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United States District Court
Northern District of Illinois - CM/ECF LIVE, Ver 6.1.1 (Chicago)
CIVIL DOCKET FOR CASE #: 1:15-cv-09196

U.S. Commodity Futures Trading Commission v. Oystacher et al

Date Filed: Oct 19, 2015
Nature of suit: 850 Securities/Commodities
Assigned to: Honorable Amy J. St. Eve
Cause: 7:6(b) Federal Commodity Exchange Regulation
Jurisdiction: U.S. Government Plaintiff
Jury demand: Plaintiff

Parties and Attorneys

Plaintiff

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Citadel LLC

Movant
Citadel Securities LLC

Movant
Richard T May

Docket Entries

[Reverse Entries](#)

Numbers shown are court assigned numbers.

Entry #	Filing Date	Action	Description
1	Oct 19, 2015	View	COMPLAINT filed by U.S. Commodity Futures Trading Commission; Jury Demand. (Attachments: # 1 Exhibit A)(Streit, Elizabeth) (Entered: 10/19/2015)
2	Oct 19, 2015 Oct 19, 2015	Request	CIVIL Cover Sheet (Streit, Elizabeth) (Entered: 10/19/2015) CASE ASSIGNED to the Honorable Amy J. St. Eve. Designated as Magistrate Judge the Honorable Geraldine Soat Brown. (daj,) (Entered: 10/19/2015)
3	Oct 19, 2015	Request	ATTORNEY Appearance for Plaintiff U.S. Commodity Futures Trading Commission by Elizabeth M. Streit (Streit, Elizabeth) (Entered: 10/19/2015)
4	Oct 19, 2015	Request	ATTORNEY Appearance for Plaintiff U.S. Commodity Futures Trading Commission by Jon J Kramer (Kramer, Jon) (Entered: 10/19/2015)
5	Oct 19, 2015	Request	ATTORNEY Appearance for Plaintiff U.S. Commodity Futures Trading Commission by Allison V. Passman (Passman, Allison) (Entered: 10/19/2015)
6	Oct 19, 2015	Request	ATTORNEY Appearance for Plaintiff U.S. Commodity Futures Trading Commission by Jennifer Ellen Smiley (Smiley, Jennifer) (Entered: 10/19/2015)
7	Oct 19, 2015	Request	ATTORNEY Appearance for Plaintiff U.S. Commodity Futures Trading Commission by Rosemary C. Hollinger (Hollinger, Rosemary) (Entered: 10/19/2015)
8	Oct 19, 2015 Oct 19, 2015	Request	ATTORNEY Appearance for Plaintiff U.S. Commodity Futures Trading Commission by Scott Robert Williamson (Williamson, Scott) (Entered: 10/19/2015) SUMMONS Issued as to Defendants 3 Red Trading LLC, Igor B Oystacher (pg,) (Entered: 10/19/2015)
9	Oct 19, 2015	Request	WAIVER OF SERVICE returned executed by U.S. Commodity Futures Trading Commission. Igor B Oystacher waiver sent on 10/19/2015, answer due 12/18/2015. (Streit, Elizabeth) (Entered: 10/19/2015)
10	Oct 19, 2015	Request	WAIVER OF SERVICE returned executed by U.S. Commodity Futures Trading Commission. 3 Red Trading LLC waiver sent on 10/19/2015, answer due 12/18/2015. (Streit, Elizabeth) (Entered: 10/19/2015)
11	Oct 19, 2015	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Stephen Jay Senderowitz (Senderowitz, Stephen) (Entered: 10/19/2015)
12	Oct 19, 2015	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Steven Lawrence Merouse (Merouse, Steven) (Entered: 10/19/2015)
13	Oct 19, 2015	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Kristina Yang Liu (Liu, Kristina) (Entered: 10/19/2015)
14	Oct 20, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: Initial

			status hearing set for 12/1/15 at 8:30 a.m. in courtroom 1241. Parties shall refer to Judge St. Eve's web page at www.ilnd.uscourts.gov and file a joint status report by 11/25/15 as set forth in the Initial Status Conferences procedure. If the defendant has not been served as of 11/25/15, the Court will continue the filing date for the joint status report until the defendant is served. If the defendant files a motion to dismiss prior to the filing of the joint status report, the Court will continue the filing date for the joint status report until after the Court rules on the pending motion. Mailed notice (kef,) (Entered: 10/20/2015)
15	Oct 20, 2015	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Rachel Marie Cannon (Cannon, Rachel) (Entered: 10/20/2015)
16	Oct 20, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: At defendants' unopposed request, status hearing set for 12/1/15 is stricken and reset to 12/21/15 at 8:30 a.m. Joint status report shall be filed by 12/18/15 if no motion to dismiss is filed. Mailed notice (kef,) (Entered: 10/20/2015)
17	Oct 20, 2015	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Michael Sangyun Kim (Kim, Michael) (Entered: 10/20/2015)
18	Oct 20, 2015	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Kimberly Perrotta Cole (Cole, Kimberly) (Entered: 10/20/2015)
19	Oct 26, 2015	Request	NOTIFICATION of Affiliates pursuant to Local Rule 3.2 by 3 Red Trading LLC (Senderowitz, Stephen) (Entered: 10/26/2015)
20	Nov 9, 2015	View	MOTION by Plaintiff U.S. Commodity Futures Trading Commission for preliminary injunction And Leave To File an Oversized Brief In Support Thereof (Attachments: # 1 Exhibit Proposed Memorandum Of Law, # 2 Appendix, # 3 Declaration Joy McCormack, # 4 Expert Report Of Hendrik Bessembinder, # 5 Affidavit Richard May, # 6 Affidavit Matthew Wasko, # 7 Declaration Karen Christiansen, # 8 Affidavit National Futures Association, # 9 Ruling and Certificate of Ministry Of State of Hessen, # 10 Certified Translation)(Streit, Elizabeth) (Entered: 11/09/2015)
21	Nov 9, 2015	View	NOTICE of Motion by Elizabeth M. Streit for presentment of motion for preliminary injunction,, 20 before Honorable Amy J. St. Eve on 11/12/2015 at 08:30 AM. (Streit, Elizabeth) (Entered: 11/09/2015)
22	Nov 10, 2015	View	AMENDED NOTICE of Motion by Jon J Kramer for presentment of motion for preliminary injunction,, 20 before Honorable Amy J. St. Eve on 11/16/2015 at 08:30 AM. (Kramer, Jon) (Entered: 11/10/2015)
23	Nov 10, 2015	View	MOTION by Plaintiff U.S. Commodity Futures Trading Commission for protective order (Attachments: # 1 Exhibit A-

			Proposed Confidentiality Order - (clean copy), # 2 Exhibit B-Proposed Confidentiality Order - (redline))(Passman, Allison) (Entered: 11/10/2015)
24	Nov 10, 2015	Request	NOTICE of Motion by Allison V. Passman for presentment of motion for protective order, 23 before Honorable Amy J. St. Eve on 11/16/2015 at 08:30 AM. (Passman, Allison) (Entered: 11/10/2015)
25	Nov 12, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: Motion for entry of proposed agreed confidentiality order 23 is granted. Mailed notice (kef,) (Entered: 11/12/2015)
26	Nov 12, 2015	View	AGREED CONFIDENTIALITY ORDER Signed by the Honorable Amy J. St. Eve on 11/12/2015:Mailed notice(kef,) (Entered: 11/12/2015)
27	Nov 12, 2015	Request	MOTION for Leave to Appear Pro Hac Vice Filing fee \$ 50, receipt number 0752-11294128. (Menchel, Matthew) (Entered: 11/12/2015)
28	Nov 16, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: Application to appear pro hac vice on behalf of Igor Oystacher and 3 Red Trading LLC by Matthew Menchel 27 is granted. Mailed notice (kef,) (Entered: 11/16/2015)
29	Nov 16, 2015	View	MINUTE entry before the Honorable Amy J. St. Eve:Motion hearing held on 11/16/2015. Plaintiff's motion for leave to file an oversized brief 20 is granted. Plaintiff's motion for preliminary injunction 20 is entered and continued generally. Defendants' brief regarding timing of hearing/trial shall be filed by 11/23/15. Response by 12/3/15. Parties are directed to meet and confer regarding experts as discussed in open court. Parties are directed to file a motion regarding experts by 12/3/15 if court assistance is necessary. Rule 26(a)(1) disclosures shall be exchanged by 12/4/15. Written discovery shall be issued by 12/7/15. Defendants shall answer or otherwise plead by 12/18/15. Status hearing set for 12/10/2015 at 09:15 AM.Mailed notice (kef,) (Entered: 11/16/2015)
30	Nov 17, 2015	Request	ORDER: The Clerk's Office is directed to place attachment 3 (exhibit 1) to doc. 20 under seal. Signed by the Honorable Amy J. St. Eve on 11/17/2015. Mailed notice (mmy,) (Entered: 11/18/2015)
31	Nov 19, 2015	View	EXHIBIT by Plaintiff U.S. Commodity Futures Trading Commission Exhibit 1- First Amended Declaration of Joy McCormack regarding MOTION by Plaintiff U.S. Commodity Futures Trading Commission for preliminary injunction And Leave To File an Oversized Brief In Support Thereof 20 , order 30 (Smiley, Jennifer) (Entered: 11/19/2015)
32	Nov 23, 2015	View	TRANSCRIPT OF PROCEEDINGS held on 11/16/15 before the Honorable Amy J. St. Eve. Court Reporter Contact Information: Joseph Rickhoff, 312-435-5562, joseph_rickhoff@ilnd.uscourts.gov. <P>IMPORTANT: The

			transcript may be viewed at the court's public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through the Court Reporter/Transcriber or PACER. For further information on the redaction process, see the Court's web site at www.ilnd.uscourts.gov under Quick Links select Policy Regarding the Availability of Transcripts of Court Proceedings.</P> Redaction Request due 12/14/2015. Redacted Transcript Deadline set for 12/24/2015. Release of Transcript Restriction set for 2/22/2016. (Rickhoff, Joseph) (Entered: 11/23/2015)
33	Nov 23, 2015	Request	Defendants' Brief in Support of Their Request to Consolidate the Preliminary Injunction Hearing with the Trial, and for Entry of the Proposed Scheduling Order by 3 Red Trading LLC, Igor B Oystacher (Attachments: # 1 Exhibit A)(Senderowitz, Stephen) (Entered: 11/23/2015)
34	Dec 3, 2015	Request	Response in Opposition to Defendants' Request to Consolidate the Preliminary Injunction Hearing with the Trial and for Entry of the Proposed Scheduling Order by U.S. Commodity Futures Trading Commission (Smiley, Jennifer) (Entered: 12/03/2015)
35	Dec 3, 2015	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher for leave to file (Defendants' Motion for Leave to File Under Seal Exhibit A of Defendants' Brief in Support of Their Request to Retain Compass Lexecon and Provide Compass Lexecon with Materials Covered by the Protective Order) (Cannon, Rachel) (Entered: 12/03/2015)
36	Dec 3, 2015	Request	NOTICE of Motion by Rachel Marie Cannon for presentment of motion for leave to file, 35 before Honorable Amy J. St. Eve on 12/10/2015 at 09:15 AM. (Cannon, Rachel) (Entered: 12/03/2015)
37	Dec 3, 2015	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission Motion For Leave To File Motion To Disqualify Expert Witness Under Seal (Streit, Elizabeth) (Entered: 12/03/2015)
38	Dec 3, 2015	Request	SEALED MOTION by Plaintiff U.S. Commodity Futures Trading Commission PLAINTIFFS COMBINED MOTION AND MEMORANDUM OF LAW TO DISQUALIFY [Under Seal] (Streit, Elizabeth) (Entered: 12/03/2015)
39	Dec 3, 2015	Request	Defendants' Brief in Support of their Request to Retain Compass Lexecon and Provide Compass Lexecon with Materials Covered by the Protective Order by 3 Red Trading LLC, Igor B Oystacher (Attachments: # 1 Exhibit A)(Cannon, Rachel) (Entered: 12/03/2015)
40	Dec 3, 2015	Request	NOTICE of Motion by Elizabeth M. Streit for presentment of motion for miscellaneous relief 37 , Sealed motion 38 before Honorable Amy J. St. Eve on 12/10/2015 at 09:15 AM. (Streit, Elizabeth) (Entered: 12/03/2015)

41	Dec 7, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: Parties' motions for leave to file under seal 35 37 are granted. Cross-motions regarding disqualification of expert witness 38 39 are entered. Responses by 12/11/15. Mailed notice (kef,) (Entered: 12/07/2015)
42	Dec 10, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: Status hearing held on 12/10/2015 and continued to 12/21/2015 at 08:45 AM (as opposed to the 8:30 a.m. time given in open court). Defendants' request to consolidate preliminary injunction hearing and trial 33 is denied as stated in open court. Plaintiff is directed to file a public redacted version of its motion to disqualify. Defendants are directed to file Exhibit A of Defendants' Brief in Support of Their Request to Retain Compass Lexecon and Provide Compass Lexecon with Materials Covered by the Protective Order under seal as leave was previously given and to file a public version as well. The parties may file their responses due on 12/11/15 under seal, with a corresponding public version, to the extent permissible with Seventh Circuit law. See Hicklin Eng., L.C. v. Bartell, 439 F.3d 346, 348 (7th Cir. 2006) ("We have insisted that litigation be conducted in public to the maximum extent consistent with respecting trade secrets, the identities of undercover agents, and other facts that should be held in confidence."); Baxter Int'l., Inc. v. Abbott Labs., 297 F.3d 544 (7th Cir. 2002). Mailed notice (kef,) (Entered: 12/10/2015)
43	Dec 10, 2015	Request	SEALED EXHIBIT by Defendants 3 Red Trading LLC, Igor B Oystacher regarding other, 39 (Attachments: # 1 Exhibit A)(Cannon, Rachel) (Entered: 12/10/2015)
44	Dec 10, 2015	View	EXHIBIT by Defendants 3 Red Trading LLC, Igor B Oystacher (Redacted) regarding other, 39 (Attachments: # 1 Exhibit A)(Cannon, Rachel) (Entered: 12/10/2015)
45	Dec 11, 2015	Request	TRANSCRIPT OF PROCEEDINGS held on 12/10/15 before the Honorable Amy J. St. Eve. Court Reporter Contact Information: Joseph Rickhoff, 312-435-5562, joseph_rickhoff@ilnd.uscourts.gov. <P>IMPORTANT: The transcript may be viewed at the court's public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through the Court Reporter/Transcriber or PACER. For further information on the redaction process, see the Court's web site at www.ilnd.uscourts.gov under Quick Links select Policy Regarding the Availability of Transcripts of Court Proceedings.</P> Redaction Request due 1/1/2016. Redacted Transcript Deadline set for 1/11/2016. Release of Transcript Restriction set for 3/10/2016. (Rickhoff, Joseph) (Entered: 12/11/2015)
46	Dec 11, 2015	View	MOTION by Plaintiff U.S. Commodity Futures Trading Commission For Disqualification Of Expert Witness And Firm [Not Under Seal] (Kramer, Jon) (Entered: 12/11/2015)

47	Dec 11, 2015	View	REPLY by Plaintiff U.S. Commodity Futures Trading Commission to other, 39 Reply In Support Of Its Motion To Disqualify Proposed Expert Witness And Firm (Kramer, Jon) (Entered: 12/11/2015)
48	Dec 11, 2015	View	RESPONSE by Defendants 3 Red Trading LLC, Igor B Oystacher to Sealed motion 38 (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C)(Cannon, Rachel) (Entered: 12/11/2015)
49	Dec 18, 2015	Request	MINUTE entry before the Honorable Amy J. St. Eve: The Court denies Plaintiff's motion to disqualify Defendants' expert and consulting 46 firm and grants Defendants' motion to retain them 39 . [For further details, see separate Memorandum Opinion and Order.] Mailed notice (kef,) (Entered: 12/18/2015)
50	Dec 18, 2015	View	MEMORANDUM Opinion and Order Signed by the Honorable Amy J. St. Eve on 12/18/2015:Mailed notice(kef,) (Entered: 12/18/2015)
51	Dec 18, 2015	Request	STATUS Report Joint Initial Status Report by 3 Red Trading LLC, Igor B Oystacher (Cannon, Rachel) (Entered: 12/18/2015)
52	Dec 18, 2015	View	ANSWER to Complaint and Affirmative Defenses by 3 Red Trading LLC, Igor B Oystacher (Senderowitz, Stephen) (Entered: 12/18/2015)
53	Dec 21, 2015	View	MINUTE entry before the Honorable Amy J. St. Eve:Status hearing held on 12/21/2015 and continued to 2/23/2016 at 08:30 AM. Preliminary injunction hearing (1 week) set to begin on 4/25/16 at 9:15 a.m. Defendants' expert report by 2/26/16. Rebuttal expert report by 3/11/16. Parties shall file an agreed expert deposition schedule, briefing schedule on the motion for preliminary injunction and fact cutoff for the hearing by 12/21/15. Witness lists for the hearing shall be filed by 4/15/16. Courtesy copies of pre-marked exhibits with an exhibit list shall be provided by 4/15/16. Mailed notice (kef,) (Entered: 12/21/2015)
54	Dec 22, 2015	View	SCHEDULING ORDER Signed by the Honorable Amy J. St. Eve on 12/22/2015:Mailed notice(kef,) (Entered: 12/22/2015)
55	Dec 29, 2015	Request	MOTION for Leave to Appear Pro Hac Vice Filing fee \$ 50, receipt number 0752-11437322. (Sauter, Benjamin) (Entered: 12/29/2015)
56	Dec 29, 2015	Request	ORDER: Benjamin Sauter's motion for leave to appear pro hac vice on behalf of Igor Oystacher and 3 Red Trading LLC 55 is granted. Signed by the Honorable Amy J. St. Eve on 12/29/2015. Mailed notice(rm,) (Entered: 12/29/2015)
57	Jan 3, 2016	Request	TRANSCRIPT OF PROCEEDINGS held on 12/21/15 before the Honorable Amy J. St. Eve. Court Reporter Contact Information: Joseph Rickhoff, 312-435-5562, joseph_rickhoff@ilnd.uscourts.gov. <P>IMPORTANT: The transcript may be viewed at the court's public terminal or

			purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through the Court Reporter/Transcriber or PACER. For further information on the redaction process, see the Court's web site at www.ilnd.uscourts.gov under Quick Links select Policy Regarding the Availability of Transcripts of Court Proceedings.</P> Redaction Request due 1/25/2016. Redacted Transcript Deadline set for 2/3/2016. Release of Transcript Restriction set for 4/4/2016. (Rickhoff, Joseph) (Entered: 01/03/2016)
58	Jan 5, 2016	View	MOTION by Plaintiff U.S. Commodity Futures Trading Commission for extension of time (Kramer, Jon) (Entered: 01/05/2016)
59	Jan 5, 2016	Request	NOTICE of Motion by Jon J Kramer for presentment of extension of time 58 before Honorable Amy J. St. Eve on 1/15/2016 at 08:30 AM. (Kramer, Jon) (Entered: 01/05/2016)
60	Jan 6, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Plaintiff's unopposed motion for an extension of time to 1/15/16 to file a motion to strike affirmative defenses 58 is granted. No appearance is required on the 1/15/16 notice date. Mailed notice (kef,) (Entered: 01/06/2016)
61	Jan 11, 2016	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission to amend/correct protective order, set/clear flags 26 MOTION FOR ENTRY OF REVISED AGREED CONFIDENTIALITY ORDER (Attachments: # 1 Exhibit A, # 2 Exhibit B)(Smiley, Jennifer) (Entered: 01/11/2016)
62	Jan 11, 2016	Request	PLAINTIFF'S NOTICE of Motion by Jennifer Ellen Smiley for presentment of motion to amend/correct, motion for relief,,,, 61 before Honorable Amy J. St. Eve on 1/19/2016 at 08:30 AM. (Smiley, Jennifer) (Entered: 01/11/2016)
63	Jan 12, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Parties' motion for entry of revised agreed confidentiality order 61 is granted. No appearance is required on the 1/19/16 notice date. Mailed notice (kef,) (Entered: 01/12/2016)
64	Jan 12, 2016	Request	REVISED AGREED CONFIDENTIALITY ORDER Signed by the Honorable Amy J. St. Eve on 1/12/2016:Mailed notice(kef,) (Entered: 01/12/2016)
65	Jan 15, 2016	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission to strike Affirmative Defenses and for Leave to File Oversized Brief in Support Thereof (Attachments: # 1 Exhibit Memorandum of Law)(Passman, Allison) (Entered: 01/15/2016)
66	Jan 15, 2016	Request	NOTICE of Motion by Allison V. Passman for presentment of motion to strike 65 before Honorable Amy J. St. Eve on 1/21/2016 at 08:30 AM. (Passman, Allison) (Entered: 01/15/2016)
67	Jan 21, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve:Motion hearing held on 1/21/2016. Plaintiff's motion for leave to file

			an oversized brief in support 65 is granted. Plaintiff's motion to strike affirmative defenses 65 is entered and taken under advisement. Mailed notice (kef,) (Entered: 01/21/2016)
68	Feb 1, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: The Court grants Defendants' request to delay filing a response to the CFTC's Motion to Strike Affirmative Defenses until after the conclusion of the preliminary injunction hearing in this case. As noted in open court, in light of Defendants' representations, Defendant is precluded from asserting any of these affirmative defenses as a defense at the preliminary injunction hearing. Defendants' response to CFTC's motion to strike 65 is due on or before 5/9/16. Plaintiff's reply is due on or before 5/20/16. Mailed notice (kef,) (Entered: 02/01/2016)
69	Feb 2, 2016	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Jacqueline A, Giannini (Giannini, Jacqueline) (Entered: 02/02/2016)
70	Feb 5, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: At defendants' unopposed request, Status hearing set for 2/23/16 is stricken and reset to 3/1/2016 at 08:30 AM.Mailed notice (kef,) (Entered: 02/05/2016)
71	Feb 5, 2016	Request	TRANSCRIPT OF PROCEEDINGS held on 1/21/16 before the Honorable Amy J. St. Eve. Court Reporter Contact Information: Joseph Rickhoff, 312-435-5562, joseph_rickhoff@ilnd.uscourts.gov. <P>IMPORTANT: The transcript may be viewed at the court's public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through the Court Reporter/Transcriber or PACER. For further information on the redaction process, see the Court's web site at www.ilnd.uscourts.gov under Quick Links select Policy Regarding the Availability of Transcripts of Court Proceedings.</P> Redaction Request due 2/26/2016. Redacted Transcript Deadline set for 3/7/2016. Release of Transcript Restriction set for 5/5/2016. (Rickhoff, Joseph) (Entered: 02/05/2016)
72	Feb 11, 2016	View	MOTION by Plaintiff U.S. Commodity Futures Trading Commission PLAINTIFF'S MOTION TO EXPAND PROOF (Passman, Allison) (Entered: 02/11/2016)
73	Feb 11, 2016	Request	NOTICE of Motion by Allison V. Passman for presentment of motion for miscellaneous relief 72 before Honorable Amy J. St. Eve on 2/17/2016 at 08:30 AM. (Passman, Allison) (Entered: 02/11/2016)
74	Feb 17, 2016	View	MINUTE entry before the Honorable Amy J. St. Eve: Motion hearing held on 2/17/2016. Plaintiff's motion to expand proof 72 is denied without prejudice as premature. Parties must meet and confer if including additional proof as stated in open court. Status hearing set for 3/1/16 is stricken and reset

			to 3/17/16 at 8:30 a.m. Mailed notice (kef,) (Entered: 02/17/2016)
75	Feb 25, 2016	Request	TRANSCRIPT OF PROCEEDINGS held on 2/17/16 before the Honorable Amy J. St. Eve. Court Reporter Contact Information: Joseph Rickhoff, 312-435-5562, joseph_rickhoff@ilnd.uscourts.gov. <P>IMPORTANT: The transcript may be viewed at the court's public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through the Court Reporter/Transcriber or PACER. For further information on the redaction process, see the Court's web site at www.ilnd.uscourts.gov under Quick Links select Policy Regarding the Availability of Transcripts of Court Proceedings.</P> Redaction Request due 3/17/2016. Redacted Transcript Deadline set for 3/28/2016. Release of Transcript Restriction set for 5/25/2016. (Rickhoff, Joseph) (Entered: 02/25/2016)
76	Mar 14, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: The parties are directed to provide the Court with a copy of the deposition transcripts of their respective experts on or before 3/28/16. Mailed notice (kef,) (Entered: 03/14/2016)
77	Mar 17, 2016	View	Rebuttal Report of Hendrick Bessembinder by U.S. Commodity Futures Trading Commission (Smiley, Jennifer) (Entered: 03/17/2016)
78	Mar 17, 2016	View	Expert Report of C. Keith Connors by U.S. Commodity Futures Trading Commission (Smiley, Jennifer) (Entered: 03/17/2016)
79	Mar 17, 2016	View	MINUTE entry before the Honorable Amy J. St. Eve: Status hearing held on 3/17/16 and continued to 4/11/16 at 8:30 a.m. Testimony of Mr. May will take place in court on 4/8/16 at 9:30 a.m. Parties are given leave to file the expert reports under seal. Deposition transcripts shall be filed by 4/1/16, if not before. Motions to exclude witnesses or testimony at the preliminary injunction hearing shall be filed by 4/6/16. Any motions in limine pertaining to the preliminary injunction hearing shall be filed by 4/8/16. Mailed notice (kef,) (Entered: 03/17/2016)
80	Mar 17, 2016	View	Expert Report of Daniel R. Fischel by 3 Red Trading LLC, Igor B Oystacher (Liu, Kristina) (Entered: 03/17/2016)
81	Mar 17, 2016	Request	Expert Report of Jerry Markham by 3 Red Trading LLC, Igor B Oystacher (Liu, Kristina) (Entered: 03/17/2016)
82	Mar 17, 2016	Request	SEALED DOCUMENT by Defendants 3 Red Trading LLC, Igor B Oystacher (Liu, Kristina) (Entered: 03/17/2016)
83	Mar 21, 2016	Request	Expert Report of Jerry W. Markham by 3 Red Trading LLC, Igor B Oystacher (Giannini, Jacqueline) (Entered: 03/21/2016)
84	Mar 22, 2016	Request	Supplemental Report of Daniel R. Fischel by 3 Red Trading LLC, Igor B Oystacher (Giannini, Jacqueline) (Entered: 03/22/2016)

			03/22/2016)
85	Mar 23, 2016	Request	Supplemental Report of Daniel R. Fischel by 3 Red Trading LLC, Igor B Oystacher (Giannini, Jacqueline) (Entered: 03/23/2016)
86	Mar 31, 2016	Request	SEALED DOCUMENT by Plaintiff U.S. Commodity Futures Trading Commission DEPOSITION TRANSCRIPT OF PLAINTIFF EXPERT HENDRIK BESSEMBINDER (Attachments: # 1 Errata)(Kramer, Jon) (Entered: 03/31/2016)
87	Apr 1, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher for leave to file information under seal (Attachments: # 1 Declaration of Stephen J. Strohmer)(Cole, Kimberly) (Entered: 04/01/2016)
88	Apr 1, 2016	Request	NOTICE of Motion by Kimberly Perrotta Cole for presentment of motion for leave to file 87 before Honorable Amy J. St. Eve on 4/7/2016 at 08:30 AM. (Cole, Kimberly) (Entered: 04/01/2016)
89	Apr 1, 2016	Request	SEALED RESPONSE by 3 Red Trading LLC, Igor B Oystacher to MOTION by Plaintiff U.S. Commodity Futures Trading Commission for preliminary injunction And Leave To File an Oversized Brief In Support Thereof 20 (Attachments: # 1 Declaration of Kimberly Perrotta Cole, # 2 Exhibit 1 to Cole Declaration, # 3 Exhibit 2 to Cole Declaration, # 4 Exhibit 3 to Cole Declaration, # 5 Exhibit 4 to Cole Declaration, # 6 Exhibit 5 to Cole Declaration, # 7 Exhibit 6 to Cole Declaration, # 8 Exhibit 7 to Cole Declaration, # 9 Exhibit 8 to Cole Declaration, # 10 Exhibit 9 to Cole Declaration, # 11 Exhibit 10 to Cole Declaration, # 12 Exhibit 11 to Cole Declaration, # 13 Exhibit 12 to Cole Declaration, # 14 Exhibit 13 to Cole Declaration, # 15 Exhibit 14 to Cole Declaration, # 16 Exhibit 15 to Cole Declaration, # 17 Exhibit 16 to Cole Declaration, # 18 Exhibit 17 to Cole Declaration, # 19 Exhibit 18 to Cole Declaration, # 20 Exhibit 19 to Cole Declaration, # 21 Exhibit 20 to Cole Declaration, # 22 Exhibit 21 to Cole Declaration, # 23 Exhibit 22 to Cole Declaration, # 24 Exhibit 23 to Cole Declaration, # 25 Exhibit 24 to Cole Declaration, # 26 Declaration of Igor Oystacher, # 27 Exhibit A to Oystacher Declaration, # 28 Declaration of Gregory O'Connor, # 29 Exhibit A to O'Connor Declaration)(Cole, Kimberly) (Entered: 04/01/2016)
90	Apr 1, 2016	View	RESPONSE by 3 Red Trading LLC, Igor B Oystacher in Opposition to MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher for leave to file information under seal 87 , MOTION by Plaintiff U.S. Commodity Futures Trading Commission for preliminary injunction And Leave To File an Oversized Brief In Support Thereof 20 (Attachments: # 1 Declaration of Kimberly Perrotta Cole, # 2 Exhibit 1 to Cole Declaration, # 3 Exhibit 2 to Cole Declaration, # 4 Exhibit 3 to

			Cole Declaration, # 5 Exhibit 4 to Cole Declaration, # 6 Exhibit 5 to Cole Declaration, # 7 Exhibit 6 to Cole Declaration, # 8 Exhibit 7 to Cole Declaration, # 9 Exhibit 8 to Cole Declaration, # 10 Exhibit 9 to Cole Declaration, # 11 Exhibit 10 to Cole Declaration, # 12 Exhibit 11 to Cole Declaration, # 13 Exhibit 12 to Cole Declaration, # 14 Exhibit 13 to Cole Declaration, # 15 Exhibit 14 to Cole Declaration, # 16 Exhibit 15 to Cole Declaration, # 17 Exhibit 16 to Cole Declaration, # 18 Exhibit 17 to Cole Declaration, # 19 Exhibit 18 to Cole Declaration, # 20 Exhibit 19 to Cole Declaration, # 21 Exhibit 20 to Cole Declaration, # 22 Exhibit 21 to Cole Declaration, # 23 Exhibit 22 to Cole Declaration, # 24 Exhibit 23 to Cole Declaration, # 25 Exhibit 24 to Cole Declaration, # 26 Declaration of Igor Oystacher, # 27 Exhibit A to Oystacher Declaration, # 28 Declaration of Gregory O'Connor, # 29 Exhibit A to O'Connor Declaration)(Cole, Kimberly) (Entered: 04/01/2016)
91	Apr 4, 2016	View	MINUTE entry before the Honorable Amy J. St. Eve: Defendants' motion for leave to file under seal 87 is granted. No appearance is required on the 4/7/16 notice motion date. Mailed notice (kef,) (Entered: 04/04/2016)
92	Apr 5, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Evidentiary Hearing set for 4/8/2016 is reset from 9:30 a.m. to 9:00 a.m. Mailed notice (kef,) (Entered: 04/05/2016)
93	Apr 5, 2016	Request	ATTORNEY Appearance for Movants Citadel LLC, Citadel Securities LLC, Richard T May by Adam L. Hoeflich (Hoeflich, Adam) (Entered: 04/05/2016)
94	Apr 5, 2016	View	MOTION by Movants Citadel LLC, Citadel Securities LLC, Richard T May to seal Motion for Protective Order and Exhibit(s) (Hoeflich, Adam) (Entered: 04/05/2016)
95	Apr 5, 2016	Request	NOTICE of Motion by Adam L. Hoeflich for presentment of motion to seal 94 before Honorable Amy J. St. Eve on 4/7/2016 at 08:00 AM. (Hoeflich, Adam) (Entered: 04/05/2016)
96	Apr 5, 2016	Request	MOTION by Movants Citadel LLC, Citadel Securities LLC, Richard T May for protective order (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G, # 8 Exhibit H, # 9 Exhibit I, # 10 Exhibit J, # 11 Exhibit K, # 12 Exhibit L, # 13 Exhibit M)(Hoeflich, Adam) (Entered: 04/05/2016)
97	Apr 5, 2016	Request	NOTICE of Motion by Adam L. Hoeflich for presentment of motion for protective order, 96 before Honorable Amy J. St. Eve on 4/7/2016 at 08:00 AM. (Hoeflich, Adam) (Entered: 04/05/2016)
98	Apr 5, 2016	Request	SEALED DOCUMENT by Movants Citadel LLC, Citadel Securities LLC, Richard T May (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D, # 5 Exhibit E, # 6 Exhibit F, # 7 Exhibit G, # 8 Exhibit H, # 9 Exhibit I, # 10

			Exhibit J, # 11 Exhibit K, # 12 Exhibit L, # 13 Exhibit M)(Hoeflich, Adam) (Entered: 04/05/2016)
99	Apr 6, 2016	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission to seal Notice of Information Relevant to Citadel's Motion for Protective Order (Passman, Allison) (Entered: 04/06/2016)
100	Apr 6, 2016	Request	NOTICE of Motion by Allison V. Passman for presentment of motion to seal 99 before Honorable Amy J. St. Eve on 4/7/2016 at 08:30 AM. (Passman, Allison) (Entered: 04/06/2016)
101	Apr 6, 2016	Request	SEALED DOCUMENT by Plaintiff U.S. Commodity Futures Trading Commission (Passman, Allison) (Entered: 04/06/2016)
102	Apr 6, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Motions to seal 94 99 are granted. Citadel's motion for protective order 96 noticed for 4/7/16 is reset from 8:00 a.m. to 9:00 a.m. Mailed notice (kef,) (Entered: 04/06/2016)
103	Apr 6, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: At the movant's unopposed oral request, motion hearing on Citadal's motion for protective order 96 set for 4/7/16 is stricken and reset to 4/8/16 at 8:45 a.m. Mailed notice (kef,) (Entered: 04/06/2016)
104	Apr 6, 2016	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission to Exclude Defendants' Vagueness Argument (Attachments: # 1 Exhibit A)(Smiley, Jennifer) (Entered: 04/06/2016)
105	Apr 6, 2016	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission to (i) Exclude Testimony and Opinions of Brian Leahy, PhD, and Jerry W. Markham and to Strike their Expert Reports; (ii) for Leave to File an Oversized Memorandum in Support Thereof; and (iii) for Leave to File Memorandum Under Seal (Attachments: # 1 Exhibit 1)(Smiley, Jennifer) (Entered: 04/06/2016)
106	Apr 6, 2016	Request	NOTICE of Motion by Jennifer Ellen Smiley for presentment of motion for miscellaneous relief 104 , motion for miscellaneous relief, 105 before Honorable Amy J. St. Eve on 4/11/2016 at 08:30 AM. (Smiley, Jennifer) (Entered: 04/06/2016)
107	Apr 6, 2016	Request	SEALED DOCUMENT by Plaintiff U.S. Commodity Futures Trading Commission (Attachments: # 1 Exhibit A)(Smiley, Jennifer) (Entered: 04/06/2016)
108	Apr 6, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to seal Information Submitted in Support of Defendants Motion to Exclude the Testimony of the CFTCs Market Witnesses (Menchel, Matthew) (Entered: 04/06/2016)
109	Apr 6, 2016	Request	Defendants' NOTICE of Motion by Matthew I. Menchel for presentment of motion to seal 108 before Honorable Amy J. St. Eve on 4/11/2016 at 09:00 AM. (Attachments: # 1 Exhibit

			Motion)(Menchel, Matthew) (Entered: 04/06/2016)
110	Apr 6, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to Exclude CFTC's Market Witnesses (Attachments: # 1 Exhibit A -- Menchel Decl., # 2 Exhibit 1 to Menchel Decl., # 3 Exhibit 2 to Menchel Decl., # 4 Exhibit 3 to Menchel Decl.)(Menchel, Matthew) (Entered: 04/06/2016)
111	Apr 6, 2016	Request	SEALED MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to Exclude CFTC's Market Witnesses (Attachments: # 1 Exhibit A -- Menchel Decl., # 2 Exhibit 1 to Menchel Decl., # 3 Exhibit 2 to Menchel Decl., # 4 Exhibit 3 to Menchel Decl.)(Menchel, Matthew) (Entered: 04/06/2016)
112	Apr 7, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Defendants' motion for leave to file under seal 108 is granted. Mailed notice (kef,) (Entered: 04/07/2016)
113	Apr 7, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to seal certain information submitted in support of Defendants Opposition to the Motion for Protective Order filed by Citadel LLC, Citadel Securities, LLC, and Richard May (Menchel, Matthew) (Entered: 04/07/2016)
114	Apr 7, 2016	Request	NOTICE of Motion by Matthew I. Menchel for presentment of motion to seal, 113 before Honorable Amy J. St. Eve on 4/8/2016 at 08:45 AM. (Attachments: # 1 Exhibit Motion for Leave)(Menchel, Matthew) (Entered: 04/07/2016)
115	Apr 7, 2016	Request	SEALED RESPONSE by 3 Red Trading LLC, Igor B Oystacher to MOTION by Movants Citadel LLC, Citadel Securities LLC, Richard T May for protective order 96 (Attachments: # 1 Exhibit A -- Sauter Declaration, # 2 Exhibit 1 to Sauter Declaration, # 3 Exhibit 2 to Sauter Declaration, # 4 Exhibit 3 to Sauter Declaration, # 5 Exhibit 4 to Sauter Declaration, # 6 Exhibit 5 to Sauter Declaration, # 7 Exhibit 6 to Sauter Declaration, # 8 Exhibit 7 to Sauter Declaration, # 9 Exhibit 8 to Sauter Declaration, # 10 Exhibit 9 to Sauter Declaration, # 11 Exhibit 10 to Sauter Declaration, # 12 Exhibit 11 to Sauter Declaration, # 13 Exhibit 12 to Sauter Declaration, # 14 Exhibit 13 to Sauter Declaration)(Menchel, Matthew) (Entered: 04/07/2016)
116	Apr 7, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Defendants' motion to seal 113 is granted. Mailed notice (kef,) (Entered: 04/07/2016)
117	Apr 7, 2016	Request	MEMORANDUM by 3 Red Trading LLC, Igor B Oystacher in Opposition to motion for protective order, 96 (Attachments: # 1 Exhibit A -- Sauter Declaration, # 2 Exhibit 1 to Sauter Declaration, # 3 Exhibit 2 to Sauter Declaration, # 4 Exhibit 3 to Sauter Declaration, # 5 Exhibit 4 to Sauter Declaration, # 6 Exhibit 5 to Sauter Declaration, # 7 Exhibit 6 to Sauter Declaration, # 8 Exhibit 7 to Sauter Declaration, # 9 Exhibit 8 to Sauter Declaration, # 10 Exhibit 9 to Sauter Declaration, # 11 Exhibit 10 to Sauter Declaration, # 12 Exhibit 11 to Sauter

			Declaration, # 13 Exhibit 12 to Sauter Declaration, # 14 Exhibit 13 to Sauter Declaration)(Menchel, Matthew) (Entered: 04/07/2016)
118	Apr 8, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Preliminary injunction hearing held as to the testimony of Richard May held on 4/8/16. In chambers hearing held on R. 101. Citadel's motion for protective order 96 98 is withdrawn. CFTCs motion to exclude testimony and opinions of Brian Leahy and Jerry Markham and to strike their expert reports 105 and motion to exclude defendants' vagueness argument 104 are entered. Responses by 4/14/16. CFTC's motion for leave to file an oversized memorandum and for leave to file memorandum under seal 105 is granted. Defendants' motion to exclude the testimony of CFTCs market witnesses: Richard May, Matthew Wasko and Karen Christiansen 111 is entered. Response by 4/14/16. Status hearing set for 4/11/16 is stricken and reset to 4/22/16 at 9:00 a.m. Notice motion date of 4/11/16 is stricken. Mailed notice (kef,) (Entered: 04/08/2016)
119	Apr 8, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher in limine to Exclude Evidence of Exchange Investigations and Defendants' Related Settlements (Attachments: # 1 Exhibit 1)(Merouse, Steven) (Entered: 04/08/2016)
120	Apr 9, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher in limine to Exclude Evidence of Exchange Investigations and Defendants' Related Settlements (Corrected) (Attachments: # 1 Exhibit 1)(Merouse, Steven) (Entered: 04/09/2016)
121	Apr 11, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Defendants' corrected motion in limine 120 is entered. Response by 4/15/16. Defendants' motion in limine 119 is denied as moot. Mailed notice (kef,) (Entered: 04/11/2016)
122	Apr 11, 2016	Request	SEALED DOCUMENT by Plaintiff U.S. Commodity Futures Trading Commission DEPOSITION TRANSCRIPT OF C. KEITH CONNERS, PH. D. (Attachments: # 1 Errata, # 2 Transcript Exhibit 1, # 3 Transcript Exhibit 2, # 4 Transcript Exhibit 3, # 5 Transcript Exhibit 4, # 6 Transcript Exhibit 5, # 7 Transcript Exhibit 10, # 8 Transcript Exhibit 12, # 9 Transcript Exhibit 13, # 10 Transcript Exhibit 14)(Streit, Elizabeth) (Entered: 04/11/2016)
123	Apr 14, 2016	Request	MOTION for Leave to Appear Pro Hac Vice Filing fee \$ 50, receipt number 0752-11825561. (Cogan, Jonathan) (Entered: 04/14/2016)
124	Apr 14, 2016	Request	MOTION for Leave to Appear Pro Hac Vice Filing fee \$ 50, receipt number 0752-11825779. (Hauser, Stephanie) (Entered: 04/14/2016)
125	Apr 14, 2016	Request	ORDER: Motions for leave to appear pro hac vice on behalf

			of Igor Oystacher and 3 Red Trading LLC by Jonathan Cogan and Stephanie Hauser 123 124 are granted. Signed by the Honorable Amy J. St. Eve on 4/14/2016:Mailed notice(kp,) (Entered: 04/14/2016)
126	Apr 14, 2016	Request	RESPONSE by U.S. Commodity Futures Trading Commission to SEALED MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to Exclude CFTC's Market Witnesses 111 RICHARD MAY, MATTHEW WASKO AND KAREN CHRISTIANSEN (Attachments: # 1 Exhibit Govt Intent to Narrow Proof, # 2 Exhibit Excerpts of May's Morning Testimony, # 3 Exhibit Excerpts of May's Afternoon Testimony, # 4 Exhibit Affidavit of Matthew Wasko, # 5 Exhibit Declaration of Karen Christiansen, # 6 Exhibit Excerpts of Igor Oystacher Depositions)(Passman, Allison) (Entered: 04/14/2016)
127	Apr 14, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher for leave to file information under seal (Kim, Michael) (Entered: 04/14/2016)
128	Apr 14, 2016	Request	SEALED RESPONSE by 3 Red Trading LLC, Igor B Oystacher to MOTION by Plaintiff U.S. Commodity Futures Trading Commission to Exclude Defendants' Vagueness Argument 104 (Kim, Michael) (Entered: 04/14/2016)
129	Apr 14, 2016	Request	RESPONSE by 3 Red Trading LLC, Igor B Oystacher in Opposition to MOTION by Plaintiff U.S. Commodity Futures Trading Commission to Exclude Defendants' Vagueness Argument 104 (Kim, Michael) (Entered: 04/14/2016)
130	Apr 14, 2016	Request	Defendants' NOTICE of Motion by Steven Lawrence Merouse for presentment of before Honorable Amy J. St. Eve on 4/20/2016 at 08:30 AM. (Merouse, Steven) (Entered: 04/14/2016)
131	Apr 14, 2016	Request	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to seal document Defendants' Opposition to Plaintiff's Motion to Exclude Testimony and Opinions of Brian Leahy, PhD, and Jerry W. Markham and to Strike their Expert Reports (Attachments: # 1 Exhibit Redacted Opposition to Motion to Exclude)(Merouse, Steven) (Entered: 04/14/2016)
132	Apr 14, 2016	Request	SEALED RESPONSE by 3 Red Trading LLC, Igor B Oystacher to MOTION by Plaintiff U.S. Commodity Futures Trading Commission to (i) Exclude Testimony and Opinions of Brian Leahy, PhD, and Jerry W. Markham and to Strike their Expert Reports; (ii) for Leave to File an Oversized Memorandum in Support Thereof; and (iii) 105 (Merouse, Steven) (Entered: 04/14/2016)
133	Apr 15, 2016	Request	NOTICE of Motion by Michael Sangyun Kim for presentment of motion for leave to file 127 before Honorable Amy J. St. Eve on 4/20/2016 at 08:30 AM. (Kim, Michael) (Entered: 04/15/2016)
134	Apr 15, 2016	Request	CORRECTED BESSEMBINDER REBUTTAL REPORT by

			U.S. Commodity Futures Trading Commission (Kramer, Jon) (Entered: 04/15/2016)
135	Apr 15, 2016	View	WITNESS List by 3 Red Trading LLC, Igor B Oystacher (Merouse, Steven) (Entered: 04/15/2016)
136	Apr 15, 2016	View	WITNESS List by U.S. Commodity Futures Trading Commission (Smiley, Jennifer) (Entered: 04/15/2016)
137	Apr 15, 2016	Request	MOTION by Plaintiff U.S. Commodity Futures Trading Commission for leave to file Reply in Support of Motion for Preliminary Injunction Under Seal (Attachments: # 1 Exhibit 1, # 2 Exhibit A, # 3 Exhibit B, # 4 Exhibit C, # 5 Exhibit D)(Smiley, Jennifer) (Entered: 04/15/2016)
138	Apr 15, 2016	Request	SEALED DOCUMENT by Plaintiff U.S. Commodity Futures Trading Commission (Attachments: # 1 Exhibit C)(Smiley, Jennifer) (Entered: 04/15/2016)
139	Apr 15, 2016	View	MOTION by Plaintiff U.S. Commodity Futures Trading Commission for leave to file Opposition to Motion in Limine Under Seal (Attachments: # 1 Exhibit 1, # 2 Exhibit A, # 3 Exhibit B, # 4 Exhibit C, # 5 Exhibit D, # 6 Exhibit E)(Smiley, Jennifer) (Entered: 04/15/2016)
140	Apr 15, 2016	Request	SEALED DOCUMENT by Plaintiff U.S. Commodity Futures Trading Commission (Attachments: # 1 Exhibit A, # 2 Exhibit B, # 3 Exhibit C, # 4 Exhibit D)(Smiley, Jennifer) (Entered: 04/15/2016)
141	Apr 15, 2016	Request	NOTICE of Motion by Jennifer Ellen Smiley for presentment of motion for leave to file, 139 , motion for leave to file, 137 before Honorable Amy J. St. Eve on 4/20/2016 at 08:30 AM. (Smiley, Jennifer) (Entered: 04/15/2016)
142	Apr 19, 2016	Request	MINUTE entry before the Honorable Amy J. St. Eve: Parties' motions to seal 127 131 137 139 are granted. No appearance is required on the 4/20/16 notice motion date. Mailed notice (kef,) (Entered: 04/19/2016)
143	Apr 19, 2016	Request	ATTORNEY Appearance for Defendants 3 Red Trading LLC, Igor B Oystacher by Andrew C. Lourie (Lourie, Andrew) (Entered: 04/19/2016)
144	Apr 19, 2016	View	MOTION by Defendants 3 Red Trading LLC, Igor B Oystacher to strike witness list 136 Defendants' Motion to Strike Edwin Johnson from Plaintiff's Witness List (Attachments: # 1 Exhibit A (CFTC Rule 26(a) Initial Disclosures), # 2 Exhibit B (December 21, 2015 Hearing Transcript), # 3 Exhibit C (January 11, 2016 Correspondence), # 4 Exhibit D (January 20, 2016 Correspondence), # 5 Exhibit E (January 21, 2016 Hearing Transcript))(Merouse, Steven) (Entered: 04/19/2016)
145	Apr 19, 2016	Request	Defendants' NOTICE of Motion by Steven Lawrence Merouse for presentment of motion to strike, motion for relief, , 144 before Honorable Amy J. St. Eve on 4/22/2016 at 09:00 AM. (Merouse, Steven) (Entered: 04/19/2016)

146	Apr 22, 2016	View	MINUTE entry before the Honorable Amy J. St. Eve: Status hearing held on 4/22/16. As stated in open court, plaintiff's motion to exclude defendants' vagueness argument 104 is granted in part and denied in part.; plaintiff's motion to exclude testimony and opinion of Brian Leahy 105 is taken under advisement and plaintiff's motion to exclude testimony and opinions of Jerry Markham 105 is denied without prejudice; defendants' motion to exclude the testimony of CFTC's market witnesses 111 is denied; defendants' motion in limine to exclude evidence of exchange investigations and defendants' related settlements 120 is denied; and defendants' motion to strike Edwin Johnson from plaintiff's witness list 144 is denied as moot without prejudice. Preliminary injunction hearing remains set for 4/25/16 at 9:00 a.m. Mailed notice (kef,) (Entered: 04/22/2016)
147	Apr 25, 2016	View	MINUTE entry before the Honorable Amy J. St. Eve:Preliminary Injunction hearing held on 4/25/2016 and continued to 4/26/2016 at 09:00 AM.Mailed notice (kef,) (Entered: 04/26/2016)

General Information

Court	United States District Court for the Northern District of Illinois; United States District Court for the Northern District of Illinois
Federal Nature of Suit	Securities/Commodities/Exchanges[850]
Docket Number	1:15-cv-09196