

**U.S. District Court**  
**District of Maryland (Greenbelt)**  
**CIVIL DOCKET FOR CASE #: 8:16-cv-00860-DKC**

Scottsdale Capital Advisors Corporation et al v. Financial  
Industry Regulatory Authority, Inc.  
Assigned to: Judge Deborah K. Chasanow  
Cause: 15:78m(a) Securities Exchange Act

Date Filed: 03/22/2016  
Date Terminated: 04/26/2016  
Jury Demand: None  
Nature of Suit: 850  
Securities/Commodities  
Jurisdiction: Federal Question

**Plaintiff**

**Scottsdale Capital Advisors  
Corporation**

represented by **Matthew H Kirtland**  
Norton Rose Fulbright US LLP  
799 9th Street NW  
Washington, DC 20001  
12026624659  
Fax: 12026624643  
Email:  
matthew.kirtland@nortonrosefulbright.com  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Kevin J Harnisch**  
Norton Rose Fulbright US LLP  
799 9th St NW Ste 1000  
Washington, DC 20001  
2026624520  
Fax: 2026624643  
Email:  
kevin.harnisch@nortonrosefulbright.com  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Vijay Nimmagadda Rao**  
Norton Rose Fulbright US LLP  
799 9th St NW Ste 1000  
Washington, DC 20001  
2026620211  
Fax: 2026624643  
Email: vijay.rao@nortonrosefulbright.com  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Plaintiff**

**John J. Hurry**

represented by **Matthew H Kirtland**

(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Kevin J Harnisch**  
(See above for address)  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Vijay Nimmagadda Rao**  
(See above for address)  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Plaintiff**

**Timothy B. DiBlasi**

represented by **Matthew H Kirtland**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Kevin J Harnisch**  
(See above for address)  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Vijay Nimmagadda Rao**  
(See above for address)  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Plaintiff**

**Darrel Michael Cruz**

represented by **Matthew H Kirtland**  
(See above for address)  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Kevin J Harnisch**  
(See above for address)  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Vijay Nimmagadda Rao**  
(See above for address)  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

V.

**Defendant**

**Financial Industry Regulatory**

represented by **Terri L Reicher**

**Authority, Inc.**

Financial Industry Regulatory Authority  
 Office of the General Counsel  
 1735 K St NW  
 Washington, DC 20006  
 12027288967  
 Fax: 12027288894  
 Email: Terri.Reicher@finra.org  
*LEAD ATTORNEY*  
*ATTORNEY TO BE NOTICED*

**Timothy W Mountz**

Financial Industry Regulatory Authority  
 Inc.  
 1735 K St NW  
 Washington, DC 20006  
 2027288210  
 Fax: 2027288894  
 Email: Timothy.Mountz@finra.org  
*PRO HAC VICE*  
*ATTORNEY TO BE NOTICED*

**Amicus****Securities and Exchange Commission**

represented by **Josephine Thacher Morse**  
 Securities And Exchange Commission  
 100 F St NE  
 Washington, DC 20549  
 2025515006  
 Fax: 2027729261  
 Email: morsej@sec.gov  
*ATTORNEY TO BE NOTICED*

**Martin Totaro**

100 F St NE  
 Washington, DC 20549  
 2025517962  
 Fax: 2027729361  
 Email: totarom@sec.gov  
*ATTORNEY TO BE NOTICED*

**Dominick V Freda**

100 F St NE  
 Washington, DC 20549  
 2025515143  
 Fax: 2027729361  
 Email: fredad@sec.gov  
*ATTORNEY TO BE NOTICED*

Date Filed	#	Docket Text

03/22/2016	<a href="#">1</a>	COMPLAINT <i>for Injunctive and Declaratory Relief</i> against Financial Industry Regulatory Authority, Inc. ( Filing fee \$ 400 receipt number 0416-5924022.), filed by Scottsdale Capital Advisors Corporation, John J. Hurry, Darrel Michael Cruz, Timothy B. DiBlasi. (Attachments: # <a href="#">1</a> Civil Cover Sheet, # <a href="#">2</a> Summons)(Kirtland, Matthew) (Entered: 03/22/2016)
03/22/2016	<a href="#">2</a>	Local Rule 103.3 Disclosure Statement by Scottsdale Capital Advisors Corporation identifying Corporate Parent Scottsdale Capital Advisors Holdings LLC for Scottsdale Capital Advisors Corporation.. (Kirtland, Matthew) (Entered: 03/22/2016)
03/22/2016	<a href="#">3</a>	MOTION for Preliminary Injunction , MOTION for Permanent Injunction by Darrel Michael Cruz, Timothy B. DiBlasi, John J. Hurry, Scottsdale Capital Advisors Corporation Responses due by 4/8/2016 (Attachments: # <a href="#">1</a> Text of Proposed Order, # <a href="#">2</a> Memorandum of Law, # <a href="#">3</a> Exhibit A - Declaration, # <a href="#">4</a> Exhibit B, # <a href="#">5</a> Exhibit C, # <a href="#">6</a> Exhibit D, # <a href="#">7</a> Exhibit E)(Kirtland, Matthew) (Entered: 03/22/2016)
03/22/2016	<a href="#">4</a>	MOTION to Appear Pro Hac Vice for Kevin Harnisch ( Filing fee \$ 50, receipt number 0416-5924286.) by Darrel Michael Cruz, Timothy B. DiBlasi, John J. Hurry, Scottsdale Capital Advisors Corporation (Kirtland, Matthew) (Entered: 03/22/2016)
03/23/2016	<a href="#">5</a>	Summons Issued 21 days as to Financial Industry Regulatory Authority, Inc. (aos, Deputy Clerk) (Entered: 03/23/2016)
03/25/2016	<a href="#">6</a>	PAPERLESS ORDER granting <a href="#">4</a> Motion to Appear Pro Hac Vice on behalf of Kevin J Harnisch. Attorney Kevin J Harnisch will receive a separate email with the previously issued CM/ECF login and password. Signed by Clerk on 3/25/2016. (srd, Deputy Clerk) (Entered: 03/25/2016)
04/11/2016	<a href="#">7</a>	NOTICE by Darrel Michael Cruz, Timothy B. DiBlasi, John J. Hurry, Scottsdale Capital Advisors Corporation re <a href="#">3</a> MOTION for Preliminary Injunction MOTION for Permanent Injunction <i>Plaintiffs' Praecipe Regarding Unopposed Motion</i> (Kirtland, Matthew) (Entered: 04/11/2016)
04/11/2016	<a href="#">8</a>	Local Rule 103.3 Disclosure Statement by Financial Industry Regulatory Authority, Inc.. (Reicher, Terri) (Entered: 04/11/2016)
04/11/2016	<a href="#">9</a>	MOTION to Appear Pro Hac Vice for Timothy W. Mountz ( Filing fee \$ 50, receipt number 0416-5955304.) by Financial Industry Regulatory Authority, Inc. (Reicher, Terri) (Entered: 04/11/2016)
04/11/2016	<a href="#">10</a>	MOTION to Dismiss <i>Complaint Pursuant to Fed. R. Civ. P. 12(b)(1) and 12(b)(6)</i> by Financial Industry Regulatory Authority, Inc. Responses due by 4/28/2016 (Reicher, Terri) (Entered: 04/11/2016)
04/11/2016	<a href="#">11</a>	Memorandum re <a href="#">10</a> MOTION to Dismiss <i>Complaint Pursuant to Fed. R. Civ. P. 12(b)(1) and 12(b)(6)</i> , <a href="#">3</a> MOTION for Preliminary Injunction MOTION for Permanent Injunction filed by Financial Industry Regulatory Authority, Inc.. (Reicher, Terri) (Entered: 04/11/2016)
04/11/2016	<a href="#">12</a>	Correspondence Correcting Earlier Submission: <a href="#">10</a> Motion to Dismiss <i>attaching proposed order</i> (Reicher, Terri) (Entered: 04/11/2016)

04/11/2016	<a href="#">13</a>	MOTION for Leave to Appear <i>as amicus curiae</i> by Securities and Exchange Commission Responses due by 4/28/2016 (Attachments: # <a href="#">1</a> Memorandum of Law in Support, # <a href="#">2</a> Text of Proposed Order)(Freda, Dominick) (Entered: 04/11/2016)
04/11/2016	14	PAPERLESS ORDER SCHEDULING a telephone conference with counsel on Wednesday, April 13, 2016, at 9:00 a.m. If counsel would like to be reached at a number other than their office, please let chambers know the alternate phone number. Signed by Judge Deborah K. Chasanow on 4/11/2016. (Chasanow, Deborah) (Entered: 04/11/2016)
04/13/2016	<a href="#">15</a>	MOTION to Appear Pro Hac Vice for Vijay Rao ( Filing fee \$ 50, receipt number 0416-5959185.) by Darrel Michael Cruz, Timothy B. DiBlasi, John J. Hurry, Scottsdale Capital Advisors Corporation (Kirtland, Matthew) (Entered: 04/13/2016)
04/13/2016		Telephone Conference held on 4/13/2016 before Judge Deborah K. Chasanow. (sat, Chambers) (Entered: 04/13/2016)
04/13/2016	<a href="#">16</a>	LETTER/ORDER CONFIRMING matters discussed and the schedule set during telephone conference. The SEC's unopposed motion to participate as amicus curiae is GRANTED. The SEC will file its brief by Monday, April 18. Plaintiffs will file their combined reply to their motion for preliminary injunction and response in opposition to Defendants motion to dismiss by April 21. A motions hearing is scheduled April 26, 2016, at 9:30 a.m. Signed by Judge Deborah K. Chasanow on 4/13/2016. (sat, Chambers) (Entered: 04/13/2016)
04/15/2016	17	PAPERLESS ORDER granting <a href="#">9</a> Motion to Appear Pro Hac Vice on behalf of Timothy W Mountz. Directing attorney Timothy W Mountz to register online for CM/ECF at <a href="https://www.mdd.uscourts.gov/attyregB/inputProHac.asp">https://www.mdd.uscourts.gov/attyregB/inputProHac.asp</a> . Signed by Clerk on 4/15/2016. (srd, Deputy Clerk) (Entered: 04/15/2016)
04/15/2016	18	PAPERLESS ORDER granting <a href="#">15</a> Motion to Appear Pro Hac Vice on behalf of Vijay Rao. Directing attorney Vijay Rao to register online for CM/ECF at <a href="https://www.mdd.uscourts.gov/attyregB/inputProHac.asp">https://www.mdd.uscourts.gov/attyregB/inputProHac.asp</a> . Signed by Clerk on 4/15/2016. (srd, Deputy Clerk) (Entered: 04/15/2016)
04/18/2016	<a href="#">19</a>	Memorandum re <a href="#">10</a> MOTION to Dismiss <i>Complaint Pursuant to Fed. R. Civ. P. 12(b)(1) and 12(b)(6)</i> filed by Securities and Exchange Commission. (Freda, Dominick) (Entered: 04/18/2016)
04/21/2016	<a href="#">20</a>	REPLY to Response to Motion re <a href="#">3</a> MOTION for Preliminary Injunction MOTION for Permanent Injunction <i>and Opposition to Motion to Dismiss</i> <a href="#">10</a> filed by Darrel Michael Cruz, Timothy B. DiBlasi, John J. Hurry, Scottsdale Capital Advisors Corporation. (Kirtland, Matthew) (Entered: 04/21/2016)
04/25/2016	<a href="#">21</a>	NOTICE of Appearance by Martin Totaro on behalf of Securities and Exchange Commission (Totaro, Martin) (Entered: 04/25/2016)
04/25/2016	<a href="#">22</a>	NOTICE of Appearance by Josephine Thacher Morse on behalf of Securities and Exchange Commission (Morse, Josephine) (Entered: 04/25/2016)
04/26/2016	<a href="#">23</a>	Motions Hearing held on 4/26/2016 re: <a href="#">10</a> MOTION to Dismiss <i>Complaint Pursuant to Fed. R. Civ. P. 12(b)(1) and 12(b)(6)</i> filed by Defendant FINRA - Argued - "GRANTED"; and <a href="#">3</a> MOTION for Preliminary Injunction and Permanent Injunction filed by Plaintiffs - Argued - "DENIED" as stated by and before Judge Deborah K. Chasanow (written order to follow). (Court Reporter: Renee Ewing)

		(td, Deputy Clerk) (Entered: 04/26/2016)
04/26/2016	<a href="#">24</a>	ORDER GRANTING <a href="#">10</a> motion to dismiss, DISMISSING Plaintiffs' complaint, and DIRECTING the clerk to CLOSE this case. Signed by Judge Deborah K. Chasanow on 4/26/2016. (sat, Chambers) (Entered: 04/26/2016)
04/27/2016	<a href="#">25</a>	NOTICE OF APPEAL as to <a href="#">24</a> Order on Motion to Dismiss by Darrel Michael Cruz, Timothy B. DiBlasi, John J. Hurry, Scottsdale Capital Advisors Corporation. Filing fee \$ 505, receipt number 0416-5984325. Appeal Record due by 5/31/2016. (Kirtland, Matthew) (Entered: 04/27/2016)
04/29/2016	<a href="#">26</a>	Transmission of Notice of Appeal and Docket Sheet to US Court of Appeals re <a href="#">25</a> Notice of Appeal,. IMPORTANT NOTICE: To access forms which you are required to file with the United States Court of Appeals for the Fourth Circuit please go to <a href="http://www.ca4.uscourts.gov">http://www.ca4.uscourts.gov</a> and click on Forms & Notices. (krc, Deputy Clerk) (Entered: 04/29/2016)

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