date 04/04/2016 by CM/ECF. [1742179] [15-3057]
BL-64	Apr 5, 2016	ATTORNEY, Alex Gesch, Scott P. Martin for The Nasdaq Stock Market, LLC NASDAQ OMX BX Inc., in case 15-3057, , ADDED.[1743028] [15-3057]
BL-65	Apr 7, 2016	BRIEF, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, FILED. Service date 04/07/2016 by CM/ECF. [1745399] [15-3057]
BL-66	Apr 11, 2016	LETTER, dated 04/11/2016, advising the court that appellees join in on the the brief filed April 7, 2016, on behalf of Appellee Bats Global Markets, Inc. and Direct Edge ECN, LLC, RECEIVED. Service date 04/11/2016 by CM/ECF.[1747407] [15-3057]--[Edited 04/12/2016 by DH]
BL-67	Apr 11, 2016	LETTER, dated 04/11/2016, advising the court of joining in on the brief filed April 7, 2016, on behalf of Appellee Chicago Stock Exchange Inc., RECEIVED. Service date 04/11/2016 by CM/ECF.[1747495] [15-3057]--[Edited 04/12/2016 by DH]
BL-68	Apr 11, 2016	LETTER, dated April 11, 2016, advising the court of joining in on the briefs filed April 7, 2016, on behalf of Appellee New York Stock Exchange LLC and NYSE Arca, Inc., RECEIVED. Service date 04/11/2016 by CM/ECF.[1747582] [15-3057]--[Edited 04/12/2016 by DH]
BL-69	Apr 13, 2016	MOTION, to extend time, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 04/13/2016 by CM/ECF. [1749571] [15-3057]
BL-70	Apr 14, 2016	MOTION ORDER, granting motion to extend time filed by Appellant City of Providence, Plumbers and Pipefitters National Pension Fund, Employees' Retirement System of the Government of the Virgin Islands and State-Boston Retirement System, FILED. [1750292][103] [15-3057]
BL-71	Apr 21, 2016	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Jonathan Mark Little, Esq. for Appellee New York Stock Exchange LLC and NYSE Arca, Inc., FILED. Service date 04/21/2016 by CM/ECF. [1755264] [15-3057]
BL-72	Apr 21, 2016	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Theodore R. Snyder, Esq. for Appellee Bats Global Markets, Inc. and Direct Edge ECN, LLC, FILED. Service date 04/21/2016 by CM/ECF. [1755329] [15-3057]
BL-73	Apr 21, 2016	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Seth Laurence Levine for Appellee Chicago Stock Exchange Inc., FILED. Service date 04/21/2016 by CM/ECF. [1755493] [15-3057]
BL-74	Apr 21, 2016	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Mr. Joseph David Daley for Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 04/21/2016 by CM/ECF. [1755668] [15-3057]
BL-75	Apr 21, 2016	ORAL ARGUMENT STATEMENT LR 34.1 (a), on behalf of filer Attorney Douglas R. Cox for Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, FILED. Service date 04/21/2016 by CM/ECF. [1755755] [15-3057]
BL-76	May 5, 2016	REPLY BRIEF, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 05/05/2016 by CM/ECF. [1766083] [15-3057]

BL-77	May 31, 2016	CASE CALENDARING, for the week of 08/22/2016, PROPOSED.[1782402] [15-3057]
BL-78	July 14, 2016	CASE CALENDARING, for argument on 08/24/2016 at 2:00pm, New Haven, Ct., SET.[1815704] [15-3057]
BL-79	July 15, 2016	ARGUMENT NOTICE, to attorneys/parties, TRANSMITTED.[1816464] [15-3057]
BL-80	July 15, 2016	NOTICE OF HEARING DATE ACKNOWLEDGMENT, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, FILED. Service date 07/15/2016 by CM/ECF. [1816643] [15-3057]
BL-81	July 15, 2016	NOTICE OF HEARING DATE ACKNOWLEDGMENT, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, FILED. Service date 07/15/2016 by CM/ECF. [1816950] [15-3057]
BL-82	Aug 24, 2016	CASE, before JMW, JAC, RJL, C.JJ., HEARD.[1848725] [15-3057]
BL-83	Aug 25, 2016	ORDER, dated 08/25/2016, requesting that the Securities and Exchange Commission file an amicus curiae brief in this action setting forth the SECs views on whether the district court had subject-matter jurisdiction over the case. The amicus curiae brief shall be filed within thirty days of the entry of this Order, and the plaintiffs-appellants and defendants-appellees may file a response within fourteen days of the date on which the SEC files its brief, BY JMW, JAC, RJL, C.JJ., FILED.[1848925] [15-3057]
BL-84	Sept 7, 2016	NOTICE OF APPEARANCE AS AMICUS COUNSEL, on behalf of, U. S. Securities and Exchange Commission , FILED. Service date 09/07/2016 by CM/ECF. [1857549] [15-3057]--[Edited 09/07/2016 by BM]
BL-85	Sept 7, 2016	NEW PARTY, Amicus Curiae U. S. Securities and Exchange Commission, ADDED.[1858022] [15-3057]
BL-86	Sept 7, 2016	MOTION, to extend time, to file amicus curiae brief, on behalf of Amicus Curiae U. S. Securities and Exchange Commission, FILED. Service date 09/07/2016 by CM/ECF. [1858092] [15-3057]
BL-87	Sept 12, 2016	MOTION ORDER, granting an extension to file the amicus curiae brief requested by the Court until 11/04/2016 filed by Amicus Curiae U. S. Securities and Exchange Commission, by JAC, FILED. [1860445][127] [15-3057]
BL-88	Oct 6, 2016	FRAP 28(j) LETTER, dated 10/06/2016, on behalf of Appellee NASDAQ OMX BX Inc. and The Nasdaq Stock Market, LLC, RECEIVED. Service date 10/06/2016 by CM/ECF.[1878728] [15-3057]
BL-89	Oct 11, 2016	FRAP 28(j) LETTER, dated 10/11/2016, on behalf of Appellant City of Providence, Employees' Retirement System of the Government of the Virgin Islands, Plumbers and Pipefitters National Pension Fund and State-Boston Retirement System, RECEIVED. Service date 10/11/2016 by CM/ECF.[1881523] [15-3057]
BL-90	Oct 13, 2016	MOTION, to extend time, to file amicus curiae brief, on behalf of Amicus Curiae U. S. Securities and Exchange Commission, FILED. Service date 10/13/2016 by CM/ECF. [1883931] [15-3057]
BL-91	Oct 14, 2016	DEFECTIVE DOCUMENT, Motion, [132].[132], on behalf of Amicus Curiae U. S. Securities and Exchange Commission, FILED.[1884099] [15-3057]
BL-92	Oct 14, 2016	MOTION, to extend time, to file amicus curiae brief, on behalf of Amicus Curiae U. S. Securities and Exchange Commission, FILED. Service date 10/14/2016 by CM/ECF. [1884254] [15-3057]
BL-93	Oct 14, 2016	CURED DEFECTIVE DOCUMENT: MOTION, , on behalf of Amicus Curiae U. S. Securities and Exchange Commission, FILED.[1884271] [15-3057]
BL-94	Oct 19, 2016	MOTION ORDER, granting motion to extend time until 11/28/2016 filed by Amicus Curiae U. S. Securities and Exchange Commission, by JAC, FILED. [1887340][139] [15-3057]
BL-95	Nov 28, 2016	MOTION, to file oversized brief, on behalf of Amicus Curiae United States Securities and Exchange Commission, FILED. Service date 11/28/2016 by CM/ECF. [1914983] [15-3057]
	Nov 28, 2016	AMICUS BRIEF, on behalf of Amicus Curiae United States Securities and Exchange

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Commission, FILED. Service date 11/28/2016 by CM/ECF.[1914986] [15-3057]

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MOTION ORDER, granting motion to file oversized brief of 8,449 words filed by Amicus Curiae United States Securities and Exchange Commission, by JAC, FILED. [1917476][145] [15-3057]

General Information

Court	United States Court of Appeals for the Second Circuit; United States Court of Appeals for the Second Circuit
Federal Nature of Suit	Securities/Commodities/Exchanges[3850]
Docket Number	15-03057